



# QUARTERLY STATEMENT AS OF SEPTEMBER 30, 2019 OF THE CONDITION AND AFFAIRS OF THE Illinois Casualty Company

NAIC Group Code 0000 , 0000 NAIC Company Code 15571 Employer's ID Number 36-2165210  
(Current Period) (Prior Period)

Organized under the Laws of Illinois , State of Domicile or Port of Entry IL

Country of Domicile United States of America

Incorporated/Organized 06/01/2004 Commenced Business 04/13/1950

Statutory Home Office 225 20th Street , Rock Island, IL, US 61201  
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 225 20th Street  
(Street and Number)

Rock Island, IL, US 61201 (309)793-1700  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address PO Box 5018 , Rock Island, IL, US 61204  
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 225 20th Street  
(Street and Number)

Rock Island, IL, US 61201 (309)793-1700  
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Web Site Address www.ilcasco.com

Statutory Statement Contact Aimee Marie Oetzel (309)793-1700  
(Name) (Area Code)(Telephone Number)(Extension)

financialreporting@ilcasco.com (309)793-1707  
(E-Mail Address) (Fax Number)

## OFFICERS

Name	Title
Arron Keath Sutherland	President, CEO
Norman Dieter Schmeichel	V.P., CIO
Howard Joseph Beck	V.P., CUO
Julia Bunton Suiter	Chief Legal Officer
Michael Randall Smith	V.P., CFO
Kathleen Susan Springer	Chief Human Resources Officer

## OTHERS

### DIRECTORS OR TRUSTEES

Scott Taylor Burgess Joel Kent Heriford Gerald John Pepping Christine Carol Schmitt Arron Keath Sutherland	James Robert Dingman John Richard Klockau Daniel H Portes Mark Joseph Schwab
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State of Illinois  
 County of Rock Island ss

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

\_\_\_\_\_  
(Signature)  
**Arron Keath Sutherland**  
(Printed Name)  
 1.  
**President, CEO**  
(Title)

\_\_\_\_\_  
(Signature)  
**Michael Randall Smith**  
(Printed Name)  
 2.  
**V.P., CFO**  
(Title)

\_\_\_\_\_  
(Signature)  
**Howard Joseph Beck**  
(Printed Name)  
 3.  
**V.P., CUO**  
(Title)

Subscribed and sworn to before me this  
8th day of November, 2019

- a. Is this an original filing?  
 b. If no, 1. State the amendment number  
 2. Date filed  
 3. Number of pages attached

Yes[X] No [ ]

\_\_\_\_\_  
(Notary Public Signature)

**ASSETS**

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds .....	86,099,483		86,099,483	87,213,815
2. Stocks:				
2.1 Preferred stocks .....				
2.2 Common stocks .....	12,366,389		12,366,389	11,069,484
3. Mortgage loans on real estate:				
3.1 First liens .....				
3.2 Other than first liens .....				
4. Real estate:				
4.1 Properties occupied by the company (less \$.....0 encumbrances) .....				
4.2 Properties held for the production of income (less \$.....0 encumbrances) .....				
4.3 Properties held for sale (less \$.....0 encumbrances) .....				
5. Cash (\$.....(3,654,996)), cash equivalents (\$.....4,304,966) and short-term investments (\$.....0) .....	649,969		649,969	3,586,646
6. Contract loans (including \$.....0 premium notes) .....				
7. Derivatives .....				
8. Other invested assets .....	5,862,522		5,862,522	2,974,686
9. Receivables for securities .....	29		29	
10. Securities lending reinvested collateral assets .....				
11. Aggregate write-ins for invested assets .....				
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	104,978,392		104,978,392	104,844,631
13. Title plants less \$.....0 charged off (for Title insurers only) .....				
14. Investment income due and accrued .....	637,268		637,268	638,917
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	3,759,780		3,759,780	3,720,260
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums) .....	18,218,053	22,616	18,195,437	16,768,288
15.3 Accrued retrospective premiums (\$.....0) and contracts subject to redetermination (\$.....0) .....				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....	1,652,945		1,652,945	922,445
16.2 Funds held by or deposited with reinsured companies .....				
16.3 Other amounts receivable under reinsurance contracts .....				
17. Amounts receivable relating to uninsured plans .....				
18.1 Current federal and foreign income tax recoverable and interest thereon .....	236,911		236,911	335,390
18.2 Net deferred tax asset .....	1,989,808		1,989,808	2,255,573
19. Guaranty funds receivable or on deposit .....				
20. Electronic data processing equipment and software .....	550,845	302,021	248,824	218,028
21. Furniture and equipment, including health care delivery assets (\$.....0) .....	399,378	399,378		
22. Net adjustments in assets and liabilities due to foreign exchange rates .....				
23. Receivables from parent, subsidiaries and affiliates .....	247,718		247,718	648,128
24. Health care (\$.....0) and other amounts receivable .....				
25. Aggregate write-ins for other-than-invested assets .....	984,392	389,120	595,272	557,483
26. TOTAL assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	133,655,490	1,113,135	132,542,355	130,909,143
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....				
28. TOTAL (Lines 26 and 27) .....	133,655,490	1,113,135	132,542,355	130,909,143
<b>DETAILS OF WRITE-INS</b>				
1101. ....				
1102. ....				
1103. ....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....				
1199. TOTALS (Lines 1101 through 1103 plus 1198) (Line 11 above) .....				
2501. Prepaid maintenance and insurance .....	379,120	379,120		
2502. Deposits and other receivables .....	567,167	10,000	557,167	400,685
2503. Premiums receivable on reinsurance .....	38,105		38,105	156,798
2598. Summary of remaining write-ins for Line 25 from overflow page .....				
2599. TOTALS (Lines 2501 through 2503 plus 2598) (Line 25 above) .....	984,392	389,120	595,272	557,483

**LIABILITIES, SURPLUS AND OTHER FUNDS**

	1 Current Statement Date	2 December 31, Prior Year
1. Losses (current accident year \$.....11,463,771) .....	33,022,479	31,886,225
2. Reinsurance payable on paid losses and loss adjustment expenses .....		
3. Loss adjustment expenses .....	13,033,651	12,825,252
4. Commissions payable, contingent commissions and other similar charges .....	1,615,047	2,538,993
5. Other expenses (excluding taxes, licenses and fees) .....	904,038	1,304,306
6. Taxes, licenses and fees (excluding federal and foreign income taxes) .....	496,498	468,689
7.1 Current federal and foreign income taxes (including \$.....0 on realized capital gains (losses)) .....		
7.2 Net deferred tax liability .....		
8. Borrowed money \$.....0 and interest thereon \$.....0 .....		
9. Unearned premiums (after deducting unearned premiums for ceded reinsurance of \$.....861,471 and including warranty reserves of \$.....0 and accrued accident and health experience rating refunds including \$.....0 for medical loss ratio rebate per the Public Health Service Act) .....	30,754,130	29,176,558
10. Advance premium .....	427,574	708,752
11. Dividends declared and unpaid:		
11.1 Stockholders .....		
11.2 Policyholders .....	10,365	4,675
12. Ceded reinsurance premiums payable (net of ceding commissions) .....	358,783	969,533
13. Funds held by company under reinsurance treaties .....		
14. Amounts withheld or retained by company for account of others .....	327,371	473,993
15. Remittances and items not allocated .....		
16. Provision for reinsurance (including \$.....0 certified) .....		
17. Net adjustments in assets and liabilities due to foreign exchange rates .....		
18. Drafts outstanding .....		
19. Payable to parent, subsidiaries and affiliates .....		
20. Derivatives .....		
21. Payable for securities .....		
22. Payable for securities lending .....		
23. Liability for amounts held under uninsured plans .....		
24. Capital notes \$.....0 and interest thereon \$.....0 .....		
25. Aggregate write-ins for liabilities .....		
26. TOTAL liabilities excluding protected cell liabilities (Lines 1 through 25) .....	80,949,936	80,356,976
27. Protected cell liabilities .....		
28. TOTAL liabilities (Lines 26 and 27) .....	80,949,936	80,356,976
29. Aggregate write-ins for special surplus funds .....	616,769	766,794
30. Common capital stock .....	3,000,000	3,000,000
31. Preferred capital stock .....		
32. Aggregate write-ins for other-than-special surplus funds .....		
33. Surplus notes .....		
34. Gross paid in and contributed surplus .....	17,376,742	17,376,742
35. Unassigned funds (surplus) .....	30,598,908	29,408,631
36. Less treasury stock, at cost:		
36.1 .....0 shares common (value included in Line 30 \$.....0) .....		
36.2 .....0 shares preferred (value included in Line 31 \$.....0) .....		
37. Surplus as regards policyholders (Lines 29 to 35, less 36) .....	51,592,419	50,552,167
38. TOTALS (Page 2, Line 28, Col. 3) .....	132,542,355	130,909,143
<b>DETAILS OF WRITE-INS</b>		
2501. ....		
2502. ....		
2503. ....		
2598. Summary of remaining write-ins for Line 25 from overflow page .....		
2599. TOTALS (Lines 2501 through 2503 plus 2598) (Line 25 above) .....		
2901. Sale Leaseback .....	616,769	766,794
2902. ....		
2903. ....		
2998. Summary of remaining write-ins for Line 29 from overflow page .....		
2999. TOTALS (Lines 2901 through 2903 plus 2998) (Line 29 above) .....	616,769	766,794
3201. ....		
3202. ....		
3203. ....		
3298. Summary of remaining write-ins for Line 32 from overflow page .....		
3299. TOTALS (Lines 3201 through 3203 plus 3298) (Line 32 above) .....		

**STATEMENT OF INCOME**

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
<b>UNDERWRITING INCOME</b>			
1. Premiums earned			
1.1 Direct (written \$.....48,349,466)	46,710,351	42,751,784	57,702,160
1.2 Assumed (written \$.....178,446)	174,583	116,663	174,235
1.3 Ceded (written \$.....7,730,458)	7,665,052	7,948,742	10,759,433
1.4 Net (written \$.....40,797,454)	39,219,882	34,919,705	47,116,962
<b>DEDUCTIONS:</b>			
2. Losses incurred (current accident year \$.....21,195,408)			
2.1 Direct	34,115,898	23,046,887	29,008,673
2.2 Assumed	117,635	75,337	81,752
2.3 Ceded	12,992,896	4,852,130	4,502,335
2.4 Net	21,240,637	18,270,094	24,588,090
3. Loss adjustment expenses incurred	6,876,733	6,127,916	6,674,375
4. Other underwriting expenses incurred	13,842,836	13,074,448	17,696,885
5. Aggregate write-ins for underwriting deductions			
6. TOTAL underwriting deductions (Lines 2 through 5)	41,960,206	37,472,458	48,959,350
7. Net income of protected cells			
8. Net underwriting gain or (loss) (Line 1 minus Line 6 + Line 7)	(2,740,324)	(2,552,753)	(1,842,388)
<b>INVESTMENT INCOME</b>			
9. Net investment income earned	1,808,426	1,478,850	2,039,824
10. Net realized capital gains (losses) less capital gains tax of \$.....134,275	479,656	787,557	704,757
11. Net investment gain (loss) (Lines 9 + 10)	2,288,082	2,266,407	2,744,581
<b>OTHER INCOME</b>			
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$.....42,328 amount charged off \$.....411,652)	(369,325)	(131,499)	(184,818)
13. Finance and service charges not included in premiums	209,201	183,427	246,856
14. Aggregate write-ins for miscellaneous income	115,657	90,176	135,775
15. TOTAL other income (Lines 12 through 14)	(44,467)	142,104	197,813
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15)	(496,709)	(144,242)	1,100,006
17. Dividends to policyholders	14,118	9,095	11,145
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17)	(510,827)	(153,337)	1,088,861
19. Federal and foreign income taxes incurred	(200,339)	(122,707)	(117,299)
20. Net income (Line 18 minus Line 19) (to Line 22)	(310,488)	(30,630)	1,206,160
<b>CAPITAL AND SURPLUS ACCOUNT</b>			
21. Surplus as regards policyholders, December 31 prior year	50,552,167	50,772,460	50,772,463
22. Net income (from Line 20)	(310,488)	(30,630)	1,206,160
23. Net transfers (to) from Protected Cell accounts			
24. Change in net unrealized capital gains or (losses) less capital gains tax of \$.....347,038	1,999,597	8,435	(1,638,469)
25. Change in net unrealized foreign exchange capital gain (loss)			
26. Change in net deferred income tax	(612,803)	143,297	139,639
27. Change in nonadmitted assets	(36,054)	(20,506)	72,374
28. Change in provision for reinsurance			
29. Change in surplus notes			
30. Surplus (contributed to) withdrawn from Protected cells			
31. Cumulative effect of changes in accounting principles			
32. Capital changes:			
32.1 Paid in			
32.2 Transferred from surplus (Stock Dividend)			
32.3 Transferred to surplus			
33. Surplus adjustments:			
33.1 Paid in			
33.2 Transferred to capital (Stock Dividend)			
33.3 Transferred from capital			
34. Net remittances from or (to) Home Office			
35. Dividends to stockholders			
36. Change in treasury stock			
37. Aggregate write-ins for gains and losses in surplus			
38. Change in surplus as regards policyholders (Lines 22 through 37)	1,040,252	100,596	(220,296)
39. Surplus as regards policyholders, as of statement date (Lines 21 plus 38)	51,592,419	50,873,056	50,552,167
<b>DETAILS OF WRITE-INS</b>			
0501.			
0502.			
0503.			
0598. Summary of remaining write-ins for Line 5 from overflow page			
0599. TOTALS (Lines 0501 through 0503 plus 0598) (Line 5 above)			
1401. Cash value on officers' life insurance policies	103,688	1,664	47,068
1402. (Loss) Gain from sales of assets	(418)	10,090	78,717
1403. Miscellaneous income	12,387	78,422	9,990
1498. Summary of remaining write-ins for Line 14 from overflow page			
1499. TOTALS (Lines 1401 through 1403 plus 1498) (Line 14 above)	115,657	90,176	135,775
3701.			
3702.			
3703.			
3798. Summary of remaining write-ins for Line 37 from overflow page			
3799. TOTALS (Lines 3701 through 3703 plus 3798) (Line 37 above)			

**CASH FLOW**

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance .....	38,433,727	35,498,734	48,137,025
2. Net investment income .....	1,985,123	1,739,091	2,373,021
3. Miscellaneous income .....	(44,467)	142,109	197,813
4. TOTAL (Lines 1 to 3) .....	40,374,383	37,379,934	50,707,859
5. Benefit and loss related payments .....	20,834,883	14,633,804	19,741,393
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			
7. Commissions, expenses paid and aggregate write-ins for deductions .....	21,711,430	19,155,956	24,913,765
8. Dividends paid to policyholders .....	8,428	3,878	6,836
9. Federal and foreign income taxes paid (recovered) net of \$..... 134,275 tax on capital gains (losses) .....	(164,543)	23,160	(255,478)
10. TOTAL (Lines 5 through 9) .....	42,390,198	33,816,798	44,406,516
11. Net cash from operations (Line 4 minus Line 10) .....	(2,015,815)	3,563,136	6,301,343
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	19,463,424	10,540,066	17,017,277
12.2 Stocks .....	5,740,104	11,305,880	13,435,962
12.3 Mortgage loans .....			
12.4 Real estate .....			
12.5 Other invested assets .....	112,164		25,314
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....			
12.7 Miscellaneous proceeds .....			
12.8 TOTAL investment proceeds (Lines 12.1 to 12.7) .....	25,315,692	21,845,946	30,478,553
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	18,306,962	11,432,326	17,948,609
13.2 Stocks .....	4,987,692	13,120,696	15,807,630
13.3 Mortgage loans .....			
13.4 Real estate .....			
13.5 Other invested assets .....	3,000,000	3,000,000	3,000,000
13.6 Miscellaneous applications .....	29		
13.7 TOTAL investments acquired (Lines 13.1 to 13.6) .....	26,294,683	27,553,022	36,756,239
14. Net increase (or decrease) in contract loans and premium notes .....			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	(978,991)	(5,707,076)	(6,277,686)
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....			
16.2 Capital and paid in surplus, less treasury stock .....			
16.3 Borrowed funds .....		(38,087)	(38,087)
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....			
16.5 Dividends to stockholders .....			
16.6 Other cash provided (applied) .....	58,129	(422,320)	(1,389,585)
17. Net cash from financing and miscellaneous sources (Line 16.1 through 16.4 minus Line 16.5 plus Line 16.6) .....	58,129	(460,407)	(1,427,672)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	(2,936,677)	(2,604,347)	(1,404,015)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year .....	3,586,646	4,990,661	4,990,661
19.2 End of period (Line 18 plus Line 19.1) .....	649,969	2,386,314	3,586,646

**Note: Supplemental Disclosures of Cash Flow Information for Non-Cash Transactions:**

20.0001	.....			
20.0002	.....			
20.0003	.....			
20.0004	.....			

# Notes to Financial Statement

## 1. Summary of Significant Accounting Policies

### A. Accounting Practices

The financial statements of Illinois Casualty Company are presented on the basis of accounting practices prescribed or permitted by the Illinois Department of Insurance.

The Illinois Department of Insurance recognizes only statutory accounting practices prescribed or permitted by the State of Illinois for determining and reporting the financial condition and results of operations of an insurance company, and for determining its solvency under the Illinois Insurance Law. The National Association of Insurance Commissioners' (NAIC) Accounting Practices and Procedures Manual (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the State of Illinois.

	SSAP #	F/S Page	F/S Line #	2019	2018
<b>NET INCOME</b>					
(1) State basis (Page 4, Line 20, Columns 1 & 3)	XXX	XXX	XXX	(310,486)	1,206,160
(2) State Prescribed Practices that increase/(decrease) NAIC SAP:				0	0
				0	0
(3) State Permitted Practices that increase/(decrease) NAIC SAP:				0	0
				0	0
(4) NAIC SAP (1-2, 3-4)	XXX	XXX	XXX	(310,486)	1,206,160
<b>SURPLUS</b>					
(5) State basis (Page 3, Line 37, Columns 1 & 2)	XXX	XXX	XXX	51,592,419	50,552,167
(6) State Prescribed Practices that increase/(decrease) NAIC SAP:				0	0
				0	0
(7) State Permitted Practices that increase/(decrease) NAIC SAP:				0	0
				0	0
(8) NAIC SAP (5-6-7-8)	XXX	XXX	XXX	51,592,419	50,552,167

### B. Use of Estimates in the Preparation of the Financial Statements

The preparation of financial statements in conformity with NAIC SAP requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. Actual results could differ from those estimates.

### C. Accounting Policy

Premiums are earned over the terms of the related insurance policies and reinsurance contracts. Unearned premium reserves are established to cover the unexpired portion of premiums written. Such reserves are computed by pro rata methods for direct business and are based on reports sent to the Company's reinsurance companies.

Expenses incurred in connection with acquiring new insurance business, including such acquisition costs as sale commissions, are charged to operations as incurred. Expenses incurred are reduced for ceding allowances received or receivable.

In addition, the Company uses the following accounting policies:

- Short-term money market investments are stated at fair value. Short-term bond investments are stated at amortized cost.
- Bonds with a NAIC rating 1 and 2 are stated at amortized cost using the interest method; all others are stated at the lower of amortized cost or fair value. For residential mortgage-backed securities (RMBS), commercial mortgage-backed securities (CMBS) and loan-backed and structured securities (LBASS), the NAIC has retained third-party investment management firms to assist in the determination of the appropriate NAIC designations and Book Adjusted Carrying Values based not only on the probability of loss, but also the severity of loss. Those RMBS, CMBS and LBASS securities that are not modeled but receive a current year Acceptable Rating Organizations (ARO) rating are subject to the Modified FE process which determines the appropriate NAIC designations and Book Adjusted Carrying Values.
- The Company carries intercompany collateralized notes receivable at book value.
- Common stocks, exchange trade funds, other than investments in stocks of subsidiary and unaffiliated companies, are stated at fair value.
- Investment grade redeemable preferred stocks are stated at amortized cost, others are carried at market.
- The Company does not participate in mortgage loans as an investment vehicle.
- Loan-backed securities are stated at either amortized cost or the lower of amortized cost or fair value. The retrospective adjustment method is used to value those securities.
- The Company does not have any investments in any joint ventures, partnerships, or limited liability companies.
- The Company does not utilize derivatives as an investment vehicle.
- The Company does not factor anticipated investment income into the premium deficiency reserve.

## Notes to Financial Statement

11. Unpaid losses and loss adjustment expenses include an amount determined from individual case estimates and loss reports and an amount, based on past experience, for losses incurred but not reported. Such liabilities are necessarily based on assumptions and estimates and while management believes the amount is adequate, the ultimate liability may be in excess of or less than the amount provided. The methods for making such estimates and for establishing the resulting liability are continually reviewed and any adjustments are reflected in the period determined.
12. 13. Non-Admitted Assets - Certain assets designated as "non-admitted", in accordance with Statement of Statutory Accounting Principles (SSAP) No.4 Assets and Non-Admitted Assets, are excluded from the statutory balance sheet and such amounts are charged directly to unassigned funds.
13. The Company has not modified its capitalization policy from the prior period.

### 2. Accounting Changes and Corrections of Errors

None

### 3. Business Combinations and Goodwill

None

### 4. Discontinued Operations

Not Applicable

### 5. Investments

#### A. Mortgage Loans, including Mezzanine Real Estate Loans

Not Applicable

#### B. Debt Restructuring

Not Applicable

#### C. Reverse Mortgages

Not Applicable

#### D. Loan-Backed Securities

1. Prepayment assumptions for Agency Mortgage-Backed Securities and Collateralized Mortgage Obligations were generated using a third-party prepayment model. The multi-factor model captures house price change trends, housing turnover, borrower default, and refinance incentive, among other factors. On an ongoing basis, we monitor the rate of prepayment and calibrate the model to reflect actual experience, market factors, and viewpoint.
2. Not Applicable
3. Not Applicable
- 4.

Description	Amount
a. The aggregate amount of unrealized losses:	
1. Less than 12 Months	(5,314)
2. 12 Months or Longer	(56,287)
b. The aggregate related fair value of securities with unrealized losses:	
1. Less than 12 Months	3,991,670
2. 12 Months or Longer	5,781,255

5. The Company regularly performs various valuation procedures with respect to its investments, including reviewing each fixed maturity security in an unrealized loss position to assess whether the security is a candidate for credit loss. Specifically, the Company considers credit rating, market price, and issuer specific financial information, among other factors, to assess the likelihood of collection of all principal and interest as contractually due. Securities for which the Company determines that a credit loss is likely are subjected to further analysis to estimate the credit loss to be recognized in earnings, if any. Upon identification of such securities and periodically thereafter, a detailed review is performed to determine whether the decline is considered other than temporary.

The factors considered in reaching the conclusion that a decline below cost is other than temporary include among others, whether:

- a. the issuer is in financial distress;
- b. the investment is secured;
- c. a significant credit rating action occurred;

## Notes to Financial Statement

- d. scheduled interest payments were delayed or missed;
- e. change in laws or regulations have affected an issuer or industry;
- f. the investment has an unrealized loss and was identified by our Investment Manager as an investment to be sold before recovery or maturity; and
- g. the investment failed cash flow projection testing to determine if anticipated principal and interest payments will be realized.

The securities listed in the above tables are not deemed to be other-than-temporarily impaired.

## E. Dollar Repurchase Agreements and/or Securities Lending Transactions

None

## F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

None

## G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing

None

## H. Repurchase Agreements Transactions Accounted for as a Sale

None

## I. Reverse Repurchase Agreements Transactions Accounted for as a Sale

None

## J. Real Estate

None

## K. Low-Income Housing Tax Credits (LIHTC)

None

## L. Restricted Assets

## 1. Restricted Assets (Including Pledged)

Restricted Asset Category	Gross (Admitted & Nonadmitted) Restricted						Current Year				
	Current Year					6	7	8	Percentage		
	1	2	3	4	5				9	10	11
Total General Account (G/A)	G/A Supporting Protected Cell Account Activity (a)	Total Protected Cell Account Restricted Assets	Protected Cell Account Assets Supporting G/A Activity (b)	Total (1 plus 3)	Total From Prior Year	Increase/ (Decrease) (5 minus 6)	Total Nonadmitted Restricted	Total Admitted Restricted (5 minus 8)	Gross (Admitted & Nonadmitted) Restricted to Total Assets (c)	Admitted Restricted to Total Admitted Assets (d)	
(a) Subject to contractual obligation for which liability is not shown	0	0	0	0	0	0	0	0	0	0.00%	0.00%
(b) Collateral held under security lending agreements	0	0	0	0	0	0	0	0	0	0.00%	0.00%
(c) Subject to repurchase agreements	0	0	0	0	0	0	0	0	0	0.00%	0.00%
(d) Subject to reverse repurchase agreements	0	0	0	0	0	0	0	0	0	0.00%	0.00%
(e) Subject to dollar repurchase agreements	0	0	0	0	0	0	0	0	0	0.00%	0.00%
(f) Subject to dollar reverse repurchase agreements	0	0	0	0	0	0	0	0	0	0.00%	0.00%
(g) Placed under option contracts	0	0	0	0	0	0	0	0	0	0.00%	0.00%
(h) Letter stock or securities restricted as to sale - excluding FHLB capital stock	0	0	0	0	0	0	0	0	0	0.00%	0.00%
(i) FHLB capital stock	48,500	0	0	0	48,500	39,200	9,300	0	48,500	0.04%	0.04%
(j) On deposit with states	3,741,720	0	0	0	3,741,720	3,756,032	(14,312)	0	3,741,720	2.80%	2.82%
(k) On deposit with other regulatory bodies	0	0	0	0	0	0	0	0	0	0.00%	0.00%
(l) Pledged as collateral to FHLB (including assets backing funding agreements)	0	0	0	0	0	0	0	0	0	0.00%	0.00%
(m) Pledged as collateral not captured in other categories	5,000,000	0	0	0	5,000,000	5,000,000	0	0	5,000,000	3.74%	3.77%
(n) Other restricted assets	0	0	0	0	0	0	0	0	0	0.00%	0.00%
(o) Total Restricted Assets	8,790,220	0	0	0	8,790,220	8,795,232	(5,012)	0	8,790,220	6.58%	6.63%

(a) Subset of column 1 (c) Column 5 divided by Asset Page Column 1, Line 28  
(b) Subset of column 3 (d) Column 9 divided by Asset Page, Column 3, Line 28

## 2. Detail of Asset Pledged as Collateral Not Captured in Other Categories (Contracts That Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate)

Description of Assets	Gross (Admitted & Nonadmitted) Restricted						8	Percentage		
	Current Year					6		7	9	10
	1	2	3	4	5		Total From Prior Year			
Total (c)	5,000,000	0	0	0	5,000,000	5,000,000	0	5,000,000	3.74%	3.77%
American Bank & Trust LOC	5,000,000	0	0	0	5,000,000	5,000,000	0	5,000,000	3.74%	3.77%
Total (c)	5,000,000	0	0	0	5,000,000	5,000,000	0	5,000,000	3.74%	3.77%

(a) Subset of column 1  
(b) Subset of column 3  
(c) Total line for columns 1 through 7 should equal 5L(1)M Columns 1 through 7 respectively and Total Line for Columns 8 through 10 should equal 5L(1)M Columns 9 through 11 respectively.



## Notes to Financial Statement

3. Detail of Other Restricted Assets (Contracts That Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate)

None

4. Collateral Received and Reflected as Assets Within the Reporting Entity's Financial Statements

None

**M. Working Capital Finance Investments**

Not Applicable

**N. Offsetting and Netting of Assets and Liabilities**

Not Applicable

**O. Structured Notes**

As of September 30, 2019, the Company does not hold any investments in structured notes.

**P. 5GI Securities**

None

**Q. Short Sales**

None

**R. Prepayment Penalty and Acceleration Fees**

None

**6. Joint Ventures, Partnerships and Limited Liability Companies**

Not Applicable

**7. Investment Income**

**A. Accrued Investment Income**

The Company does not admit investment income due and accrued if amounts are over 90 days past due.

**B. Amounts Non-admitted**

None

**8. Derivative Instruments**

Not Applicable

**9. Income Taxes**

The Company did not incur any major changes to its income taxes since December 31, 2018.

**10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties**

**A. Nature of Relationships**

Illinois Casualty Company converted from a mutual to a stock insurance company on March 24, 2017, and became the wholly owned subsidiary of ICC Holdings, Inc. Stock of ICC Holdings is traded on the NASDAQ exchange under the symbol ICCH.

Illinois Casualty Company sold Estrella Innovative Solutions, Inc. and Beverage Insurance Agency to its parent company, ICC Holdings, Inc. on June 27, 2018. The purchase price for Estrella Innovative Solutions, Inc. was equal to Illinois Casualty Company's capital contribution of \$270,078 and the purchase price of Beverage Insurance Agency was \$1,000.

Beverage Insurance Agency, which has been inactive for several years and has \$0 in assets, liabilities and equity, was owned by the Company through June 27, 2018.

Estrella Innovative Solutions, Inc., was a wholly owned non-insurance subsidiary through June 27, 2018. Estrella Innovative Solutions, Inc. was incorporated August 25, 2014, for the purpose of providing information technology services.

ICC Properties, LLC is a wholly owned LLC company for the purpose of holding income producing real estate. ICC Properties, LLC was organized on March 28, 2019.

## Notes to Financial Statement

### B. Detail of Transactions Greater the ½% of Admitted Assets

ICC Holdings, Inc. purchased property held by Illinois Casualty Company that was formerly sold to BOFI in a sale-leaseback agreement on June 27, 2018 in the amount of \$750,928. The assets consist of vehicles, furniture, IT equipment and software.

On September 7, 2018, ICC and its parent, ICC Holdings, Inc. (ICCH), entered into a loan agreement in which ICC loaned ICCH \$3.0 million and ICCH pledged real estate owned by ICC Realty, LLC. as collateral. In exchange for the \$3.0 million, ICCH agrees to make monthly payments in the amount of \$17,788 for 20 years beginning October 7, 2018. The effective annual interest rate is 3.750%. Since this transaction is a collateralized loan, it is reflected on Schedule BA of the financial statements and thus included on the "Other invested assets" line of ICC's balance sheet. Additionally, the Company has recorded \$82,701 and \$0 in interest income related to this transaction at September 30, 2019 and 2018, respectively.

On May 30, 2019, ICC and its parent, ICC Holdings, Inc. (ICCH), entered into a second loan agreement in which ICC loaned ICCH \$3.0 million and ICCH pledged real estate owned by ICC Realty, LLC. as collateral. In exchange for the \$3.0 million, ICCH agrees to make monthly payments in the amount of \$17,383 for 20 years beginning June 30, 2019. The effective annual interest rate is 3.490%. Since this transaction is a collateralized loan, it is reflected on Schedule BA of the financial statements and thus included on the "Other invested assets" line of ICC's balance sheet. Additionally, the Company has recorded \$34,749 and \$0 in interest income related to this transaction at September 30, 2019 and 2018, respectively.

### C. Change in Terms of Intercompany Arrangements

In 2017, the Company Tax Allocation and Cost Sharing agreements were amended to include the Company's parent, ICC Holdings, Inc., and was filed with the Illinois Department of Insurance. No changes have been made to either of these agreements in 2019.

### D. Amounts Due to or from Related Parties

At September 30, 2019, the Company reported \$247,718 as amounts receivable from related parties.

### E. Guarantees of Contingencies for Related Parties

The Company does not have any guarantees or undertakings, written or otherwise, for the benefit of an affiliate or related party that result in a material contingent exposure of the reporting entity's or any related party's assets or liabilities.

### F. Management, Service Contracts, Cost Sharing Agreements

The Company participates in a cost sharing agreement with ICC Holdings, Inc., ICC Realty, and Estrella Innovative Solutions, Inc. The method of allocation between the companies is subject to a written agreement accepted by the Illinois Department of Insurance. The Parties may share services determined to be reasonably necessary in the conduct of their operations, including but not limited to: (i) accounting, reporting, tax and auditing; (ii) telecommunication services and electronic data processing services, facilities and integration, including software programming and documentation, hardware utilization, and systems support; (iii) legal services; (iv) purchasing, payroll and employee relations services; (v) executive management services; (vi) other administrative services; and (vii) the cooperative purchase of goods and third party services, including but not limited to office equipment, office supplies, insurance, health and welfare plans, software licensing, and professional services ("Services").

Estrella has contracted with a firm in Mexico to provide technical services. These services are provided to ICC at cost. The Company has incurred \$270,334 and \$258,642 for the period ending September 30, 2019 and 2018, respectively, for third party programming fees.

The Company has incurred costs on behalf of its parent company, for which it has billed \$579,718 and \$789,909 as of September 30, 2019 and 2018, respectively. This amount is derived from allocations by the Company for services as mentioned above. The Company filed the Second Amended Cost Sharing agreement with the Illinois Department of Insurance in early 2017. This agreement was approved by the Illinois Department of Insurance in July 2017.

G-L. Not applicable.

### M. All SCA Investments

#### 1. Balance Sheet Value (Admitted and Nonadmitted) All SCAs (Except 8bi Entities)

Not Applicable

#### 2. NAIC Filing Response Information

Not Applicable

### N. Investment in Insurance SCAs

None

## 11. Debt

### A. Debt Consists of the Following Obligations

None

## Notes to Financial Statement

## B. FHLB (Federal Home Loan Bank) Agreements

1. The Company became a member of the Federal Home Loan Bank (FHLB) of Chicago in February 2018. It is part of the Company's strategy to utilize funds as needed. The Company has determined the actual/estimated borrowing capacity as \$7.7 million. The Company calculated this amount in accordance with instructions provided by the FHLB of Chicago using bond holdings as of December 31, 2017.

## 2. FHLB Capital Stock

## a. Aggregate Totals

Description	1 Total 2+3	2 General Account	3 Protected Cell Accounts
1. Current Quarter			
(a) Membership Stock – Class A	0	0	0
(b) Membership Stock – Class B	48,500	48,500	0
(c) Activity Stock	0	0	0
(d) Excess Stock	0	0	0
(e) Aggregate Total (a+b+c+d)	48,500	48,500	0
(f) Actual or estimated Borrowing Capacity as Determined by the Insurer	7,700,000	XXX	XXX
2. Prior Year-end			
(a) Membership Stock – Class A	0	0	0
(b) Membership Stock – Class B	39,200	39,200	0
(c) Activity Stock	0	0	0
(d) Excess Stock	0	0	0
(e) Aggregate Total (a+b+c+d)	39,200	39,200	0
(f) Actual or estimated Borrowing Capacity as Determined by the Insurer	7,700,000	XXX	XXX

## b. Membership Stock (Class A and B) Eligible and Not Eligible for Redemption

Membership Stock	1 Current Quarter Total (2+3+4+5+6)	2 Not Eligible for Redemption	Eligible for Redemption			
			3 Less Than 6 Months	4 6 months to less than 1 year	5 1 to less than 3 years	6 3 to 5 Years
1. Class A	0	0	0	0	0	0
2. Class B	48,500	0	0	0	0	48,500

## 3. Collateral Pledged to FHLB

None

## 4. Borrowing from FHLB

None

## 12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans.

## A-D. Defined Benefit Plan

In 2012, the Company implemented a non-contributory defined benefit pension plan covering a limited number of executives. Assuming a projected discount rate of 4.22%, the plan requires \$1,643,716 to be accrued by December 31, 2031 to meet the fully vested projected benefit obligation. The plan is structured to permit 25% vesting in years 2017 through 2021, 50% vesting in years 2022 through 2026, 75% vesting in years 2027 through 2031, and 100% vesting effective January 1, 2032. As of September 30, 2019, the Company had accrued a pension liability in the amount of \$278,592 in accordance with actuarially determined assumptions.

## E. Defined Contribution Plans

The Company sponsors a 401(k) profit sharing plan for its employees. The Company offers a matching percentage as well as a profit sharing percentage of each employee's compensation. The employees vest at a rate of 25% per year for the profit sharing distribution and the matching percentage is 100% vested. The total contribution to the 401(k) profit sharing plan was \$189,422 and \$186,078 as of September 30, 2019 and 2018, respectively. Beginning in March 2017, the Company offers an ESOP. The ESOP Trust purchased 350,000 shares or 10% of the parent company's IPO which is payable over 15 years. 21,878 shares are allocable to employees in the first year and 23,437 in each year thereafter. A total expense of \$216,404 and \$229,905 has been accrued as of September 30, 2019 and 2018, respectively, related to this plan.

## F. Multiemployer Plans

Not Applicable

## G. Consolidated/Holding Company Plans

Not Applicable

## Notes to Financial Statement

H. Postemployment Benefits and Compensated Absences

The Company did not incur any major changes to its post-employment benefits since December 31, 2018.

I. Impact of Medicare Modernization Act on Postretirement Benefits

Not Applicable

### 13. Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations

A. Outstanding Shares

The Company has 100,000 shares of common stock authorized, issued, and outstanding as of September 30, 2019. The par value of the shares is \$30 per share.

B. Dividend Rate of Preferred Stock

Not Applicable

C. Dividend Restrictions

No Illinois domiciled company may pay any extraordinary dividend or make any other extraordinary distribution to its security holders until: (a) 30 days after the Director has received notice of the declaration thereof and has not within such period disapproved the payment, or (b) the Director approves such payment within the 30-day period. For purposes of this subsection, an extraordinary dividend or distribution is any dividend or distribution of cash or other property whose fair market value, together with that of other dividends or distributions, made within the period of 12 consecutive months ending on the date on which the proposed dividend is scheduled for payment or distribution exceeds the greater of: (a) 10% of the company's surplus as regards policyholders as of the 31st day of December next preceding, or (b) the net income of the company for the 12-month period ending the 31st day of December next preceding, but does not include pro rata distributions of any class of the company's own securities.

D. Dates and Amounts of Dividends Paid

Not Applicable

E. Amount of Ordinary Dividends That May Be Paid

There are no additional limitations other than those described in item C above.

F. Restrictions Placed on Unassigned Surplus

None

G. Mutual Surplus Advance

None

H. Company Stock Held for Special Purposes

Not applicable

I. Changes in Special Surplus Funds

Changes in balances of special surplus funds from the prior year are due to the sale leaseback transaction previously referenced in footnote 5J(b).

J. Changes in Unassigned Funds

There has been no significant changes since December 31, 2018.

K. The Company issued the following surplus debentures or similar obligations:

None

L. The impact of any restatement due to prior quasi-reorganizations is as follows:

Not Applicable

M. Effective Date of Quasi Reorganization

Not Applicable

### 14. Liabilities, Contingencies and Assessments

A-E. The Company did not incur any material changes in its contingency items since December 31, 2018.

## Notes to Financial Statement

### 15. Leases

#### A. Lessee Operating Lease

1. None

2. None

#### 3. Sale-Leaseback Transactions

- a. The Company entered into a sale-leaseback arrangement on September 22, 2015, a second sale-leaseback arrangement on March 31, 2016, and a final arrangement on September 29, 2016. To secure a lower implied lease rate, the Company pledged bonds that are referenced in Footnote 5L. In the transactions, BOFI Federal Bank has purchased nonadmitted electronic data processing software, furniture, fixtures, equipment, and titled vehicles which are leased to the Company. In the first agreement, rental payments are \$15,094.50 for the electronic data processing software and \$5,609.33 for the titled vehicles. The term of the lease is 48 months and 36 months, respectively. In the second agreement, rental payments are \$19,143 for all items sold. The term of the lease is 36 months. The final arrangement on September 29, 2016 was paid off in third quarter 2017.

In first quarter 2018, the Company paid the remaining balance of the sale-lease agreement and subsequently entered into a sale-leaseback agreement with ICC Holdings, Inc. In June 2018, this agreement was approved by the Illinois Department of Insurance. The lease payments and terms are the same as the agreement with BOFI. The lease will be paid off in the same time frame as well.

As a part of the sale of all the Company's real estate, the Company entered into a lease agreement for the Home Office in the fourth quarter of 2017. This transaction was filed and approved by the Illinois Department of Insurance in 2017. Due to this transaction, the Company incurred \$193,293 in rent expense as of September 30, 2019 and 2018, respectively.

b. None

#### B. Lessor Leases

None

### 16. Information About Financial Instruments With Off-Balance-Sheet Risk And Financial Instruments With Concentrations of Credit Risk

Not Applicable

### 17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

#### A. Transfers of Receivables reported as Sales

None

#### B. Transfer and Servicing of Financial Assets

None

#### C. Wash Sales

None

### 18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

Not Applicable

### 19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

Not Applicable

### 20. Fair Value Measurements

#### A.

#### 1. Fair Value Measurements at Reporting Date

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Total
a. Assets at fair value					
Bonds	0	0	0	0	0
Common Stocks	12,317,889	48,500	0	0	12,366,389
Preferred Stocks	0	0	0	0	0
Total assets at fair value / NAV	12,317,889	48,500	0	0	12,366,389
b. Liabilities at fair value					
Total liabilities at fair value	0	0	0	0	0

#### 2. Fair Value Measurements in (Level 3) of the Fair Value Hierarchy

Not Applicable

## Notes to Financial Statement

B. Not Applicable

C.

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Bonds	90,100,831	86,099,483	1,347,742	88,753,089	0	0	0
Common Stock	12,366,389	12,366,389	12,317,889	48,500	0	0	0
Preferred Stock	0	0	0	0	0	0	0
Cash Equivalents	4,304,966	4,304,966	4,304,966	0	0	0	0

D. Not Practicable to Estimate Fair Value

Not Applicable

**21. Other Items**

A. Unusual or Infrequent Items

In the first quarter of 2018, the Company repurchased assets previously included in the sale leaseback transactions from 2015 and 2016. The payoff amount for these assets was \$750,928. This repurchase led to the large change in nonadmitted assets that is reflected on the balance sheet.

Illinois Casualty Company sold Estrella Innovative Solutions, Inc. and Beverage Insurance Agency to its parent company, ICC Holdings, Inc. on June 27, 2018. The purchase price for Estrella Innovative Solutions, Inc. was equal to Illinois Casualty Company's capital contribution of \$270,078 and the purchase price of Beverage Insurance Agency was \$1,000.

B. Troubled Debt Restructuring: Debtors

Not Applicable

C. Other Disclosures

None

D. Business Interruption Insurance Recoveries

None

E. State Transferable and Non-transferable Tax Credits

None

F. Subprime-Mortgage-Related Risk Exposure

None

G. Insurance-Linked Securities (ILS) Contracts

None

**22. Events Subsequent**

None

**23. Reinsurance**

The 2019 primary excess of loss contracts no longer include ceding commission. This modification will increase the Company's expense ratio in 2019 relative to prior periods, but the additional premium retained by the Company will help mitigate the impact and will improve the loss and loss adjustment expense ratio.

**24. Retrospectively Rated Contracts & Contracts Subject to Redetermination**

Not Applicable

**25. Changes in Incurred Losses and Loss Adjustment Expenses**

The estimated cost of loss and loss adjustment expenses attributable to insured events of prior years increased by \$2.3 million as of September 30, 2019. The adverse development as of the third quarter of 2019 was due to both the businessowners' liability and liquor liability lines of business.

**26. Intercompany Pooling Arrangements**

Not Applicable

## Notes to Financial Statement

### 27. Structured Settlements

The Company did not incur any material changes during the period covered.

### 28. Health Care Receivables

Not Applicable

### 29. Participating Policies

Not Applicable

### 30. Premium Deficiency Reserves

1. Liability carried for premium deficiency reserves	0
2. Date of the most recent evaluation of this liability	09/30/2019
3. Was anticipated investment income utilized in the calculation? (Yes / No)	No

### 31. High Deductibles

Not Applicable

### 32. Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses

Not Applicable

### 33. Asbestos/Environmental Reserves

Not Applicable

### 34. Subscriber Savings Accounts

Not Applicable

### 35. Multiple Peril Crop Insurance

Not Applicable

### 36. Financial Guaranty Insurance

Not Applicable

# GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes[ ] No[X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes[ ] No[ ] N/A[X]
  
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes[ ] No[X]
- 2.2 If yes, date of change: .....
  
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes[X] No[ ]  
If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes[ ] No[X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes:
- 3.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes[X] No[ ]
- 3.5 If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. 0001681903
  
- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes[ ] No[X]  
If yes, complete and file the merger history data file with the NAIC for the annual filing corresponding to this period.
- 4.2 If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile
.....	.....	.....

- 5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? Yes[ ] No[ ] N/A[X]  
If yes, attach an explanation.
  
- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made. ..... 12/31/2016 .....
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. ..... 12/31/2016 .....
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). ..... 05/16/2018 .....
- 6.4 By what department or departments?  
Illinois Department of Insurance
- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes[ ] No[ ] N/A[X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes[X] No[ ] N/A[ ]
  
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes[ ] No[X]
- 7.2 If yes, give full information
  
- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes[ ] No[X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes[ ] No[X]
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.]

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
.....	.....	..... No .....	..... No .....	..... No .....	..... No .....

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes[X] No[ ]
  - (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
  - (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
  - (c) Compliance with applicable governmental laws, rules and regulations;
  - (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
  - (e) Accountability for adherence to the code.
- 9.11 If the response to 9.1 is No, please explain:
- 9.2 Has the code of ethics for senior managers been amended? Yes[ ] No[X]
- 9.21 If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes[ ] No[X]
- 9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

### FINANCIAL

- 10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes[X] No[ ]
- 10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ ..... 247,718

### INVESTMENT

- 11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes[ ] No[X]
- 11.2 If yes, give full and complete information relating thereto:
  
- 12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ ..... 0
- 13. Amount of real estate and mortgages held in short-term investments: \$ ..... 0
  
- 14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes[ ] No[X]



# GENERAL INTERROGATORIES (Continued)

## INVESTMENT

14.2 If yes, please complete the following:

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds .....		
14.22 Preferred Stock .....		
14.23 Common Stock .....		
14.24 Short-Term Investments .....		
14.25 Mortgages Loans on Real Estate .....		
14.26 All Other .....		
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) .....		
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....		

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes[ ] No[X]  
 15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes[ ] No[ ] N/A[X]  
 If no, attach a description with this statement.

16. For the reporting entity's security lending program, state the amount of the following as of the current statement date:  
 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$ ..... 0  
 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$ ..... 0  
 16.3 Total payable for securities lending reported on the liability page \$ ..... 0

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes[X] No[ ]

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
American Bank & Trust .....	1600 4th Avenue Suite 405, Rock Island, IL 61201 .....
Illinois National Bank (For State of IL & CO) .....	322 East Capital Avenue, Springfield, IL 62701 .....
Central Bank (For State of Missouri) .....	PO Box 779, Jefferson City, MO 65102-9982 .....
JP Morgan Chase Bank (For State of Michigan) .....	1111 Polaris Parkway, Floor 3J, Columbus, OH 43240 .....
U.S. Bank (For State of Oregon) .....	555 SW Oak Street, Portland, OR 97204 .....

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes[ ] No[X]

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management - Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. [" that have access to the investment accounts"; " handle securities"]

1 Name of Firm or Individual	2 Affiliation
New England Asset Management, Inc. ....	U .....
Arron Sutherland .....	I .....
Miles Capital, Inc. ....	U .....

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's assets? Yes[X] No[ ]

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets? Yes[X] No[ ]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
105900 .....	New England Asset Management, Inc. ....	KUR85EPS4GQFZTFC130 .....	Securities Exchange Commission .....	DS .....
105376 .....	Miles Capital, Inc. ....	254900VR8AMF41FDZF16 .....	Securities Exchange Commission .....	DS .....

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes[X] No[ ]

18.2 If no, list exceptions:

## **GENERAL INTERROGATORIES (Continued)**

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
- a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
  - b. Issuer or obligor is current on all contracted interest and principal payments.
  - c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting entity self-designated 5GI securities? Yes[ ] No[X]
20. By self-designating PLGI securities, the reporting entity is certifying the following elements for each self-designated PLGI security:
- a. The security was purchased prior to January 1, 2018 .
  - b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
  - c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
  - d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities? Yes[ ] No[X]

**GENERAL INTERROGATORIES****PART 2 - PROPERTY & CASUALTY INTERROGATORIES**

1. If the reporting entity is a member of a pooling arrangement, did the agreement or the reporting entity's participation change?  
If yes, attach an explanation. Yes[ ] No[ ] N/A[X]
2. Has the reporting entity reinsured any risk with any other reporting entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on the risk, or portion thereof, reinsured?  
If yes, attach an explanation. Yes[ ] No[X]
- 3.1 Have any of the reporting entity's primary reinsurance contracts been canceled? Yes[ ] No[X]
- 3.2 If yes, give full and complete information thereto
- 4.1 Are any of the liabilities for unpaid losses and loss adjustment expenses other than certain workers' compensation tabular reserves (see annual statement instructions pertaining to disclosure of discounting for definition of "tabular reserves,") discounted at a rate of interest greater than zero? Yes[ ] No[X]
- 4.2 If yes, complete the following schedule:

1 Line of Business	2 Maximum Interest	3 Discount Rate	TOTAL DISCOUNT				DISCOUNT TAKEN DURING PERIOD			
			4 Unpaid Losses	5 Unpaid LAE	6 IBNR	7 TOTAL	8 Unpaid Losses	9 Unpaid LAE	10 IBNR	11 TOTAL
04.2999 Total .....			.....	.....	.....	.....	.....	.....	.....	.....

5. Operating Percentages:
- 5.1 A&H loss percent ..... 0.000%
- 5.2 A&H cost containment percent ..... 0.000%
- 5.3 A&H expense percent excluding cost containment expenses ..... 0.000%
- 6.1 Do you act as a custodian for health savings accounts? Yes[ ] No[X]
- 6.2 If yes, please provide the amount of custodial funds held as of the reporting date. \$ ..... 0
- 6.3 Do you act as an administrator for health savings accounts? Yes[ ] No[X]
- 6.4 If yes, please provide the balance of the funds administered as of the reporting date. \$ ..... 0
7. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? Yes[X] No[ ]
- 7.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? Yes[ ] No[X]

## SCHEDULE F - CEDED REINSURANCE

Showing all new reinsurers - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Name of Reinsurer	4 Domiciliary Jurisdiction	5 Type of Reinsurer	6 Certified Reinsurer Rating (1 through 6)	7 Effective Date of Certified Reinsurer Rating
<b>U.S. insurers</b>						
10227 .....	13-4924125 .....	MUNICH REINS AMER INC .....	DE .....	Authorized .....	.....	.....
<b>All other insurers</b>						
00000 .....	AA-1340028 .....	Devk Ruckversicherungs und Beteiligungs AG .....	DEU .....	Authorized .....	.....	.....

**SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN****Current Year to Date - Allocated by States and Territories**

	1 Active Status (a)	Direct Premiums Written		Direct Losses Paid (Deducting Salvage)		Direct Losses Unpaid	
		2 Current Year To Date	3 Prior Year To Date	4 Current Year To Date	5 Prior Year To Date	6 Current Year To Date	7 Prior Year To Date
States, etc.							
1. Alabama (AL)	N						
2. Alaska (AK)	N						
3. Arizona (AZ)	L						
4. Arkansas (AR)	N						
5. California (CA)	N						
6. Colorado (CO)	L	3,023,165	1,813,487	257,374	82,099	472,570	149,220
7. Connecticut (CT)	N						
8. Delaware (DE)	N						
9. District of Columbia (DC)	N						
10. Florida (FL)	N						
11. Georgia (GA)	N						
12. Hawaii (HI)	N						
13. Idaho (ID)	N						
14. Illinois (IL)	L	12,455,402	13,832,235	7,805,085	8,807,621	21,461,232	15,776,658
15. Indiana (IN)	L	4,211,513	4,188,473	3,680,811	1,836,798	4,143,749	4,378,660
16. Iowa (IA)	L	5,609,219	5,485,761	1,869,326	2,426,697	4,300,439	5,068,140
17. Kansas (KS)	L	398,098	257,799	41,237		30,412	35,500
18. Kentucky (KY)	N						
19. Louisiana (LA)	N						
20. Maine (ME)	N						
21. Maryland (MD)	N						
22. Massachusetts (MA)	N						
23. Michigan (MI)	L	1,279,615	621,479	101,873		83,880	18,095
24. Minnesota (MN)	L	9,584,097	9,493,629	6,553,920	2,667,662	5,299,229	6,079,893
25. Mississippi (MS)	N						
26. Missouri (MO)	L	8,294,897	8,213,599	5,846,247	3,006,509	6,245,440	4,863,414
27. Montana (MT)	N						
28. Nebraska (NE)	N						
29. Nevada (NV)	N						
30. New Hampshire (NH)	N						
31. New Jersey (NJ)	N						
32. New Mexico (NM)	N						
33. New York (NY)	N						
34. North Carolina (NC)	N						
35. North Dakota (ND)	N						
36. Ohio (OH)	L	2,150,197	1,328,540	325,614	877,037	325,084	294,482
37. Oklahoma (OK)	N						
38. Oregon (OR)	L						
39. Pennsylvania (PA)	L						
40. Rhode Island (RI)	N						
41. South Carolina (SC)	N						
42. South Dakota (SD)	N						
43. Tennessee (TN)	L						
44. Texas (TX)	N						
45. Utah (UT)	L						
46. Vermont (VT)	N						
47. Virginia (VA)	N						
48. Washington (WA)	N						
49. West Virginia (WV)	N						
50. Wisconsin (WI)	L	1,343,263	1,347,505	992,924	299,843	945,109	434,400
51. Wyoming (WY)	N						
52. American Samoa (AS)	N						
53. Guam (GU)	N						
54. Puerto Rico (PR)	N						
55. U.S. Virgin Islands (VI)	N						
56. Northern Mariana Islands (MP)	N						
57. Canada (CAN)	N						
58. Aggregate other alien (OT)	X X X						
59. Totals	X X X	48,349,466	46,582,507	27,474,411	20,004,266	43,307,144	37,098,462
<b>DETAILS OF WRITE-INS</b>							
58001	X X X						
58002	X X X						
58003	X X X						
58998 Summary of remaining write-ins for Line 58 from overflow page	X X X						
58999 TOTALS (Lines 58001 through 58003 plus 58998) (Line 58 above)	X X X						

(a) Active Status Counts:

L Licensed or Chartered - Licensed insurance carrier or domiciled RRG

E Eligible - Reporting entities eligible or approved to write surplus lines in the state (other than their state of domicile See DSLI)

D Domestic Surplus Lines Insurer (DSLII) Reporting entities authorized to write surplus lines in the state of domicile.

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R Registered - Non-domiciled RRGs

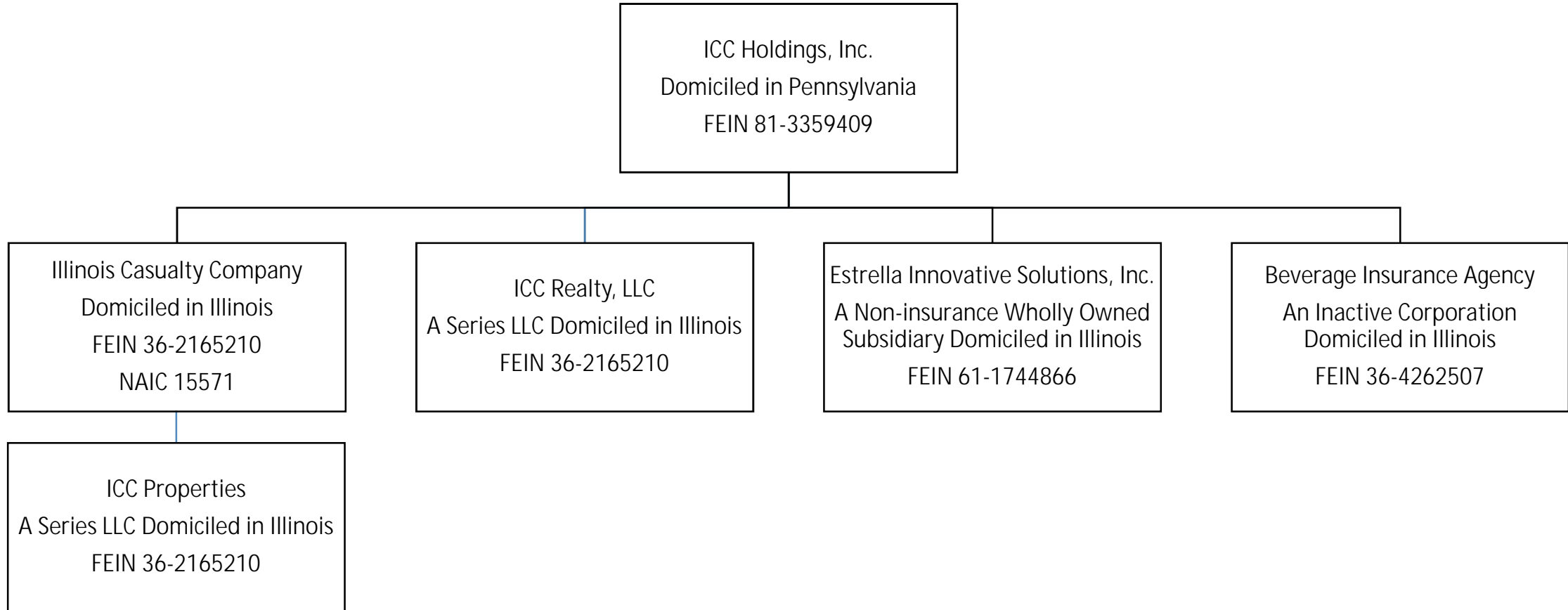
Q Qualified - Qualified or accredited reinsurer

N None of the above Not allowed to write business in the state

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**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER**  
**MEMBERS OF A HOLDING COMPANY GROUP**  
**PART 1 - ORGANIZATIONAL CHART**

Q11



## SCHEDULE Y

### PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	FEDERAL RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity / Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies) / Person(s)	Is an SCA Filing Required? (Y/N)	*
.....	.....	00000	81-3359409	.....	0001681903	Nasdaq	ICC Holdings, Inc.	PA	UDP	ICC Holdings, Inc.	Ownership	100.0	ICC Holdings, Inc.	N	.....
.....	.....	15571	36-2165210	.....	.....	.....	Illinois Casualty Co	IL	RE	ICC Holdings, Inc.	Ownership	100.0	ICC Holdings, Inc.	N	.....
.....	.....	00000	36-4262507	.....	.....	.....	Beverage Insurance Agency	IL	DS	ICC Holdings, Inc.	Ownership	100.0	ICC Holdings, Inc.	N	.....
.....	.....	00000	61-1744866	.....	.....	.....	Estrella Innovative Solutions, Inc	IL	NIA	ICC Holdings, Inc.	Ownership	100.0	ICC Holdings, Inc.	N	.....
.....	.....	00000	36-2165210	.....	.....	.....	ICC Realty, LLC	IL	OTH	ICC Holdings, Inc.	Ownership	100.0	ICC Holdings, Inc.	N	0000001
.....	.....	00000	362165210	.....	.....	.....	ICC Properties, LLC	IL	OTH	Illinois Casualty Company	Ownership	100.0	Illinois Casualty Company	N	0000002

Asterisk	Explanation
0000001	Series LLC with the sole purpose of holding income producing real estate for ICC Holdings, Inc.
0000002	Series LLC with the sole purpose of holding income producing real estate for Illinois Casualty Company

**PART 1 - LOSS EXPERIENCE**

Line of Business	Current Year to Date			4 Prior Year to Date Direct Loss Percentage
	1 Direct Premiums Earned	2 Direct Losses Incurred	3 Direct Loss Percentage	
1. Fire				
2. Allied lines				
3. Farmowners multiple peril				
4. Homeowners multiple peril				
5. Commercial multiple peril	28,688,336	26,992,084	94.087	72.891
6. Mortgage guaranty				
8. Ocean marine				
9. Inland marine				
10. Financial guaranty				
11.1 Medical professional liability - occurrence				
11.2 Medical professional liability - claims made				
12. Earthquake				
13. Group accident and health				
14. Credit accident and health				
15. Other accident and health				
16. Workers' compensation	5,706,153	2,445,824	42.863	47.706
17.1 Other liability - occurrence	12,315,862	4,677,990	37.984	14.187
17.2 Other liability - claims made				
17.3 Excess Workers' Compensation				
18.1 Products liability - occurrence				
18.2 Products liability - claims made				
19.1 19.2 Private passenger auto liability				
19.3 19.4 Commercial auto liability				
21. Auto physical damage				
22. Aircraft (all perils)				
23. Fidelity				
24. Surety				
26. Burglary and theft				
27. Boiler and machinery				
28. Credit				
29. International				
30. Warranty				
31. Reinsurance-Nonproportional Assumed Property	X X X	X X X	X X X	X X X
32. Reinsurance-Nonproportional Assumed Liability	X X X	X X X	X X X	X X X
33. Reinsurance-Nonproportional Assumed Financial Lines	X X X	X X X	X X X	X X X
34. Aggregate write-ins for other lines of business				
35. TOTALS	46,710,351	34,115,898	73.037	53.909
DETAILS OF WRITE-INS				
3401.				
3402.				
3403.				
3498. Summary of remaining write-ins for Line 34 from overflow page				
3499. TOTALS (Lines 3401 through 3403 plus 3498) (Line 34 above)				

**PART 2 - DIRECT PREMIUMS WRITTEN**

Line of Business	1	2	3
	Current Quarter	Current Year to Date	Prior Year Year to Date
1. Fire			
2. Allied lines			
3. Farmowners multiple peril			
4. Homeowners multiple peril			
5. Commercial multiple peril	10,034,331	30,109,768	28,437,471
6. Mortgage guaranty			
8. Ocean marine			
9. Inland marine			
10. Financial guaranty			
11.1 Medical professional liability - occurrence			
11.2 Medical professional liability - claims made			
12. Earthquake			
13. Group accident and health			
14. Credit accident and health			
15. Other accident and health			
16. Workers' compensation	1,782,315	5,400,509	5,679,108
17.1 Other liability - occurrence	4,488,576	12,839,189	12,465,928
17.2 Other liability - claims made			
17.3 Excess Workers' Compensation			
18.1 Products liability - occurrence			
18.2 Products liability - claims made			
19.1 19.2 Private passenger auto liability			
19.3 19.4 Commercial auto liability			
21. Auto physical damage			
22. Aircraft (all perils)			
23. Fidelity			
24. Surety			
26. Burglary and theft			
27. Boiler and machinery			
28. Credit			
29. International			
30. Warranty			
31. Reinsurance-Nonproportional Assumed Property	X X X	X X X	X X X
32. Reinsurance-Nonproportional Assumed Liability	X X X	X X X	X X X
33. Reinsurance-Nonproportional Assumed Financial Lines	X X X	X X X	X X X
34. Aggregate write-ins for other lines of business			
35. TOTALS	16,305,222	48,349,466	46,582,507
DETAILS OF WRITE-INS			
3401.			
3402.			
3403.			
3498. Summary of remaining write-ins for Line 34 from overflow page			
3499. TOTALS (Lines 3401 through 3403 plus 3498) (Line 34 above)			



**PART 3 (000 omitted)**  
**LOSS AND LOSS ADJUSTMENT EXPENSE RESERVES SCHEDULE**

	1	2	3	4	5	6	7	8	9	10	11	12	13
Years in Which Losses Occurred	Prior Year-End Known Case Loss and LAE Reserves	Prior Year-End IBNR Loss and LAE Reserves	Total Prior Year-End Loss and LAE Reserves (Cols. 1 + 2)	2019 Loss and LAE Payments on Claims Reported as of Prior Year-End	2019 Loss and LAE Payments on Claims Unreported as of Prior Year-End	Total 2019 Loss and LAE Payments (Cols. 4 + 5)	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported and Open as of Prior Year-End	Q.S. Date Known Case Loss and LAE Reserves on Claims Reported or Reopened Subsequent to Prior Year-End	Q.S. Date IBNR Loss and LAE Reserves	Total Q.S. Loss and LAE Reserves (Cols. 7 + 8 + 9)	Prior Year-End Known Case Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 4 + 7 minus Col. 1)	Prior Year-End IBNR Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 5 + 8 + 9 minus Col. 2)	Prior Year-End Total Loss and LAE Reserves Developed (Savings)/ Deficiency (Cols. 11 + 12)
1. 2016 + Prior .....	9,533	5,398	14,931	5,428	1,514	6,942	7,140	210	3,008	10,359	3,036	(666)	2,370
2. 2017 .....	5,132	5,337	10,470	2,332	1,028	3,360	4,169	342	3,390	7,901	1,369	(577)	791
3. Subtotals 2017 + Prior .....	14,665	10,736	25,401	7,760	2,542	10,302	11,309	552	6,399	18,260	4,404	(1,243)	3,161
4. 2018 .....	8,835	10,476	19,311	4,687	1,327	6,014	4,317	1,446	6,710	12,473	169	(993)	(823)
5. Subtotals 2018 + Prior .....	23,500	21,211	44,711	12,447	3,869	16,316	15,626	1,998	13,108	30,733	4,573	(2,236)	2,338
6. 2019 .....	X X X	X X X	X X X	X X X	10,456	10,456	X X X	7,244	8,079	15,323	X X X	X X X	X X X
7. Totals .....	23,500	21,211	44,711	12,447	14,325	26,773	15,626	9,242	21,188	46,056	4,573	(2,236)	2,338
8. Prior Year-End Surplus As Regards Policyholders .....	50,552										Col. 11, Line 7 As % of Col. 1 Line 7 1..... 19.461	Col. 12, Line 7 As % of Col. 2 Line 7 2..... (10.541)	Col. 13, Line 7 As % of Col. 3 Line 7 3..... 5.228
													Col. 13, Line 7 Line 8 4..... 4.624

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# SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

**RESPONSES**

- |  |    |
|--|----|
| 1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?                         | No |
| 2. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed with this statement?                         | No |
| 3. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?                | No |
| 4. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC with this statement? | No |

Explanations:

Bar Codes:

Trusteed Surplus Statement



15571201949000003

2019

Document Code: 490

Supplement A to Schedule T



15571201945500003

2019

Document Code: 455

Medicare Part D Coverage Supplement



15571201936500003

2019

Document Code: 365

Director and Officer Supplement



15571201950500003

2019

Document Code: 505



STATEMENT AS OF **September 30, 2019** OF THE **Illinois Casualty Company**  
**SCHEDULE A - VERIFICATION**

**Real Estate**

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Current year change in encumbrances .....		
4. Total gain (loss) on disposals .....		
5. Deduct amounts received on disposals .....		
6. Total foreign exchange change in book/adjusted carrying value .....		
7. Deduct current year's other-than-temporary impairment recognized .....		
8. Deduct current year's depreciation .....		
9. Book/adjusted carrying value at the end of current period (Lines 1 + 2 + 3 + 4 - 5 + 6 - 7 - 8) .....		
10. Deduct total nonadmitted amounts .....		
11. Statement value at end of current period (Line 9 minus Line 10) .....		

**NONE**

**SCHEDULE B - VERIFICATION**

**Mortgage Loans**

	1	2
	Year To Date	Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year .....		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....		
8. Deduct amortization of premium and mortgage interest points .....		
9. Total foreign exchange change in book value/recorded investment .....		
10. Deduct current year's other-than-temporary impairment recognized .....		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10) .....		
12. Total valuation allowance .....		
13. Subtotal (Line 11 plus Line 12) .....		
14. Deduct total nonadmitted amounts .....		
15. Statement value at end of current period (Line 13 minus Line 14) .....		

**NONE**

**SCHEDULE BA - VERIFICATION**

**Other Long-Term Invested Assets**

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	2,974,686	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....	3,000,000	3,000,000
2.2 Additional investment made after acquisition .....		
3. Capitalized deferred interest and other .....		
4. Accrual of discount .....		
5. Unrealized valuation increase (decrease) .....		
6. Total gain (loss) on disposals .....		
7. Deduct amounts received on disposals .....	112,164	25,314
8. Deduct amortization of premium and depreciation .....		
9. Total foreign exchange change in book/adjusted carrying value .....		
10. Deduct current year's other-than-temporary impairment recognized .....		
11. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10) .....	5,862,522	2,974,686
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12) .....	5,862,522	2,974,686

**SCHEDULE D - VERIFICATION**

**Bonds and Stocks**

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year .....	98,283,299	96,526,136
2. Cost of bonds and stocks acquired .....	23,294,654	33,756,239
3. Accrual of discount .....	49,590	40,492
4. Unrealized valuation increase (decrease) .....	1,652,559	(2,143,700)
5. Total gain (loss) on disposals .....	714,000	908,272
6. Deduct consideration for bonds and stocks disposed of .....	25,203,523	30,453,239
7. Deduct amortization of premium .....	224,638	336,551
8. Total foreign exchange change in book/adjusted carrying value .....		
9. Deduct current year's other-than-temporary impairment recognized .....	100,069	16,178
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees .....		1,828
11. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9 + 10) .....	98,465,872	98,283,299
12. Deduct total nonadmitted amounts .....		
13. Statement value at end of current period (Line 11 minus Line 12) .....	98,465,872	98,283,299

## SCHEDULE D - PART 1B

**Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation**

	1	2	3	4	5	6	7	8
NAIC Designation	Book/Adjusted Carrying Value Beginning of Current Quarter	Acquisitions During Current Quarter	Dispositions During Current Quarter	Non-Trading Activity During Current Quarter	Book/Adjusted Carrying Value End of First Quarter	Book/Adjusted Carrying Value End of Second Quarter	Book/Adjusted Carrying Value End of Third Quarter	Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a) .....	76,331,711	5,368,458	5,459,796	(487,120)	78,996,702	76,331,711	75,753,253	75,167,145
2. NAIC 2 (a) .....	9,888,952	248,766	218,000	426,512	10,994,393	9,888,952	10,346,230	12,046,670
3. NAIC 3 (a) .....								
4. NAIC 4 (a) .....								
5. NAIC 5 (a) .....								
6. NAIC 6 (a) .....								
7. Total Bonds .....	86,220,663	5,617,224	5,677,796	(60,608)	89,991,095	86,220,663	86,099,483	87,213,815
<b>PREFERRED STOCK</b>								
8. NAIC 1 .....								
9. NAIC 2 .....								
10. NAIC 3 .....								
11. NAIC 4 .....								
12. NAIC 5 .....								
13. NAIC 6 .....								
14. Total Preferred Stock .....								
15. Total Bonds & Preferred Stock .....	86,220,663	5,617,224	5,677,796	(60,608)	89,991,095	86,220,663	86,099,483	87,213,815

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$.....0; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0

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**SI03 Schedule DA Part 1 ..... NONE**

**SI03 Schedule DA Verification ..... NONE**

**SI04 Schedule DB - Part A Verification ..... NONE**

**SI04 Schedule DB - Part B Verification ..... NONE**

**SI05 Schedule DB Part C Section 1 ..... NONE**

**SI06 Schedule DB Part C Section 2 ..... NONE**

**SI07 Schedule DB - Verification ..... NONE**

**SCHEDULE E - PART 2 - VERIFICATION**

(Cash Equivalents)

		1	2
		Year To Date	Prior Year Ended December 31
1.	Book/adjusted carrying value, December 31 of prior year .....	1,326,582	3,719,931
2.	Cost of cash equivalents acquired .....	22,657,958	16,579,576
3.	Accrual of discount .....	6,716	
4.	Unrealized valuation increase (decrease) .....		
5.	Total gain (loss) on disposals .....		
6.	Deduct consideration received on disposals .....	19,686,290	18,972,925
7.	Deduct amortization of premium .....		
8.	Total foreign exchange change in book/adjusted carrying value .....		
9.	Deduct current year's other-than-temporary impairment recognized .....		
10.	Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9) .....	4,304,966	1,326,582
11.	Deduct total nonadmitted amounts .....		
12.	Statement value at end of current period (Line 10 minus Line 11) .....	4,304,966	1,326,582

**E01 Schedule A Part 2 ..... NONE**

**E01 Schedule A Part 3 ..... NONE**

**E02 Schedule B Part 2 ..... NONE**

**E02 Schedule B Part 3 ..... NONE**



## SCHEDULE BA - PART 2

### Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location		5 Name of Vendor or General Partner	6 NAIC Designation and Administrative Symbol/ Market Indicator	7 Date Originally Acquired	8 Type and Strategy	9 Actual Cost at Time of Acquisition	10 Additional Investment Made After Acquisition	11 Amount of Encumbrances	12 Commitment for Additional Investment	13 Percentage of Ownership	
		3 City	4 State										
4499999 Total - Unaffiliated .....													... X X X ...
4599999 Total - Affiliated .....													... X X X ...
4699999 TOTALS .....													... X X X ...

## SCHEDULE BA - PART 3

### Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location		5 Name of Purchaser or Nature of Disposal	6 Date Originally Acquired	7 Disposal Date	8 Book/Adjusted Carrying Value Less Encumbrances, Prior Year	Change in Book/Adjusted Carrying Value						15 Book/Adjusted Carrying Value Less Encumbrances on Disposal	16 Consideration	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Investment Income
		3 City	4 State					9 Unrealized Valuation Increase (Decrease)	10 Current Year's (Depreciation) or (Amortization)/ Accretion	11 Current Year's Other Than Temporary Impairment Recognized	12 Capitalized Deferred Interest and Other	13 Total Change in B./A.C.V. (9 + 10 - 11 + 12)	14 Total Foreign Exchange Change in B./A.C.V.						
<b>Collateral Loans - Affiliated</b>																			
	ICC Holdings, Inc.	Rock Island	IL	Internal Transfer	09/07/2018	.. / /													
	ICC Holdings, Inc.	Rock Island	IL	Internal Transfer	05/30/2019	.. / /									26,035				
2699999 Subtotal - Collateral Loans - Affiliated .....														52,161					
4499999 Total - Unaffiliated .....																			
4599999 Total - Affiliated .....																			
4699999 TOTALS .....														52,161					

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**SCHEDULE D - PART 3**

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol/ Market Indicator (a)
<b>Bonds - Industrial and Miscellaneous (Unaffiliated)</b>									
100743AL7	BOSTON GAS COMPANY		07/24/2019	MORGAN STANLEY & CO	X X X	250,000	250,000		1FE
20268JAD5	COMMONSPIRIT HEALTH		08/07/2019	CITIGROUP GLOBAL MARKETS	X X X	250,000	250,000		1FE
21872GAA3	COLONY AMERICAN FINANCE LTD 19-2 A		07/10/2019	MORGAN STANLEY & CO	X X X	999,994	1,000,000	1,260	1FE
24422EUY3	JOHN DEERE CAPITAL CORP		07/15/2019	MITSUBISHI UFJ SECURITIES USA INC	X X X	499,610	500,000		1FE
30231GBC5	EXXON MOBIL CORPORATION		08/13/2019	MORGAN STANLEY & CO	X X X	1,000,000	1,000,000		1FE
36167CAA4	GCAT 19-RPL1 A1		08/15/2019	CITIGROUP GLOBAL MARKETS	X X X	501,407	500,000	1,031	1FE
38141GWZ3	GOLDMAN SACHS GROUP INC		07/10/2019	MORGAN STANLEY & CO	X X X	534,160	500,000	4,164	1FE
6174468G7	MORGAN STANLEY		07/02/2019	MORGAN STANLEY & CO	X X X	556,520	500,000	9,970	1FE
674599CS2	OCCIDENTAL PETROLEUM COR		08/06/2019	BANK OF AMERICA	X X X	248,765	250,000		2FE
857477BD4	STATE STREET CORP		07/10/2019	US BANCORP	X X X	276,903	250,000	1,122	1FE
91324PDS8	UNITEDHEALTH GROUP INC		07/23/2019	BANK OF AMERICA	X X X	499,865	500,000		1FE
8999999 Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					X X X	5,617,224	5,500,000	17,547	X X X
8399997 Subtotal - Bonds - Part 3					X X X	5,617,224	5,500,000	17,547	X X X
8399998 Summary Item from Part 5 for Bonds (N/A to Quarterly)					X X X	X X X	X X X	X X X	X X X
8399999 Subtotal - Bonds					X X X	5,617,224	5,500,000	17,547	X X X
8999998 Summary Item from Part 5 for Preferred Stocks (N/A to Quarterly)					X X X	X X X	X X X	X X X	X X X
8999999 Subtotal - Preferred Stocks					X X X		X X X		X X X
<b>Common Stocks - Industrial and Miscellaneous (Unaffiliated)</b>									
03076C106	AMERIPRISE FINANCIAL INC		07/26/2019	MERRILL LYNCH	423.000	62,863	X X X		L
039483102	ARCHER-DANIELS-MIDLAND CO		07/26/2019	MERRILL LYNCH	1,747.000	71,432	X X X		L
039653100	ARCOSA INC		07/25/2019	MERRILL LYNCH	823.000	30,466	X X X		L
191216100	COCA-COLA CO/THE		09/11/2019	MERRILL LYNCH	240.000	13,068	X X X		L
22160K105	COSTCO WHOLESALE CORPORATION		09/04/2019	MERRILL LYNCH	287.000	84,817	X X X		L
254423106	DINEEQUITY INC		07/25/2019	MERRILL LYNCH	50.000	4,583	X X X		L
254687106	WALT DISNEY CO/THE		07/26/2019	MERRILL LYNCH	1,121.000	162,025	X X X		L
260557103	DOW INC		07/26/2019	MERRILL LYNCH	863.000	42,378	X X X		L
277432100	EASTMAN CHEMICAL COMPANY		07/26/2019	MERRILL LYNCH	738.000	57,139	X X X		L
281020107	EDISON INTERNATIONAL		07/26/2019	MERRILL LYNCH	789.000	56,426	X X X		L
28238P109	EHEALTH INC		07/25/2019	MERRILL LYNCH	325.000	28,076	X X X		L
36251C103	GMS INC		09/04/2019	WEEDEN & CO	696.000	20,831	X X X		L
40425J101	HMS HOLDINGS CORP		07/25/2019	MERRILL LYNCH	791.000	27,233	X X X		L
438516106	HONEYWELL INTERNATIONAL INC		07/26/2019	MERRILL LYNCH	214.000	37,117	X X X		L
45688C107	INGEVITY CORP		09/04/2019	MERRILL LYNCH	82.000	6,114	X X X		L
502431109	L3 HARRIS TECHNOLOGIES INC		09/04/2019	MERRILL LYNCH	316.000	67,549	X X X		L
539830109	LOCKHEED MARTIN CORPORATION		09/11/2019	MERRILL LYNCH	34.000	13,092	X X X		L
58933Y105	MERCK & CO INC		09/04/2019	FIS US ALGO	1,192.000	102,625	X X X		L
70788V102	PENN VIRGINIA CORP		07/25/2019	MERRILL LYNCH	563.000	18,618	X X X		L
71375U101	PERFICIENT INC		07/25/2019	MERRILL LYNCH	684.000	24,303	X X X		L
755111507	RAYTHEON COMPANY		07/26/2019	MERRILL LYNCH	107.000	20,499	X X X		L
838518108	SOUTH JERSEY INDUSTRIES		07/25/2019	MERRILL LYNCH	309.000	10,374	X X X		L
87165B103	SYNCHRONY FINANCIAL		09/04/2019	MERRILL LYNCH	1,629.000	52,649	X X X		L
872590104	T- MOBILE US INC		09/04/2019	MERRILL LYNCH	735.000	56,969	X X X		L
876030107	TAPESTRY INC		07/26/2019	MERRILL LYNCH	2,083.000	63,695	X X X		L
883556102	THERMO FISHER SCIENTIFIC INC		09/11/2019	MERRILL LYNCH	45.000	12,938	X X X		L
90385V107	ULTRA CLEAN HOLDINGS INC		07/25/2019	MERRILL LYNCH	1,478.000	22,716	X X X		L
91913Y100	VALERO ENERGY CORP		07/26/2019	MERRILL LYNCH	896.000	75,310	X X X		L
92886T201	VONAGE HOLDINGS CORP		07/25/2019	MERRILL LYNCH	2,154.000	26,720	X X X		L
112585104	BROOKFIELD ASSET MANAGE-CL A		09/05/2019	WEEDEN & CO	243.000	12,935	X X X		L
29274F138	ENEL AMERICAS SA - RIGHTS	C	07/05/2019	DIRECT	385.661		X X X		U
G8232Y101	SMART GLOBAL HOLDINGS INC	C	07/25/2019	MERRILL LYNCH	653.000	21,149	X X X		L
9099999 Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated)					X X X	1,306,709	X X X		X X X

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## SCHEDULE D - PART 3

**Show All Long-Term Bonds and Stock Acquired During the Current Quarter**

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol/ Market Indicator (a)
9799997	Subtotal - Common Stocks - Part 3 .....				X X X	1,306,709	X X X		X X X
9799998	Summary Item from Part 5 for Common Stocks (N/A to Quarterly) .....				X X X	X X X	X X X	X X X	X X X
9799999	Subtotal - Common Stocks .....				X X X	1,306,709	X X X		X X X
9899999	Subtotal - Preferred and Common Stocks .....				X X X	1,306,709	X X X		X X X
9999999	Total - Bonds, Preferred and Common Stocks .....				X X X	6,923,933	X X X	17,547	X X X

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues .....1.

# SCHEDULE D - PART 4

**Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of During the Current Quarter**

1 CUSIP Identification	2 Description	3 F o r e i g n Date	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Designation and Admini- strative Symbol/ Market Indicator (a)
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amortization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recognized	14 Total Change in B./A.C.V.	15 Total Foreign Exchange Change in B./A.C.V.							
<b>Bonds - U.S. Governments</b>																					
36179T4P7	GOVERNMENT NATL MTG ASSOC II #MA5330		09/01/2019	PAYDOWN	XX X	49,188	49,188	50,426	49,390		(201)		(201)		49,188			1,339	07/20/2048	1FE	
36179TZ57	GOVERNMENT NATL MTG ASSOC II #MA5264		09/01/2019	PAYDOWN	XX X	121,484	121,484	124,256	121,919		(434)		(434)		121,484			3,299	06/20/2048	1FE	
36180CY78	GOVERNMENT NATL MTG ASSOC #AD1634		09/01/2019	PAYDOWN	XX X	8,927	8,927	9,340	8,965		(38)		(38)		8,927			171	01/15/2043	1FE	
36202DBJ9	GOVERNMENT NATL MTG ASSOC II #002741		09/01/2019	PAYDOWN	XX X	60	60	60	60						60			3	04/20/2029	1FE	
36202DDB4	GOVERNMENT NATL MTG ASSOC II #002798		09/01/2019	PAYDOWN	XX X	21	21	20	21						21			1	08/20/2029	1FE	
36202DFZ9	GOVERNMENT NATL MTG ASSOC II #002884		09/01/2019	PAYDOWN	XX X	98	98	98	98						98			6	02/20/2030	1FE	
0599999 Subtotal - Bonds - U.S. Governments					XX X	179,778	179,778	184,200	180,453		(673)		(673)		179,778			4,819	XX X	XX X	
<b>Bonds - U.S. Political Subdivisions of States, Territories and Possessions</b>																					
088281YB0	BEAR CNTY TX		07/18/2019	MORGAN STANLEY & CO	XX X	1,180,350	1,000,000	1,158,610	1,138,601		(9,380)		(9,380)		1,129,221		51,129	51,129	30,139	06/15/2041	1FE
2499999 Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions					XX X	1,180,350	1,000,000	1,158,610	1,138,601		(9,380)		(9,380)		1,129,221		51,129	51,129	30,139	XX X	XX X
<b>Bonds - U.S. Special Revenue, Special Assessment</b>																					
3128M5UZ1	FEDERAL HOME LN MTG CORP #G03900		09/01/2019	PAYDOWN	XX X	1,382	1,382	1,374	1,382		1		1		1,382			49	02/01/2038	1FE	
3128M9YG1	FEDERAL HOME LN MTG CORP #G07611		09/01/2019	PAYDOWN	XX X	7,355	7,355	7,618	7,369		(13)		(13)		7,355			212	01/01/2044	1FE	
3128MJX88	FEDERAL HOME LN MTG CORP #G08702		09/01/2019	PAYDOWN	XX X	16,534	16,534	17,330	16,599		(65)		(65)		16,534			388	04/01/2046	1FE	
3128MJYC8	FEDERAL HOME LN MTG CORP #G08706		09/01/2019	PAYDOWN	XX X	16,593	16,593	17,444	16,668		(75)		(75)		16,593			389	05/01/2046	1FE	
3128MJZP8	FEDERAL HOME LN MTG CORP #G08749		09/01/2019	PAYDOWN	XX X	80,490	80,490	84,345	80,872		(382)		(382)		80,490		2,153	02/01/2047	1FE		
3128PRUA5	FEDERAL HOME LN MTG CORP #J12377		09/01/2019	PAYDOWN	XX X	18,463	18,463	19,214	18,587		(124)		(124)		18,463		460	06/01/2025	1FE		
3128PRUR8	FEDERAL HOME LN MTG CORP #J12392		09/01/2019	PAYDOWN	XX X	1,602	1,602	1,663	1,614		(12)		(12)		1,602		43	06/01/2025	1FE		
3128PRY29	FEDERAL HOME LN MTG CORP #J12529		09/01/2019	PAYDOWN	XX X	6,955	6,955	7,361	7,023		(67)		(67)		6,955		208	07/01/2025	1FE		
31292K4U8	FEDERAL HOME LN MTG CORP #C03535		09/01/2019	PAYDOWN	XX X	7,222	7,222	7,598	7,256		(34)		(34)		7,222			216	08/01/2040	1FE	
3132GJVJ5	FEDERAL HOME LN MTG CORP #Q03617		09/01/2019	PAYDOWN	XX X	4,347	4,347	4,519	4,356		(9)		(9)		4,347			106	10/01/2041	1FE	
3132M5C59	FEDERAL HOME LN MTG CORP #Q24892		09/01/2019	PAYDOWN	XX X	4,871	4,871	5,095	4,887		(16)		(16)		4,871			131	02/01/2044	1FE	
3132MACR0	FEDERAL HOME LN MTG CORP #Q29380		09/01/2019	PAYDOWN	XX X	6,980	6,980	7,422	7,026		(47)		(47)		6,980			199	11/01/2044	1FE	
3132QMLQ2	FEDERAL HOME LN MTG CORP #Q31234		09/01/2019	PAYDOWN	XX X	5,815	5,815	6,104	5,831		(15)		(15)		5,815			126	02/01/2045	1FE	
3132QUDC4	FEDERAL HOME LN MTG CORP #Q37298		09/01/2019	PAYDOWN	XX X	27,652	27,652	28,584	27,724		(71)		(71)		27,652			637	11/01/2045	1FE	
3132WEF23	FEDERAL HOME LN MTG CORP #Q41084		09/01/2019	PAYDOWN	XX X	10,001	10,001	10,538	10,047		(46)		(46)		10,001			239	06/01/2046	1FE	
3136ALEE5	FANNIE MAE 13 58 KJ		09/01/2019	PAYDOWN	XX X	6,572	6,572	6,711	6,587		(15)		(15)		6,572			134	02/25/2043	1FE	
3137AU4Y2	FREDDIE MAC 4101 QN		09/01/2019	PAYDOWN	XX X	3,608	3,608	3,773	3,621		(13)		(13)		3,608			84	09/15/2042	1FE	
3138A4S29	UMBS - POOL AH3235		09/01/2019	PAYDOWN	XX X	3,333	3,333	3,357	3,337		(4)		(4)		3,333			75	02/01/2026	1FE	
3138A7QK7	UMBS - POOL AH5857		09/01/2019	PAYDOWN	XX X	5,685	5,685	5,788	5,694		(9)		(9)		5,685			137	02/01/2041	1FE	
3138EGH88	UMBS - POOL AL0239		09/01/2019	PAYDOWN	XX X	3,329	3,329	3,368	3,332		(3)		(3)		3,329			88	04/01/2041	1FE	
3138EN7M5	UMBS - POOL AL6299		09/01/2019	PAYDOWN	XX X	29,392	29,392	30,852	29,559		(167)		(167)		29,392			660	01/01/2045	1FE	
3138MF2X4	UMBS - POOL AQ0789		09/01/2019	PAYDOWN	XX X	9,080	9,080	9,474	9,141		(61)		(61)		9,080			151	11/01/2027	1FE	
3138VHM66	UMBS - POOL AS7580		09/01/2019	PAYDOWN	XX X	31,959	31,959	33,297	32,063		(104)		(104)		31,959			648	07/01/2046	1FE	
3138YAM28	UMBS - POOL AX8476		09/01/2019	PAYDOWN	XX X	35,443	35,443	37,248	35,493		(51)		(51)		35,443			730	11/01/2044	1FE	
31397QL22	FANNIE MAE 11 19 EN		09/01/2019	PAYDOWN	XX X	3,594	3,594	3,765	3,627		(33)		(33)		3,594			95	05/25/2040	1FE	
3140F0T53	UMBS - POOL BC5071		07/18/2019	VARIOUS	XX X	658,590	634,001	667,781	669,407		(2,264)		(2,264)		667,143		(8,553)	(8,553)	14,214	11/01/2043	1FE
3140GP2X9	UMBS - POOL BH1657		09/01/2019	PAYDOWN	XX X	45,309	45,309	46,718	45,392		(83)		(83)		45,309			1,174	04/01/2047	1FE	
3140GYGZ6	UMBS - POOL BH9215		09/01/2019	PAYDOWN	XX X	14,077	14,077	14,449	14,077		(4)		(4)		14,077			89	01/01/2048	1FE	
31412N6D3	UMBS - POOL 930668		09/01/2019	PAYDOWN	XX X	1,572	1,572	1,676	1,593		(20)		(20)		1,572			57	03/01/2024	1FE	
31412PRQ6	UMBS - POOL 931195		09/01/2019	PAYDOWN	XX X	2,110	2,110	2,229	2,141		(31)		(31)		2,110			63	05/01/2024	1FE	
31417DSZ7	UMBS - POOL AB6835		09/01/2019	PAYDOWN	XX X	10,949	10,949	11,587	10,990		(42)		(42)		10,949			268	11/01/2042	1FE	
31418B5C6	UMBS - POOL MA2642		09/01/2019	PAYDOWN	XX X	8,431	8,431	8,835	8,465		(34)		(34)		8,431			199	06/01/2046	1FE	

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## SCHEDULE D - PART 4

### Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Identification	Description	For e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11 + 12 - 13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation and Administrative Symbol/ Market Indicator (a)	
31418CFP4	UMBS - POOL MA2873		08/14/2019	VARIOUS	X X X	757,422	731,314	758,624	760,187		(3,572)		(3,572)		756,615		807	807	18,071	01/01/2037	1FE	
31418CXM1	UMBS - POOL MA3383		09/01/2019	PAYDOWN	X X X	52,398		53,601			(22)		(22)		52,398			342	06/01/2048	1FE		
31418QNT6	UMBS - POOL AD3101		09/01/2019	PAYDOWN	X X X	7,430	7,430	7,916	7,489		(59)		(59)		7,430			272	04/01/2040	1FE		
31418TC47	UMBS - POOL AD5490		09/01/2019	PAYDOWN	X X X	8,174	8,174	8,685	8,216		(42)		(42)		8,174			292	05/01/2040	1FE		
31418VS78	UMBS - POOL AD7741		09/01/2019	PAYDOWN	X X X	4,612	4,612	4,773	4,619		(7)		(7)		4,612			133	07/01/2040	1FE		
74265LVM7	PRIV CLGS & UNIVS AUTH GA		09/10/2019	CALLED BY ISSUER at 100.000	X X X	250,000	250,000	257,078	250,564		(564)		(564)		250,000				12,813	09/01/2028	1FE	
3199999 Subtotal - Bonds - U.S. Special Revenue, Special Assessment					X X X	2,169,331	2,118,634	2,207,794	2,118,758		(8,179)		(8,179)		2,177,077		(7,746)	(7,746)	56,345	X X X	X X X	
<b>Bonds - Industrial and Miscellaneous (Unaffiliated)</b>																						
075887BE8	BECTON DICKINSON AND CO		08/14/2019	MORGAN STANLEY & CO	X X X	218,033	218,000	218,000	218,000					218,000		33	33	3,904	12/15/2019	2FE		
21872GAA3	COLONY AMERICAN FINANCE LTD 19-2 A		09/01/2019	PAYDOWN	X X X	6,915	6,915	6,915						6,915				19	06/15/2051	1FE		
30231GBC5	EXXON MOBIL CORPORATION		09/17/2019	WELLS FARGO FINANCIAL	X X X	998,370	1,000,000	1,000,000						1,000,000		(1,630)	(1,630)	1,851	08/16/2024	1FE		
36167CAA4	GCAT 19-RL1 A1		09/01/2019	PAYDOWN	X X X	7,789	7,789	7,811						7,789				17	10/25/2068	1FE		
46185JAA6	INVITATION HOMES TRUST 18-SFR1 A		09/17/2019	PAYDOWN	X X X	8,929	8,929	8,929	8,929					8,929				185	03/17/2037	1FE		
585055BC9	MEDTRONIC INC		07/12/2019	TENDER OFFER	X X X	530,600	500,000	506,070	503,359		(331)		(331)		503,028		27,572	27,572	14,953	03/15/2034	1FE	
82653EAB3	SIERRA RECEIVABLES FUNDING CO 19-1A B		09/20/2019	PAYDOWN	X X X	64,091	64,091	64,077			95		95		64,091				920	01/20/2036	1FE	
87266XAA1	TPG REAL ESTATE FINANCE 18-FL1 A		08/16/2019	VARIOUS	X X X	338,245	338,245	338,245	338,245					338,245				6,757	02/15/2035	1FE		
887317AQ8	TIME WARNER INC		08/01/2019	PRIOR PERIOD INCOME	X X X													(4,250)	06/15/2022	2FE		
89175MAA1	TOWD POINT MORTGAGE TRUST 18-3 A1		09/01/2019	PAYDOWN	X X X	17,909	17,909	17,916	17,910		(1)		(1)		17,909				437	05/25/2058	1FM	
89176EAA8	TOWD POINT MORTGAGE TRUST 18-1 A1		09/01/2019	PAYDOWN	X X X	9,257	9,257	9,251	9,256		1		1		9,257				188	01/25/2058	1FM	
89177BAA3	TOWD POINT MORTGAGE TRUST 19-1 A1		09/01/2019	PAYDOWN	X X X	17,557	17,557	17,450			8		8		17,557				383	03/25/2058	1FE	
3899999 Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					X X X	2,217,695	2,188,692	2,194,664	1,095,699		(228)		(228)		2,191,720		25,975	25,975	25,364	X X X	X X X	
8399997 Subtotal - Bonds - Part 4					X X X	5,747,154	5,487,104	5,745,268	4,533,511		(18,460)		(18,460)		5,677,796		69,358	69,358	116,667	X X X	X X X	
8399998 Summary Item from Part 5 for Bonds (N/A to Quarterly)					X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X
8399999 Subtotal - Bonds					X X X	5,747,154	5,487,104	5,745,268	4,533,511		(18,460)		(18,460)		5,677,796		69,358	69,358	116,667	X X X	X X X	
8999998 Summary Item from Part 5 for Preferred Stocks (N/A to Quarterly)					X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X
8999999 Subtotal - Preferred Stocks					X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X
<b>Common Stocks - Industrial and Miscellaneous (Unaffiliated)</b>																						
000361105	AAR CORP		07/25/2019	MERRILL LYNCH		145,000	6,125	X X X	6,741		1,327		1,327		6,741		(616)	(616)	33	X X X	L	
00182C103	ANI PHARMACEUTICALS INC		07/25/2019	MERRILL LYNCH		320,000	26,356	X X X	20,400		3,807		3,807		20,400		5,957	5,957		X X X	L	
00191U102	ASGN INC		07/25/2019	MERRILL LYNCH		92,000	5,704	X X X	6,852		1,838		1,838		6,852		(1,148)	(1,148)		X X X	L	
037833100	APPLE INC		09/04/2019	MERRILL LYNCH		107,000	22,288	X X X	17,883		1,005		1,005		17,883		4,405	4,405	243	X X X	L	
049164205	ATLAS AIR WORLDWIDE HOLDINGS		09/04/2019	WEEDEN & CO		439,000	10,893	X X X	25,559		5,598		5,598		25,559		(14,666)	(14,666)		X X X	L	
115637209	BROWN-FORMAN CORP - CLASS B		09/04/2019	MERRILL LYNCH		746,000	45,146	X X X	41,408		5,914		5,914		41,408		3,738	3,738	370	X X X	L	
127190304	CACI INTERNATIONAL INC - CL A		07/25/2019	MERRILL LYNCH		122,000	25,972	X X X	20,303		1,196		1,196		20,303		5,669	5,669		X X X	L	
131193104	CALLAWAY GOLF COMPANY		07/25/2019	MERRILL LYNCH		256,000	4,704	X X X	6,122		2,205		2,205		6,122		(1,418)	(1,418)	5	X X X	L	
17275R102	CISCO SYSTEMS INC		07/26/2019	MERRILL LYNCH		1,749,000	98,654	X X X	72,306		(3,478)		(3,478)		72,306		26,348	26,348	1,801	X X X	L	
20030N101	COMCAST CORP - CL A		07/26/2019	MERRILL LYNCH		1,266,000	56,777	X X X	46,203		3,096		3,096		46,203		10,574	10,574	772	X X X	L	
22052L104	CORTEVA INC		07/26/2019	MERRILL LYNCH		495,000	22,396	X X X	22,396						22,396		(8,412)	(8,412)		X X X	L	
225223304	CRAY INC		07/25/2019	MERRILL LYNCH		759,000	26,219	X X X	18,861		6,045		6,045		18,861		7,358	7,358		X X X	L	
26614N102	DUPONT DE NEMOURS INC		07/26/2019	MERRILL LYNCH		495,000	36,282	X X X	53,636		33,674		33,674		53,636		(17,354)	(17,354)	772	X X X	L	
268948106	EAGLE BANCORP INC		07/25/2019	MERRILL LYNCH		380,000	15,376	X X X	20,523						20,523		(5,147)	(5,147)	84	X X X	L	
33616C100	FIRST REPUBLIC BANK/SAN FRAN		09/04/2019	MERRILL LYNCH		655,000	57,639	X X X	58,803		1,884		1,884		58,803		(1,164)	(1,164)	367	X X X	L	
387328107	GRANITE CONSTRUCTION INC		09/04/2019	WEEDEN & CO		474,000	13,468	X X X	23,531		3,800		3,800		23,531		(10,063)	(10,063)	145	X X X	L	
418056107	HASBRO INC		07/26/2019	MERRILL LYNCH		81,966	66,943	X X X	66,943		53,706		53,706		66,943		15,024	15,024	866	X X X	L	
42226A107	HEALTHCARE INC		07/25/2019	MERRILL LYNCH		259,000	20,781	X X X	17,738		1,004		1,004		17,738		3,042	3,042		X X X	L	
446413106	HUNTINGTON INGALLS INDUSTRIES		09/04/2019	MERRILL LYNCH		231,000	48,241	X X X	51,958		27,595		27,595		51,958		(3,717)	(3,717)	522	X X X	L	
462222100	IONIS PHARMACEUTICALS INC		09/04/2019	MERRILL LYNCH		916,000	56,758	X X X	48,352		(1,167)		(1,167)		48,352		8,406	8,406		X X X	L	
482480100	KLA-TENCOR CORP		07/26/2019	MERRILL LYNCH		627,000	87,545	X X X	58,963		2,853		2,853		58,963		28,582	28,582	941	X X X	L	
49456B101	KINDER MORGAN INC		07/26/2019	MERRILL LYNCH		3,427,000	171,228	X X X	62,074		52,707		52,707		62,074		9,154	9,154	1,542	X X X	L	
58933Y105	MERCK & CO INC		07/26/2019	VARIOUS		896,000	72,687	X X X	54,740		68,463		(13,723)		54,740		17,946	17,946	1,478	X X X	L	
60740F105	MOBILE MINI INC		07/25/2019	MERRILL LYNCH		159,000	5,459	X X X	5,701					5,701		(242)	(242)	44	X X X	L		
629209305	NMI HOLDINGS INC-CLASS A		07/25/2019	MERRILL LYNCH		239,000	6,418	X X X	4,481		4,266		4,266		4,481		1,937	1,937		X X X	L	
65336K103	NEXSTAR MEDIA GROUP INC-CL A		07/25/2019	MERRILL LYNCH		247,000	25,369	X X X	23,268		206		206		23,268		2,101	2,101	167	X X X	L	
670346105	NUCOR CORP		07/26/2019	VARIOUS		407,000	22,747	X X X	28,140		7,053		7,053		28,140		(5,393)	(5,393)	488	X X X	L	

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## SCHEDULE D - PART 4

### Show All Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Identification	2 Description	3 F o r e i g n	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Contractual Maturity Date	22 NAIC Designation and Admini- strative Symbol/ Market Indicator (a)	
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amortization)/ Accretion	13 Current Year's Temporary Impairment Recognized	14 Total Change in B./A.C.V. (11 + 12 - 13)	15 Total Foreign Exchange Change in B./A.C.V.								
70338P100	PATTERN ENERGY GROUP INC		07/25/2019	MERRILL LYNCH	300.000	6,981	X X X	5,747	5,586	161			161		5,747		1,234	1,234	380	X X X	L	
781270103	RUDOLPH TECHNOLOGIES INC		07/25/2019	MERRILL LYNCH	182.000	5,339	X X X	5,803	3,726	2,078			2,078		5,803		(465)	(465)		X X X	L	
816850101	SEMTECH CORP		07/25/2019	MERRILL LYNCH	114.000	6,109	X X X	3,730	5,229	(1,499)			(1,499)		3,730		2,379	2,379		X X X	L	
87305R109	TTM TECHNOLOGIES		07/25/2019	MERRILL LYNCH	1,214.000	11,134	X X X	21,852	11,812	10,040			10,040		21,852		(10,718)	(10,718)		X X X	L	
902104108	II-VI INC		07/25/2019	MERRILL LYNCH	170.000	6,902	X X X	6,801	5,518	1,283			1,283		6,801		101	101		X X X	L	
91307C102	UNITED THERAPEUTICS CORP		09/04/2019	MERRILL LYNCH	381.000	30,473	X X X	48,821	25,265	5,399			5,399		48,821		(18,347)	(18,347)		X X X	L	
931427108	WALGREENS BOOTS ALLIANCE INC		09/04/2019	MERRILL LYNCH	640.000	32,769	X X X	48,299	43,731	4,568			4,568		48,299		(15,530)	(15,530)	856	X X X	L	
98919V105	ZAYO GROUP HOLDINGS INC		09/04/2019	MERRILL LYNCH	1,565.000	52,770	X X X	57,059	35,745	21,314			21,314		57,059		(4,289)	(4,289)		X X X	L	
05577E101	BT GROUP PLC-SPON ADR	C	09/05/2019	WEEDEN & CO	1,276.000	13,034	X X X	20,662	19,395	1,267			1,267		20,662		(7,629)	(7,629)	1,233	X X X	L	
16941M109	CHINA MOBILE LTD-SPON ADR	C	07/08/2019	PRIOR PERIOD INCOME			X X X												205	X X X	L	
29274F138	ENEL AMERICAS SA - RIGHTS	C	08/01/2019	EXPIRE	385.661		X X X													X X X	U	
67103X102	OFG BANCORP	C	07/25/2019	MERRILL LYNCH	206.000	4,754	X X X	3,077	3,391	(314)			(314)		3,077		1,677	1,677	43	X X X	L	
G0177J108	ALLERGAN PLC	C	07/26/2019	MERRILL LYNCH	432.000	69,665	X X X	63,520							63,520		6,145	6,145	320	X X X	L	
9099999	Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated)				X X X	1,204,716	X X X	1,169,256	828,228	132,355			132,355		1,169,256		35,459	35,459	13,677	X X X	X X X	
9799997	Subtotal - Common Stocks - Part 4				X X X	1,204,716	X X X	1,169,256	828,228	132,355			132,355		1,169,256		35,459	35,459	13,677	X X X	X X X	
9799998	Summary Item from Part 5 for Common Stocks (N/A to Quarterly)				X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X
9799999	Subtotal - Common Stocks				X X X	1,204,716	X X X	1,169,256	828,228	132,355			132,355		1,169,256		35,459	35,459	13,677	X X X	X X X	
9899999	Subtotal - Preferred and Common Stocks				X X X	1,204,716	X X X	1,169,256	828,228	132,355			132,355		1,169,256		35,459	35,459	13,677	X X X	X X X	
9999999	Total - Bonds, Preferred and Common Stocks				X X X	6,951,870	X X X	6,914,524	5,361,739	132,355	(18,460)		113,895		6,847,052		104,817	104,817	130,344	X X X	X X X	

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues .....1.

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**E06 Schedule DB Part A Section 1 ..... NONE**

**E07 Schedule DB Part B Section 1 ..... NONE**

**E08 Schedule DB Part D Section 1 ..... NONE**

**E09 Schedule DB Part D Section 2 - Collateral Pledged By Reporting Entity ..... NONE**

**E09 Schedule DB Part D Section 2 - Collateral Pledged To Reporting Entity ..... NONE**

**E10 Schedule DL - Part 1 - Securities Lending Collateral Assets ..... NONE**

**E11 Schedule DL - Part 2 - Securities Lending Collateral Assets ..... NONE**

**SCHEDULE E - PART 1 - CASH****Month End Depository Balances**

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
<b>open depositories</b>								
American Bank & Trust ..... Rock Island, IL .....			69		(2,092,662)	(3,334,149)	(3,655,457)	X X X
0199998 Deposits in .....0 depositories that do not exceed the allowable limit in any one depository (see Instructions) - open depositories .....	X X X	X X X						X X X
0199999 Totals - Open Depositories .....	X X X	X X X	69		(2,092,662)	(3,334,149)	(3,655,457)	X X X
0299998 Deposits in .....0 depositories that do not exceed the allowable limit in any one depository (see Instructions) - suspended depositories .....	X X X	X X X						X X X
0299999 Totals - Suspended Depositories .....	X X X	X X X						X X X
0399999 Total Cash On Deposit .....	X X X	X X X	69		(2,092,662)	(3,334,149)	(3,655,457)	X X X
0499999 Cash in Company's Office .....	X X X	X X X	X X X	X X X	461	461	461	X X X
0599999 Total Cash .....	X X X	X X X	69		(2,092,201)	(3,333,688)	(3,654,996)	X X X



## SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1	2	3	4	5	6	7	8	9
Cusip	Description	Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Due & Accrued	Amount Received During Year
<b>All Other Money Market Mutual Funds</b>								
608919718	FEDERATED GOVT OBLI FD-PRM		09/16/2019	0.000	X X X	4,304,966		
8699999	Subtotal - All Other Money Market Mutual Funds					4,304,966		
8899999	Total - Cash Equivalents					4,304,966		