SEC Form 4

Common Stock

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

701

By 401K

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OMB Number:	3235-0287							
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Sutherland Arron K.		lssuer Name and Tick CC Holdings, In						tionship of Reportin all applicable) Director		ssuer Dwner		
(Last) (First) (Middle) 225 20TH ST		Date of Earliest Transa /01/2023	action (M	onth/E	Day/Year)		X	X Officer (give title Other (spe below) below) President and CEO				
(Street)	4.	If Amendment, Date of	Original	Filed	(Month/Day/	(ear)	6. Indiv Line)	vidual or Joint/Group	Filing (Check A	pplicable		
ROCK ISLAND IL 61201-8	810						X	Form filed by One Form filed by Mor Person				
(City) (State) (Zip)	R	ule 10b5-1(c)	Trans	acti	on Indic	ation						
		Check this box to indic the affirmative defense	ate that a condition	transa is of Ri	ction was mad ule 10b5-1(c). {	e pursuant See Instruc	to a contract tion 10.	, instruction or written	plan that is intende	ed to satisfy		
Table I - N	on-Derivativ	e Securities Acc	quired,	, Dis	posed of,	or Ben	eficially	Owned				
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Y	Execution Date,	3. Transa Code 8)		4. Securities Disposed O	s Acquirec f (D) (Instr	d (A) or r. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)		
Common Stock ⁽¹⁾	04/01/202	23	М		2,400	Α	\$15.75	14,956.59	D			
Common Stock ⁽¹⁾	04/01/202	23	F		717	D	\$15.75	14,239.59	D			
Common Stock ⁽¹⁾	04/01/202	23	М		833	Α	\$15.75	15,072.59	D			
Common Stock ⁽¹⁾	04/01/202	23	F		252	D	\$15.75	14,820.59	D			
Common Stock ⁽¹⁾	04/01/202	23	М		1,666	A	\$15.75	16,486.59	D			
Common Stock ⁽¹⁾	04/01/202	23	F		505	D	\$15.75	15,981.59	D			
Common Stock								40,000	I	401(k)		
Common Stock								3,400	I	IRA of Spouse		
Common Stock								50	I	By Minor Child		
Common Stock								50	I	By minor child		
Common Stock								50	I	By minor child		
Common Stock								50	I	By minor child		
Common Stock								941.7633	I	By ESOP		
Common Stock								3,309	I	401(k)		
Common Stock								1,000	I	401(k)		
Common Stock								887.5	I	401(k)		
Common Stock								1,059.711	I	By ESOP		
Common Stock								1,000	I	401(k)		
Common Stock								900	I	401(k)		
Common Stock								1,142.2998	Ι	By ESOP		
Common Stock								920	I	By ESOP		
Common Stock								998	I	By ESOP		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned													
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		4. Securities A Disposed Of (Securities Beneficially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150. 4)			
Common Stock								1,155		By ESOP			

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Num Deriva Securi Acquir or Disp of (D) (3, 4 an	tive ties red (A) oosed Instr.	6. Date Exerc Expiration Da (Month/Day/N	ate	7. Title and of Securiti Underlying Derivative (Instr. 3 an	es J Security	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Restricted Stock Unit	\$0 ⁽²⁾	04/01/2023		М			2,400	(3)	(4)	ICC Holdings, Inc. Common Stock	2,400	\$15.75	0	D	
Restricted Stock Unit	\$0 ⁽²⁾	04/01/2023		М			833	(3)	(4)	ICC Holdings, Inc. Common Stock	833	\$15.75	834	D	
Restricted Stock Unit	\$0 ⁽²⁾	04/01/2023		М			1,666	(3)	(4)	ICC Holdings, Inc. Common Stock	1,666	\$15.75	3,334	D	
Restricted Stock Unit	\$0 ⁽²⁾	04/01/2023		А		7,400		(3)	(4)	ICC Holdings, Inc. Common Stock	7,400	\$0	7,400	D	

Explanation of Responses:

1. RSU with 3 Year Periodic vesting schedule

2. No exercise price for this type of award

3. No exercisable date for this type of award

4. No expiration date for this type of award

Remarks:

/s/Kathleen S. Springer, Attorney in Fact

04/04/2023

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

POWER OF ATTORNEY Know all by these presents, that the undersigned hereby constitutes and appoints each of Kathleen Springer, Julia B. Suiter, Sunjeet S. Gill and Stephanie R. Hager or any of them signing singly, and with full power of substitution, the undersigned's true and lawful attorney in fact to: (1) prepare, execute in the undersigned's name and on the undersigned's behalf, and submit to the U.S. Securities and Exchange Commission (the "SEC") a Form ID, including amendments thereto, and any other documents necessary or appropriate to obtain codes and passwords enabling the undersigned to make electronic filings with the SEC of reports required by Section 16(a) of the Securities Exchange Act of 1934 or any rule or regulation of the SEC; (2) execute for and on behalf of the undersigned, in the undersigned's capacity as a director of ICC Holdings, Inc. (the "Company"), Forms 3, 4, and 5 in accordance with Section 16(a) of the Securities Exchange Act of 1934 and the rules thereunder; (3) do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such Form 3, 4, or 5, complete and execute any amendment or amendments thereto, and timely file such form with the SEC and any stock exchange or similar authority; and (4) take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney in fact, may be of benefit to, in the best interest of, or legally required by, the undersigned, it being understood that the documents executed by such attorney in fact on behalf of the undersigned pursuant to this Power of Attorney shall be in such form and shall contain such terms and conditions as such attorney in fact may approve in such attorney in fact's discretion. The undersigned hereby grants to each such attorney in fact full power and authority to do and perform any and every act and thing whatsoever requisite, necessary, or proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that such attorney in fact, or such attorney in fact's substitute or substitutes, shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted. The undersigned acknowledges that the foregoing attorneys in fact, in serving in such capacity at the request of the undersigned, are not assuming, nor is the Company assuming, any of the undersigned's responsibilities to comply with Section 16 of the Securities Exchange Act of 1934. This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4, and 5 with respect to the undersigned's holdings of and transactions in securities issued by the Company, unless earlier revoked by the undersigned in a signed writing delivered to the foregoing attorneys in fact. IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of this 1st day of March 2017. /s/ Arron K. Sutherland