SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB	APPROVAL

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1. Name and Address of Reporting Person [*] Sutherland Arron K.			2. Issuer Name and Ticker or Trading Symbol ICC Holdings, Inc. [ICCH]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
				X	Director	10% Owner		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)	X	Officer (give title below)	Other (specify below)		
225 20TH ST			11/14/2022		President and	I CEO		
,								
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	vidual or Joint/Group Filir	ng (Check Applicable		
ROCK ISLAND	IL	61201-8810		X	Form filed by One Reporting Person			
·					Form filed by More than One Report Person			
(City)	(State)	(Zip)						

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)	iction Instr.	4. Securitie Disposed O 5)	s Acquire of (D) (Inst	d (A) or r. 3, 4 and	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code V		Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	11/14/2022		Р		701	A	\$15.55	701	I	By 401K
Common Stock								40,000	I	401(k)
Common Stock								3,400	I	IRA of Spouse
Common Stock								50	I	By Minor Child
Common Stock								50	I	By minor child
Common Stock								50	I	By minor child
Common Stock								941.7633	I	By ESOP
Common Stock								3,309	Ι	401(k)
Common Stock								1,000	Ι	401(k)
Common Stock								887.5	I	401(k)
Common Stock								1,059.711	I	By ESOP
Common Stock ⁽¹⁾⁽²⁾								12,556.59	D	
Common Stock								1,000	I	401(k)
Common Stock								900	I	401(k)
Common Stock								1,142.2998	I	By ESOP
Common Stock								920	I	By ESOP
Common Stock								998	I	By ESOP

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Tal Date (Month/Day/Year)	Perivat Execution Date, if any (e.g., pt (Month/Day/Year)	V te Se Transa ItSo,d€(8)	action ants, v	ties of Secu Acqu (A) of Dispo of (D) (Instr and 5	rities lired r osed) r. 3, 4				t, of Beneficia Amount of iDeseculatities Underlying Derivative Security (Instr. 3 and 4)		Underlying (Instr. 5) Derivative Security (Instr.		d9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
											Amount or						
1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Transa E888 (ction Instr.	5. Nu of Deriv	mber atiVe	6. Date Exerce Expiration Da Month/Day/y	isable and tExpiration Date ear)	7. Titl Amou Secur	Number n ^f of Shares itles	8. Price of Derivative Security	9. Number of derivative Securities	10. Ownership Form:	11. Nature of Indirect Beneficial		
1. RSU with	Price of Der Reapons vSeourityhedu	le	(Month/Day/Year)			Secu Acqu (A) of Dispo	rities Iired r			Under Deriva Secur 3 and	ative ity (Instr.	(Instr. 5)	Beneficially Owned Following Reported	Direct (D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)		
2. RSU with Remarks	1	c vesting schedule				of (D) r. 3, 4				-,		Transaction(s) (Instr. 4)				
									Atto	rney i	n <u>S. Spr</u> n <u>Fact</u> r		<u>11/15/2022</u>				
Reminder: F	Report on a se	parate line for eac	n class of securities	Code benefi	cially o	(A) whed	(D) directl	Date Exercisable or indirectly.	Expiratio®i Date	nature Title	of Repor Shares	ing Person	Date				

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

POWER OF ATTORNEY Know all by these presents, that the undersigned hereby constitutes and appoints each of Kathleen Springer, Julia B. Suiter, Sunjeet S. Gill and Stephanie R. Hager or any of them signing singly, and with full power of substitution, the undersigned's true and lawful attorney in fact to: (1) prepare, execute in the undersigned's name and on the undersigned's behalf, and submit to the U.S. Securities and Exchange Commission (the "SEC") a Form ID, including amendments thereto, and any other documents necessary or appropriate to obtain codes and passwords enabling the undersigned to make electronic filings with the SEC of reports required by Section 16(a) of the Securities Exchange Act of 1934 or any rule or regulation of the SEC; (2) execute for and on behalf of the undersigned, in the undersigned's capacity as a director of ICC Holdings, Inc. (the "Company"), Forms 3, 4, and 5 in accordance with Section 16(a) of the Securities Exchange Act of 1934 and the rules thereunder; (3) do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such Form 3, 4, or 5, complete and execute any amendment or amendments thereto, and timely file such form with the SEC and any stock exchange or similar authority; and (4) take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney in fact, may be of benefit to, in the best interest of, or legally required by, the undersigned, it being understood that the documents executed by such attorney in fact on behalf of the undersigned pursuant to this Power of Attorney shall be in such form and shall contain such terms and conditions as such attorney in fact may approve in such attorney in fact's discretion. The undersigned hereby grants to each such attorney in fact full power and authority to do and perform any and every act and thing whatsoever requisite, necessary, or proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that such attorney in fact, or such attorney in fact's substitute or substitutes, shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted. The undersigned acknowledges that the foregoing attorneys in fact, in serving in such capacity at the request of the undersigned, are not assuming, nor is the Company assuming, any of the undersigned's responsibilities to comply with Section 16 of the Securities Exchange Act of 1934. This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4, and 5 with respect to the undersigned's holdings of and transactions in securities issued by the Company, unless earlier revoked by the undersigned in a signed writing delivered to the foregoing attorneys in fact. IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of this 1st day of March 2017. /s/ Arron K. Sutherland