



# ANNUAL STATEMENT

## For the Year Ended DECEMBER 31, 2019

### OF THE CONDITION AND AFFAIRS OF THE

# Illinois Casualty Company

NAIC Group Code	0000 <small>(Current Period)</small>	0000 <small>(Prior Period)</small>	NAIC Company Code	15571	Employer's ID Number	36-2165210
Organized under the Laws of	Illinois		State of Domicile or Port of Entry	IL		
Country of Domicile	United States of America					
Incorporated/Organized	06/01/2004		Commenced Business	04/13/1950		
Statutory Home Office	225 20th Street <small>(Street and Number)</small>			Rock Island, IL, US 61201 <small>(City or Town, State, Country and Zip Code)</small>		
Main Administrative Office	Rock Island, IL, US 61201 <small>(City or Town, State, Country and Zip Code)</small>		225 20th Street <small>(Street and Number)</small>	(309)793-1700 <small>(Area Code) (Telephone Number)</small>		
Mail Address	PO Box 5018 <small>(Street and Number or P.O. Box)</small>			Rock Island, IL, US 61204 <small>(City or Town, State, Country and Zip Code)</small>		
Primary Location of Books and Records	Rock Island, IL, US 61201 <small>(City or Town, State, Country and Zip Code)</small>		225 20th Street <small>(Street and Number)</small>	(309)793-1700 <small>(Area Code) (Telephone Number)</small>		
Internet Website Address	www.ilcasco.com					
Statutory Statement Contact	Aimee Marie Oetzel <small>(Name)</small>			(309)793-1700 <small>(Area Code)(Telephone Number)(Extension)</small>		
	financialreporting@ilcasco.com <small>(E-Mail Address)</small>			(309)793-1707 <small>(Fax Number)</small>		

### OFFICERS

Name	Title
Arron Keath Sutherland	President, CEO
Norman Dieter Schmeichel	V.P., CIO
Howard Joseph Beck	V.P., CUO
Julia Bunton Suiter	Chief Legal Officer
Michael Randall Smith	V.P., CFO
Kathleen Susan Springer	Chief Human Resources Officer

### OTHERS

### DIRECTORS OR TRUSTEES

Scott Taylor Burgess Joel Kent Heriford Gerald John Pepping Christine Carol Schmitt Arron Keath Sutherland	James Robert Dingman John Richard Klockau Daniel H Portes Mark Joseph Schwab
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State of Illinois  
 County of Rock Island ss

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of the said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

\_\_\_\_\_  
(Signature)  
**Arron Keath Sutherland**  
(Printed Name)  
 1.  
**President, CEO**  
(Title)

\_\_\_\_\_  
(Signature)  
**Michael Randall Smith**  
(Printed Name)  
 2.  
**V.P., CFO**  
(Title)

\_\_\_\_\_  
(Signature)  
**Howard Joseph Beck**  
(Printed Name)  
 3.  
**V.P., CUO**  
(Title)

Subscribed and sworn to before me this  
21st day of February, 2020

- a. Is this an original filing? Yes[X] No[ ]  
 b. If no: 1. State the amendment number \_\_\_\_\_  
 2. Date filed \_\_\_\_\_  
 3. Number of pages attached \_\_\_\_\_

\_\_\_\_\_  
(Notary Public Signature)

## ASSETS

	Current Year			Prior Year
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1-2)	4 Net Admitted Assets
1. Bonds (Schedule D) .....	85,054,301		85,054,301	87,213,815
2. Stocks (Schedule D):				
2.1 Preferred stocks .....				
2.2 Common Stocks .....	13,412,304		13,412,304	11,069,484
3. Mortgage loans on real estate (Schedule B):				
3.1 First liens .....				
3.2 Other than first liens .....				
4. Real estate (Schedule A):				
4.1 Properties occupied by the company (less \$.....0 encumbrances) .....				
4.2 Properties held for the production of income (less \$.....0 encumbrances) .....	540,628		540,628	
4.3 Properties held for sale (less \$.....0 encumbrances) .....				
5. Cash (\$.....2,074,493, Schedule E Part 1), cash equivalents (\$.....3,445,071, Schedule E Part 2) and short-term investments (\$.....0, Schedule DA) .....	5,519,564		5,519,564	3,586,646
6. Contract loans (including \$.....0 premium notes) .....				
7. Derivatives (Schedule DB) .....				
8. Other invested assets (Schedule BA) .....	5,809,552		5,809,552	2,974,686
9. Receivables for securities .....				
10. Securities Lending Reinvested Collateral Assets (Schedule DL) .....				
11. Aggregate write-ins for invested assets .....				
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	110,336,349		110,336,349	104,844,631
13. Title plants less \$.....0 charged off (for Title insurers only) .....				
14. Investment income due and accrued .....	624,707		624,707	638,917
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	3,683,463		3,683,463	3,720,260
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (Including \$.....190,855 earned but unbilled premiums) .....	17,528,741	19,085	17,509,656	16,768,288
15.3 Accrued retrospective premiums (\$.....0) and contracts subject to redetermination (\$.....0) .....				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....	1,015,372		1,015,372	922,445
16.2 Funds held by or deposited with reinsured companies .....				
16.3 Other amounts receivable under reinsurance contracts .....				
17. Amounts receivable relating to uninsured plans .....				
18.1 Current federal and foreign income tax recoverable and interest thereon .....	52,422		52,422	335,390
18.2 Net deferred tax asset .....	1,898,279		1,898,279	2,255,573
19. Guaranty funds receivable or on deposit .....				
20. Electronic data processing equipment and software .....	586,234	302,560	283,674	218,028
21. Furniture and equipment, including health care delivery assets (\$.....0) .....	391,842	391,842		
22. Net adjustment in assets and liabilities due to foreign exchange rates .....				
23. Receivables from parent, subsidiaries and affiliates .....				648,128
24. Health care (\$.....0) and other amounts receivable .....				
25. Aggregate write-ins for other than invested assets .....	1,084,191	389,810	694,381	557,483
26. TOTAL assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	137,201,600	1,103,297	136,098,303	130,909,143
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....				
28. TOTAL (Lines 26 and 27) .....	137,201,600	1,103,297	136,098,303	130,909,143
<b>DETAILS OF WRITE-INS</b>				
1101. ....				
1102. ....				
1103. ....				
1198. Summary of remaining write-ins for Line 11 from overflow page .....				
1199. TOTALS (Lines 1101 through 1103 plus 1198) (Line 11 above) .....				
2501. Prepaid maintenance, insurance and demutualization expenses .....	379,810	379,810		
2502. Deposits and other receivables .....	535,322	10,000	525,322	400,685
2503. Premiums receivable on reinsurance .....	169,059		169,059	156,798
2598. Summary of remaining write-ins for Line 25 from overflow page .....				
2599. TOTALS (Lines 2501 through 2503 plus 2598) (Line 25 above) .....	1,084,191	389,810	694,381	557,483

## LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Year	2 Prior Year
1. Losses (Part 2A, Line 35, Column 8) .....	32,812,130	31,886,225
2. Reinsurance payable on paid losses and loss adjustment expenses (Schedule F, Part 1, Column 6) .....		
3. Loss adjustment expenses (Part 2A, Line 35, Column 9) .....	12,990,007	12,825,252
4. Commissions payable, contingent commissions and other similar charges .....	2,118,332	2,538,993
5. Other expenses (excluding taxes, licenses and fees) .....	1,451,260	1,304,306
6. Taxes, licenses and fees (excluding federal and foreign income taxes) .....	452,065	468,689
7.1 Current federal and foreign income taxes (including \$.....0 on realized capital gains (losses)) .....		
7.2 Net deferred tax liability .....		
8. Borrowed money \$.....0 and interest thereon \$.....0 .....		
9. Unearned premiums (Part 1A, Line 38, Column 5) (after deducting unearned premiums for ceded reinsurance of \$.....822,818 and including warranty reserves of \$.....0 and accrued accident and health experience rating refunds including \$.....0 for medical loss ratio rebate per the Public Health Service Act) .....	29,570,000	29,176,558
10. Advance premiums .....	546,369	708,752
11. Dividends declared and unpaid:		
11.1 Stockholders .....		
11.2 Policyholders .....	2,562	4,675
12. Ceded reinsurance premiums payable (net of ceding commissions) .....	234,262	969,533
13. Funds held by company under reinsurance treaties (Schedule F, Part 3, Column 20) .....		
14. Amounts withheld or retained by company for account of others .....	383,874	473,993
15. Remittances and items not allocated .....		
16. Provision for reinsurance (including (\$.....0 certified)) (Schedule F, Part 3 Column 78) .....	172,000	
17. Net adjustments in assets and liabilities due to foreign exchange rates .....		
18. Drafts outstanding .....		
19. Payable to parent, subsidiaries and affiliates .....	7,996	
20. Derivatives .....		
21. Payable for securities .....		
22. Payable for securities lending .....		
23. Liability for amounts held under uninsured plans .....		
24. Capital notes \$.....0 and interest thereon \$.....0 .....		
25. Aggregate write-ins for liabilities .....		
26. TOTAL Liabilities excluding protected cell liabilities (Lines 1 through 25) .....	80,740,857	80,356,976
27. Protected cell liabilities .....		
28. TOTAL Liabilities (Lines 26 and 27) .....	80,740,857	80,356,976
29. Aggregate write-ins for special surplus funds .....	566,760	766,794
30. Common capital stock .....	3,000,000	3,000,000
31. Preferred capital stock .....		
32. Aggregate write-ins for other-than-special surplus funds .....		
33. Surplus notes .....		
34. Gross paid in and contributed surplus .....	17,376,742	17,376,742
35. Unassigned funds (surplus) .....	34,413,944	29,408,631
36. Less treasury stock, at cost:		
36.1 .....0 shares common (value included in Line 30 \$.....0) .....		
36.2 .....0 shares preferred (value included in Line 31 \$.....0) .....		
37. Surplus as regards policyholders (Lines 29 to 35, minus 36) (Page 4, Line 39) .....	55,357,446	50,552,167
38. TOTALS (Page 2, Line 28, Column 3) .....	136,098,303	130,909,143
<b>DETAILS OF WRITE-INS</b>		
2501. ....		
2502. ....		
2503. ....		
2598. Summary of remaining write-ins for Line 25 from overflow page .....		
2599. TOTALS (Lines 2501 through 2503 plus 2598) (Line 25 above) .....		
2901. Sale Leaseback .....	566,760	766,794
2902. ....		
2903. ....		
2998. Summary of remaining write-ins for Line 29 from overflow page .....		
2999. TOTALS (Lines 2901 through 2903 plus 2998) (Line 29 above) .....	566,760	766,794
3201. ....		
3202. ....		
3203. ....		
3298. Summary of remaining write-ins for Line 32 from overflow page .....		
3299. TOTALS (Lines 3201 through 3203 plus 3298) (Line 32 above) .....		

## STATEMENT OF INCOME

	1 Current Year	2 Prior Year
<b>UNDERWRITING INCOME</b>		
1. Premiums earned (Part 1, Line 35, Column 4)	52,841,766	47,116,962
<b>DEDUCTIONS</b>		
2. Losses incurred (Part 2, Line 35, Column 7)	24,874,148	24,588,090
3. Loss adjustment expenses incurred (Part 3, Line 25, Column 1)	8,840,688	6,674,375
4. Other underwriting expenses incurred (Part 3, Line 25, Column 2)	18,914,951	17,696,885
5. Aggregate write-ins for underwriting deductions		
6. TOTAL Underwriting Deductions (Lines 2 through 5)	52,629,787	48,959,350
7. Net income of protected cells		
8. Net underwriting gain or (loss) (Line 1 minus Line 6 plus Line 7)	211,979	(1,842,388)
<b>INVESTMENT INCOME</b>		
9. Net investment income earned (Exhibit of Net Investment Income, Line 17)	2,402,448	2,039,824
10. Net realized capital gains (losses) less capital gains tax of \$.....219,994 (Exhibit of Capital Gains (Losses))	753,747	704,757
11. Net investment gain or (loss) (Lines 9 + 10)	3,156,195	2,744,581
<b>OTHER INCOME</b>		
12. Net gain or (loss) from agents' or premium balances charged off (amount recovered \$.....58,253 amount charged off \$.....602,913)	(544,660)	(184,818)
13. Finance and service charges not included in premiums	277,362	246,856
14. Aggregate write-ins for miscellaneous income	153,780	135,775
15. TOTAL Other Income (Lines 12 through 14)	(113,518)	197,813
16. Net income before dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Lines 8 + 11 + 15)	3,254,656	1,100,006
17. Dividends to policyholders	16,680	11,145
18. Net income, after dividends to policyholders, after capital gains tax and before all other federal and foreign income taxes (Line 16 minus Line 17)	3,237,976	1,088,861
19. Federal and foreign income taxes incurred	200,422	(117,299)
20. Net income (Line 18 minus Line 19) (to Line 22)	3,037,554	1,206,160
<b>CAPITAL AND SURPLUS ACCOUNT</b>		
21. Surplus as regards policyholders, December 31 prior year (Page 4, Line 39, Column 2)	50,552,167	50,772,463
22. Net income (from Line 20)	3,037,554	1,206,160
23. Net transfers (to) from Protected Cell accounts		
24. Change in net unrealized capital gains or (losses) less capital gains tax of \$.....487,879	1,835,355	(1,638,469)
25. Change in net unrealized foreign exchange capital gain (loss)		
26. Change in net deferred income tax	130,585	139,639
27. Change in nonadmitted assets (Exhibit of Nonadmitted Assets Line 28, Column 3)	(26,215)	72,374
28. Change in provision for reinsurance (Page 3, Line 16, Column 2 minus Column 1)	(172,000)	
29. Change in surplus notes		
30. Surplus (contributed to) withdrawn from protected cells		
31. Cumulative effect of changes in accounting principles		
32. Capital changes:		
32.1 Paid in		
32.2 Transferred from surplus (Stock Dividend)		
32.3 Transferred to surplus		
33. Surplus adjustments:		
33.1 Paid in		
33.2 Transferred to capital (Stock Dividend)		
33.3 Transferred from capital		
34. Net remittances from or (to) Home Office		
35. Dividends to stockholders		
36. Change in treasury stock (Page 3, Line 36.1 and 36.2, Column 2 minus Column 1)		
37. Aggregate write-ins for gains and losses in surplus		
38. Change in surplus as regards policyholders for the year (Lines 22 through 37)	4,805,279	(220,296)
39. Surplus as regards policyholders, December 31 current year (Line 21 plus Line 38) (Page 3, Line 37)	55,357,446	50,552,167
<b>DETAILS OF WRITE-INS</b>		
0501.		
0502.		
0503.		
0598. Summary of remaining write-ins for Line 5 from overflow page		
0599. TOTALS (Lines 0501 through 0503 plus 0598) (Line 5 above)		
1401. Cash value on officers' life insurance policies	134,845	47,068
1402. Gain(Loss) from sales of assets	3,192	78,717
1403. Miscellaneous income	15,743	9,990
1498. Summary of remaining write-ins for Line 14 from overflow page		
1499. TOTALS (Lines 1401 through 1403 plus 1498) (Line 14 above)	153,780	135,775
3701.		
3702.		
3703.		
3798. Summary of remaining write-ins for Line 37 from overflow page		
3799. TOTALS (Lines 3701 through 3703 plus 3798) (Lines 37 above)		

**CASH FLOW**

		1	2
		Current Year	Prior Year
<b>Cash from Operations</b>			
1.	Premiums collected net of reinsurance .....	51,631,384	48,137,025
2.	Net investment income .....	2,668,960	2,373,021
3.	Miscellaneous income .....	(113,518)	197,813
4.	TOTAL (Lines 1 through 3) .....	54,186,826	50,707,859
5.	Benefit and loss related payments .....	24,041,170	19,741,393
6.	Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....		
7.	Commissions, expenses paid and aggregate write-ins for deductions .....	27,812,749	24,913,765
8.	Dividends paid to policyholders .....	18,793	6,836
9.	Federal and foreign income taxes paid (recovered) net of \$.....219,994 tax on capital gains (losses) .....	137,448	(255,478)
10.	TOTAL (Lines 5 through 9) .....	52,010,160	44,406,516
11.	Net cash from operations (Line 4 minus Line 10) .....	2,176,666	6,301,343
<b>Cash from Investments</b>			
12.	Proceeds from investments sold, matured or repaid:		
12.1	Bonds .....	26,764,139	17,017,277
12.2	Stocks .....	7,897,692	13,435,962
12.3	Mortgage loans .....		
12.4	Real estate .....		
12.5	Other invested assets .....	165,134	25,314
12.6	Net gains or (losses) on cash, cash equivalents and short-term investments .....		
12.7	Miscellaneous proceeds .....		
12.8	TOTAL Investment proceeds (Lines 12.1 to 12.7) .....	34,826,965	30,478,553
13.	Cost of investments acquired (long-term only):		
13.1	Bonds .....	24,598,780	17,948,609
13.2	Stocks .....	7,207,068	15,807,630
13.3	Mortgage loans .....		
13.4	Real estate .....	542,491	
13.5	Other invested assets .....	3,000,000	3,000,000
13.6	Miscellaneous applications .....		
13.7	TOTAL Investments acquired (Lines 13.1 to 13.6) .....	35,348,339	36,756,239
14.	Net increase (decrease) in contract loans and premium notes .....		
15.	Net cash from investments (Line 12.8 minus Line 13.7 minus Line 14) .....	(521,374)	(6,277,686)
<b>Cash from Financing and Miscellaneous Sources</b>			
16.	Cash provided (applied):		
16.1	Surplus notes, capital notes .....		
16.2	Capital and paid in surplus, less treasury stock .....		
16.3	Borrowed funds .....		(38,087)
16.4	Net deposits on deposit-type contracts and other insurance liabilities .....		
16.5	Dividends to stockholders .....		
16.6	Other cash provided (applied) .....	277,626	(1,389,585)
17.	Net cash from financing and miscellaneous sources (Lines 16.1 to 16.4 minus Line 16.5 plus Line 16.6) .....	277,626	(1,427,672)
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18.	Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	1,932,918	(1,404,015)
19.	Cash, cash equivalents and short-term investments:		
19.1	Beginning of year .....	3,586,646	4,990,661
19.2	End of year (Line 18 plus Line 19.1) .....	5,519,564	3,586,646

**Note: Supplemental Disclosures of Cash Flow Information for Non-Cash Transactions:**

20.0001	.....		
20.0002	.....		
20.0003	.....		
20.0004	.....		

## UNDERWRITING AND INVESTMENT EXHIBIT

### PART 1 - PREMIUMS EARNED

	1 Net Premiums Written Per Column 6, Part 1B	2 Unearned Premiums Dec. 31 Prior Year - per Column 3, Last Year's Part 1	3 Unearned Premiums Dec. 31 Current Year - per Column 5, Part 1A	4 Premiums Earned During Year (Columns 1 + 2 - 3)
Line of Business				
1. Fire .....				
2. Allied lines .....				
3. Farmowners multiple peril .....				
4. Homeowners multiple peril .....				
5. Commercial multiple peril .....	31,246,995	17,717,053	18,395,632	30,568,416
6. Mortgage guaranty .....				
8. Ocean marine .....				
9. Inland marine .....				
10. Financial guaranty .....				
11.1 Medical professional liability - occurrence .....				
11.2 Medical professional liability - claims-made .....				
12. Earthquake .....				
13. Group accident and health .....				
14. Credit accident and health (group and individual) .....				
15. Other accident and health .....				
16. Workers' compensation .....	6,152,661	3,502,796	3,088,647	6,566,810
17.1 Other liability - occurrence .....	15,835,552	7,956,709	8,085,721	15,706,540
17.2 Other liability - claims-made .....				
17.3 Excess Workers' Compensation .....				
18.1 Products liability - occurrence .....				
18.2 Products liability - claims-made .....				
19.1 19.2 Private passenger auto liability .....				
19.3 19.4 Commercial auto liability .....				
21. Auto physical damage .....				
22. Aircraft (all perils) .....				
23. Fidelity .....				
24. Surety .....				
26. Burglary and theft .....				
27. Boiler and machinery .....				
28. Credit .....				
29. International .....				
30. Warranty .....				
31. Reinsurance-Nonproportional Assumed Property .....				
32. Reinsurance-Nonproportional Assumed Liability .....				
33. Reinsurance-Nonproportional Assumed Financial Lines .....				
34. Aggregate write-ins for other lines of business .....				
35. TOTALS .....	53,235,208	29,176,558	29,570,000	52,841,766
<b>DETAILS OF WRITE-INS</b>				
3401. ....				
3402. ....				
3403. ....				
3498. Summary of remaining write-ins for Line 34 from overflow page .....				
3499. TOTALS (Lines 3401 through 3403 plus 3498) (Line 34 above) .....				

## UNDERWRITING AND INVESTMENT EXHIBIT

### PART 1A - RECAPITULATION OF ALL PREMIUMS

	1 Amount Unearned (Running One Year or Less From Date of Policy) (a)	2 Amount Unearned (Running More Than One Year From Date of Policy) (a)	3  Earned But Unbilled Premium	4 Reserve for Rate Credits and Retrospective Adjustments Based on Experience	5 Total Reserve For Unearned Premiums Columns 1 + 2 + 3 + 4
Line of Business					
1. Fire .....					
2. Allied lines .....					
3. Farmowners multiple peril .....					
4. Homeowners multiple peril .....					
5. Commercial multiple peril .....	18,395,632				18,395,632
6. Mortgage guaranty .....					
8. Ocean marine .....					
9. Inland marine .....					
10. Financial guaranty .....					
11.1 Medical professional liability - occurrence .....					
11.2 Medical professional liability - claims-made .....					
12. Earthquake .....					
13. Group accident and health .....					
14. Credit accident and health (group and individual) .....					
15. Other accident and health .....					
16. Workers' compensation .....	3,088,647				3,088,647
17.1 Other liability - occurrence .....	8,085,721				8,085,721
17.2 Other liability - claims-made .....					
17.3 Excess Workers' Compensation .....					
18.1 Products liability - occurrence .....					
18.2 Products liability - claims-made .....					
19.1 19.2 Private passenger auto liability .....					
19.3 19.4 Commercial auto liability .....					
21. Auto physical damage .....					
22. Aircraft (all perils) .....					
23. Fidelity .....					
24. Surety .....					
26. Burglary and theft .....					
27. Boiler and machinery .....					
28. Credit .....					
29. International .....					
30. Warranty .....					
31. Reinsurance-Nonproportional Assumed Property .....					
32. Reinsurance-Nonproportional Assumed Liability .....					
33. Reinsurance-Nonproportional Assumed Financial Lines .....					
34. Aggregate write-ins for other lines of business .....					
35. TOTALS .....	29,570,000				29,570,000
36. Accrued retrospective premiums based on experience .....					
37. Earned but unbilled premiums .....					
38. Balance (Sum of Lines 35 through 37) .....					29,570,000
<b>DETAILS OF WRITE-INS</b>					
3401. ....					
3402. ....					
3403. ....					
3498. Summary of remaining write-ins for Line 34 from overflow page .....					
3499. TOTALS (Lines 3401 through 3403 plus 3498) (Line 34 above) .....					

(a) State here basis of computation used in each case: Daily pro rata

## UNDERWRITING AND INVESTMENT EXHIBIT

### PART 1B - PREMIUMS WRITTEN

Line of Business	1 Direct Business (a)	Reinsurance Assumed		Reinsurance Ceded		6 Net Premiums Written Columns 1+2+3-4-5
		2 From Affiliates	3 From Non-Affiliates	4 To Affiliates	5 To Non-Affiliates	
1. Fire .....						
2. Allied lines .....						
3. Farmowners multiple peril .....						
4. Homeowners multiple peril .....						
5. Commercial multiple peril .....	39,286,893				8,039,898	31,246,995
6. Mortgage guaranty .....						
8. Ocean marine .....						
9. Inland marine .....						
10. Financial guaranty .....						
11.1 Medical professional liability - occurrence .....						
11.2 Medical professional liability - claims-made .....						
12. Earthquake .....						
13. Group accident and health .....						
14. Credit accident and health (group and individual) .....						
15. Other accident and health .....						
16. Workers' compensation .....	7,022,299		204,268		1,073,906	6,152,661
17.1 Other liability - occurrence .....	16,673,628				838,076	15,835,552
17.2 Other liability - claims-made .....						
17.3 Excess Workers' Compensation .....						
18.1 Products liability - occurrence .....						
18.2 Products liability - claims-made .....						
19.1 19.2 Private passenger auto liability .....						
19.3 19.4 Commercial auto liability .....						
21. Auto physical damage .....						
22. Aircraft (all perils) .....						
23. Fidelity .....						
24. Surety .....						
26. Burglary and theft .....						
27. Boiler and machinery .....						
28. Credit .....						
29. International .....						
30. Warranty .....						
31. Reinsurance-Nonproportional Assumed Property .....	X X X					
32. Reinsurance-Nonproportional Assumed Liability .....	X X X					
33. Reinsurance-Nonproportional Assumed Financial Lines .....	X X X					
34. Aggregate write-ins for other lines of business .....						
35. TOTALS .....	62,982,820		204,268		9,951,880	53,235,208
<b>DETAILS OF WRITE-INS</b>						
3401. ....						
3402. ....						
3403. ....						
3498. Summary of remaining write-ins for Line 34 from overflow page .....						
3499. TOTALS (Lines 3401 through 3403 plus 3498) (Line 34 above) .....						

(a) Does the company's direct premiums written include premiums recorded on an installment basis? Yes [ ] No[X]  
 If yes, (1) The amount of such installment premiums \$.....0.  
 (2) Amount at which such installment premiums would have been reported had they been recorded on an annualized basis \$.....0



## UNDERWRITING AND INVESTMENT EXHIBIT

### PART 2 - LOSSES PAID AND INCURRED

Line of Business	Losses Paid Less Salvage				5 Net Losses Unpaid Current Year (Part 2A, Column 8)	6 Net Losses Unpaid Prior Year	7 Losses Incurred Current Year (Columns 4 + 5 - 6)	8 Percentage of Losses Incurred (Column 7, Part 2) to Premiums Earned (Column 4, Part 1)
	1 Direct Business	2 Reinsurance Assumed	3 Reinsurance Recovered	4 Net Payments (Columns 1 + 2 - 3)				
1. Fire								
2. Allied lines								
3. Farmowners multiple peril								
4. Homeowners multiple peril								
5. Commercial multiple peril	25,443,796		7,632,571	17,811,225	18,635,230	18,592,047	17,854,408	58.41
6. Mortgage guaranty								
8. Ocean marine								
9. Inland marine								
10. Financial guaranty								
11.1 Medical professional liability - occurrence								
11.2 Medical professional liability - claims-made								
12. Earthquake								
13. Group accident and health								
14. Credit accident and health (group and individual)								
15. Other accident and health								
16. Workers' compensation	2,456,880	142,478	86,715	2,512,643	5,738,310	5,362,325	2,888,628	43.99
17.1 Other liability - occurrence	5,377,122	45	1,752,792	3,624,375	8,438,590	7,931,853	4,131,112	26.30
17.2 Other liability - claims-made								
17.3 Excess Workers' Compensation								
18.1 Products liability - occurrence								
18.2 Products liability - claims made								
19.1 19.2 Private passenger auto liability								
19.3 19.4 Commercial auto liability								
21. Auto physical damage								
22. Aircraft (all perils)								
23. Fidelity								
24. Surety								
26. Burglary and theft								
27. Boiler and machinery								
28. Credit								
29. International								
30. Warranty								
31. Reinsurance-Nonproportional Assumed Property	X X X							
32. Reinsurance-Nonproportional Assumed Liability	X X X							
33. Reinsurance-Nonproportional Assumed Financial Lines	X X X							
34. Aggregate write-ins for other lines of business								
35. TOTALS	33,277,798	142,523	9,472,078	23,948,243	32,812,130	31,886,225	24,874,148	47.07
<b>DETAILS OF WRITE-INS</b>								
3401.								
3402.								
3403.								
3498. Summary of remaining write-ins for Line 34 from overflow page								
3499. TOTALS (Lines 3401 through 3403 plus 3498) (Line 34 above)								

## UNDERWRITING AND INVESTMENT EXHIBIT

### PART 2A - UNPAID LOSSES AND LOSS ADJUSTMENT EXPENSES

Line of Business	Reported Losses				Incurred But Not Reported			8	9
	1 Direct	2 Reinsurance Assumed	3 Deduct Reinsurance Recoverable	4 Net Losses Excluding Incurred But Not Reported (Columns 1 + 2 - 3)	5 Direct	6 Reinsurance Assumed	7 Reinsurance Ceded	Net Losses Unpaid (Columns 4 + 5 + 6 - 7)	Net Unpaid Loss Adjustment Expenses
1. Fire .....									
2. Allied Lines .....									
3. Farmowners multiple peril .....									
4. Homeowners multiple peril .....									
5. Commercial multiple peril .....	14,094,545		3,071,803	11,022,742	9,963,595		2,351,107	18,635,230	7,059,676
6. Mortgage guaranty .....									
8. Ocean marine .....									
9. Inland marine .....									
10. Financial guaranty .....									
11.1 Medical professional liability - occurrence .....									
11.2 Medical professional liability - claims-made .....									
12. Earthquake .....									
13. Group accident & health .....								(a)	
14. Credit accident & health (group & individual) .....								(a)	
15. Other accident & health .....									
16. Workers' compensation .....	3,687,817	241,758	1,169,463	2,760,112	3,180,766	154,852	357,420	5,738,310	880,766
17.1 Other liability - occurrence .....	5,456,241	1,796	8,437	5,449,600	5,552,958	786	2,564,754	8,438,590	5,049,565
17.2 Other liability - claims-made .....									
17.3 Excess Workers' Compensation .....									
18.1 Products liability - occurrence .....									
18.2 Products liability - claims-made .....									
19.1 19.2 Private passenger auto liability .....									
19.3 19.4 Commercial auto liability .....									
21. Auto physical damage .....									
22. Aircraft (all perils) .....									
23. Fidelity .....									
24. Surety .....									
26. Burglary and theft .....									
27. Boiler and machinery .....									
28. Credit .....									
29. International .....									
30. Warranty .....									
31. Reinsurance-Nonproportional Assumed Property .....	X X X				X X X				
32. Reinsurance-Nonproportional Assumed Liability .....	X X X				X X X				
33. Reinsurance-Nonproportional Assumed Financial Lines .....	X X X				X X X				
34. Aggregate write-ins for other lines of business .....									
35. TOTALS .....	23,238,603	243,554	4,249,703	19,232,454	18,697,319	155,638	5,273,281	32,812,130	12,990,007
<b>DETAILS OF WRITE-INS</b>									
3401. ....									
3402. ....									
3403. ....									
3498. Summary of remaining write-ins for Line 34 from overflow page .....									
3499. TOTALS (Lines 3401 through 3403 plus 3498) (Line 34 above) .....									

(a) Including \$.....0 for present value of life indemnity claims.

## UNDERWRITING AND INVESTMENT EXHIBIT

### PART 3 - EXPENSES

	1 Loss Adjustment Expenses	2 Other Underwriting Expenses	3 Investment Expenses	4 Total
1. Claim adjustment services:				
1.1 Direct .....	4,694,196			4,694,196
1.2 Reinsurance assumed .....	281			281
1.3 Reinsurance ceded .....	298,792			298,792
1.4 Net claim adjustment services (1.1 + 1.2 - 1.3) .....	4,395,685			4,395,685
2. Commission and brokerage:				
2.1 Direct, excluding contingent .....		9,172,742		9,172,742
2.2 Reinsurance assumed, excluding contingent .....		47,877		47,877
2.3 Reinsurance ceded, excluding contingent .....		738,756		738,756
2.4 Contingent - direct .....		1,365,254		1,365,254
2.5 Contingent - reinsurance assumed .....				
2.6 Contingent - reinsurance ceded .....				
2.7 Policy and membership fees .....				
2.8 Net commission and brokerage (2.1 + 2.2 - 2.3 + 2.4 + 2.5 - 2.6 + 2.7) .....		9,847,117		9,847,117
3. Allowances to manager and agents .....				
4. Advertising .....		105,396		105,396
5. Boards, bureaus and associations .....		382,422		382,422
6. Surveys and underwriting reports .....		1,108		1,108
7. Audit of assureds' records .....				
8. Salary and related items:				
8.1 Salaries .....	2,460,823	4,181,335	266,006	6,908,164
8.2 Payroll taxes .....	174,563	275,962	16,647	467,172
9. Employee relations and welfare .....	780,345	1,585,566	101,408	2,467,319
10. Insurance .....	65,402	61,066	16,822	143,290
11. Directors' fees .....				
12. Travel and travel items .....	185,775	389,462	30,474	605,711
13. Rent and rent items .....	119,695	111,759	30,786	262,240
14. Equipment .....	227,173	212,265	58,409	497,847
15. Cost or depreciation of EDP equipment and software .....	194,713	267,767	34,638	497,118
16. Printing and stationery .....	27,099	40,494	15,614	83,207
17. Postage, telephone and telegraph, exchange and express .....	78,753	94,354	39,558	212,665
18. Legal and auditing .....	55,615	49,572	271,503	376,690
19. TOTALS (Lines 3 to 18) .....	4,369,956	7,758,528	881,865	13,010,349
20. Taxes, licenses and fees:				
20.1 State and local insurance taxes deducting guaranty association credits of \$.....0 .....		1,091,575		1,091,575
20.2 Insurance department licenses and fees .....		87,496		87,496
20.3 Gross guaranty association assessments .....		(28,699)		(28,699)
20.4 All other (excluding federal and foreign income and real estate) .....		8,393		8,393
20.5 TOTAL taxes, licenses and fees (20.1 + 20.2 + 20.3 + 20.4) .....		1,158,765		1,158,765
21. Real estate expenses .....			109,271	109,271
22. Real estate taxes .....	45,619	42,595	11,733	99,947
23. Reimbursements by uninsured plans .....				
24. Aggregate write-ins for miscellaneous expenses .....	29,428	107,946	6,348	143,722
25. TOTAL expenses incurred .....	8,840,688	18,914,951	1,009,217	(a) 28,764,856
26. Less unpaid expenses - current year .....	12,990,007	3,946,880	74,777	17,011,664
27. Add unpaid expenses - prior year .....	12,825,252	4,229,964	82,024	17,137,240
28. Amounts receivable relating to uninsured plans, prior year .....				
29. Amounts receivable relating to uninsured plans, current year .....				
30. TOTAL EXPENSES PAID (Lines 25 - 26 + 27 - 28 + 29) .....	8,675,933	19,198,035	1,016,464	28,890,432
<b>DETAILS OF WRITE-INS</b>				
2401. Other miscellaneous expenses .....	29,428	107,946	6,348	143,722
2402. ....				
2403. ....				
2498. Summary of remaining write-ins for Line 24 from overflow page .....				
2499. TOTALS (Lines 2401 through 2403 plus 2498) (Line 24 above) .....	29,428	107,946	6,348	143,722

(a) Includes management fees of \$.....0 to affiliates and \$.....0 to non-affiliates.

## EXHIBIT OF NET INVESTMENT INCOME

	1 Collected During Year	2 Earned During Year
1. U.S. Government bonds	(a) 78,069	73,128
1.1 Bonds exempt from U.S. tax	(a) 402,937	360,791
1.2 Other bonds (unaffiliated)	(a) 2,425,853	2,458,242
1.3 Bonds of affiliates	(a)	
2.1 Preferred stocks (unaffiliated)	(b)	
2.11 Preferred stocks of affiliates	(b)	
2.2 Common stocks (unaffiliated)	271,156	271,643
2.21 Common stocks of affiliates		
3. Mortgage loans	(c)	
4. Real estate	(d) 10,690	10,690
5. Contract loans		
6. Cash, cash equivalents and short-term investments	(e) 66,491	66,491
7. Derivative instruments	(f)	
8. Other invested assets	169,990	169,990
9. Aggregate write-ins for investment income	2,553	2,553
10. TOTAL gross investment income	3,427,739	3,413,528
11. Investment expenses		(g) 1,009,217
12. Investment taxes, licenses and fees, excluding federal income taxes		(g)
13. Interest expense		(h)
14. Depreciation on real estate and other invested assets		(i) 1,863
15. Aggregate write-ins for deductions from investment income		
16. TOTAL Deductions (Lines 11 through 15)		1,011,080
17. Net Investment income (Line 10 minus Line 16)		2,402,448
<b>DETAILS OF WRITE-INS</b>		
0901. Interest received on reinsurance	2,553	2,553
0902.		
0903.		
0998. Summary of remaining write-ins for Line 9 from overflow page		
0999. TOTALS (Lines 0901 through 0903 plus 0998) (Line 9 above)	2,553	2,553
1501.		
1502.		
1503.		
1598. Summary of remaining write-ins for Line 15 from overflow page		
1599. TOTALS (Lines 1501 through 1503 plus 1598) (Line 15 above)		

- (a) Includes \$ 65,912 accrual of discount less \$ 305,652 amortization of premium and less \$ 63,687 paid for accrued interest on purchases.  
 (b) Includes \$ 0 accrual of discount less \$ 0 amortization of premium and less \$ 0 paid for accrued dividends on purchases.  
 (c) Includes \$ 0 accrual of discount less \$ 0 amortization of premium and less \$ 0 paid for accrued interest on purchases.  
 (d) Includes \$ 0 for company's occupancy of its own buildings; and excludes \$ 0 interest on encumbrances.  
 (e) Includes \$ 7,070 accrual of discount less \$ 0 amortization of premium and less \$ 0 paid for accrued interest on purchases.  
 (f) Includes \$ 0 accrual of discount less \$ 0 amortization of premium.  
 (g) Includes \$ 0 investment expenses and \$ 0 investment taxes, licenses and fees, excluding federal income taxes, attributable to segregated and Separate Accounts.  
 (h) Includes \$ 0 interest on surplus notes and \$ 0 interest on capital notes.  
 (i) Includes \$ 1,863 depreciation on real estate and \$ 0 depreciation on other invested assets.

## EXHIBIT OF CAPITAL GAINS (LOSSES)

	1 Realized Gain (Loss) on Sales or Maturity	2 Other Realized Adjustments	3 Total Realized Capital Gain (Loss) (Columns 1 + 2)	4 Change in Unrealized Capital Gain (Loss)	5 Change in Unrealized Foreign Exchange Capital Gain (Loss)
1. U.S. Government bonds					
1.1 Bonds exempt from U.S. tax	175,028		175,028		
1.2 Other bonds (unaffiliated)	88,493		88,493		
1.3 Bonds of affiliates					
2.1 Preferred stocks (unaffiliated)					
2.11 Preferred stocks of affiliates					
2.2 Common stocks (unaffiliated)	900,317	(190,097)	710,220	2,323,234	
2.21 Common stocks of affiliates					
3. Mortgage loans					
4. Real estate					
5. Contract loans					
6. Cash, cash equivalents and short-term investments					
7. Derivative instruments					
8. Other invested assets					
9. Aggregate write-ins for capital gains (losses)					
10. TOTAL Capital gains (losses)	1,163,838	(190,097)	973,741	2,323,234	
<b>DETAILS OF WRITE-INS</b>					
0901.					
0902.					
0903.					
0998. Summary of remaining write-ins for Line 9 from overflow page					
0999. TOTALS (Lines 0901 through 0903 plus 0998) (Line 9 above)					

**EXHIBIT OF NONADMITTED ASSETS**

	1	2	3
	Current Year Total Nonadmitted Assets	Prior Year Total Nonadmitted Assets	Change in Total Nonadmitted Assets (Col. 2 - Col. 1)
1. Bonds (Schedule D) .....			
2. Stocks (Schedule D):			
2.1 Preferred stocks .....			
2.2 Common stocks .....			
3. Mortgage loans on real estate (Schedule B):			
3.1 First liens .....			
3.2 Other than first liens .....			
4. Real estate (Schedule A):			
4.1 Properties occupied by the company .....			
4.2 Properties held for the production of income .....			
4.3 Properties held for sale .....			
5. Cash (Schedule E-Part 1), cash equivalents (Schedule E-Part 2) and short-term investments (Schedule DA) .....			
6. Contract loans .....			
7. Derivatives (Schedule DB) .....			
8. Other invested assets (Schedule BA) .....			
9. Receivables for securities .....			
10. Securities lending reinvested collateral assets (Schedule DL) .....			
11. Aggregate write-ins for invested assets .....			
12. Subtotals, cash and invested assets (Lines 1 to 11) .....			
13. Title plants (for Title insurers only) .....			
14. Investment income due and accrued .....			
15. Premium and considerations:			
15.1 Uncollected premiums and agents' balances in the course of collection .....			
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due .....	19,085	17,486	(1,599)
15.3 Accrued retrospective premiums and contracts subject to redetermination .....			
16. Reinsurance:			
16.1 Amounts recoverable from reinsurers .....			
16.2 Funds held by or deposited with reinsured companies .....			
16.3 Other amounts receivable under reinsurance contracts .....			
17. Amounts receivable relating to uninsured plans .....			
18.1 Current federal and foreign income tax recoverable and interest thereon .....			
18.2 Net deferred tax asset .....			
19. Guaranty funds receivable or on deposit .....			
20. Electronic data processing equipment and software .....	302,560	242,741	(59,819)
21. Furniture and equipment, including health care delivery assets .....	391,842	444,638	52,796
22. Net adjustment in assets and liabilities due to foreign exchange rates .....			
23. Receivables from parent, subsidiaries and affiliates .....			
24. Health care and other amounts receivable .....			
25. Aggregate write-ins for other than invested assets .....	389,810	372,217	(17,593)
26. TOTAL Assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	1,103,297	1,077,082	(26,215)
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			
28. TOTAL (Lines 26 and 27) .....	1,103,297	1,077,082	(26,215)
<b>DETAILS OF WRITE-INS</b>			
1101. ....			
1102. ....			
1103. ....			
1198. Summary of remaining write-ins for Line 11 from overflow page .....			
1199. TOTALS (Lines 1101 through 1103 plus 1198) (Line 11 above) .....			
2501. Prepaid maintenance, insurance and demutualization expenses .....	379,810	361,547	(18,263)
2502. Deposits and other receivables .....	10,000	10,670	670
2503. ....			
2598. Summary of remaining write-ins for Line 25 from overflow page .....			
2599. TOTALS (Lines 2501 through 2503 plus 2598) (Line 25 above) .....	389,810	372,217	(17,593)

# Notes to Financial Statements

## 1. Summary of Significant Accounting Policies

### A. Accounting Practices

The financial statements of Illinois Casualty Company are presented on the basis of accounting practices prescribed or permitted by the Illinois Department of Insurance.

The Illinois Department of Insurance recognizes only statutory accounting practices prescribed or permitted by the State of Illinois for determining and reporting the financial condition and results of operations of an insurance company, and for determining its solvency under the Illinois Insurance Law. The National Association of Insurance Commissioners' (NAIC) *Accounting Practices and Procedures Manual* (NAIC SAP) has been adopted as a component of prescribed or permitted practices by the State of Illinois.

	SSAP #	F/S Page	F/S Line #	2019	2018
<b>NET INCOME</b>					
(1) State basis (Page 4, Line 20, Columns 1 & 2)	XXX	XXX	XXX	3,037,554	1,206,160
(2) State Prescribed Practices that increase/(decrease) NAIC SAP:					
(3) State Permitted Practices that increase/(decrease) NAIC SAP:					
(4) NAIC SAP (1-2-3=4)	XXX	XXX	XXX	3,037,554	1,206,160
<b>SURPLUS</b>					
(5) State basis (Page 3, Line 37, Columns 1 & 2)	XXX	XXX	XXX	55,357,446	50,552,167
(6) State Prescribed Practices that increase/(decrease) NAIC SAP:					
(7) State Permitted Practices that increase/(decrease) NAIC SAP:					
(8) NAIC SAP (5-6-7=8)	XXX	XXX	XXX	55,357,446	50,552,167

### B. Use of Estimates in the Preparation of the Financial Statements

The preparation of financial statements in conformity with NAIC SAP requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. Actual results could differ from those estimates.

### C. Accounting Policy

Premiums are earned over the terms of the related insurance policies and reinsurance contracts. Unearned premium reserves are established to cover the unexpired portion of premiums written. Such reserves are computed by pro rata methods for direct business and are based on reports sent to the Company's reinsurance companies.

Expenses incurred in connection with acquiring new insurance business, including such acquisition costs as sale commissions, are charged to operations as incurred. Expenses incurred are reduced for ceding allowances received or receivable.

In addition, the Company uses the following accounting policies:

- Short-term money market investments are stated at fair value. Short-term bond investments are stated at amortized cost.
- Bonds with a NAIC rating 1 and 2 are stated at amortized cost using the interest method; all others are stated at the lower of amortized cost or fair value. For residential mortgage-backed securities (RMBS), commercial mortgage-backed securities (CMBS) and loan-backed and structured securities (LBASS), the NAIC has retained third-party investment management firms to assist in the determination of the appropriate NAIC designations and Book Adjusted Carrying Values based not only on the probability of loss, but also the severity of loss. Those RMBS, CMBS and LBASS securities that are not modeled but receive a current year Acceptable Rating Organizations (ARO) rating are subject to the Modified FE process which determines the appropriate NAIC designations and Book Adjusted Carrying Values.
- The Company carries the intercompany collateralized note receivable at book value.
- Common stocks, exchange trade funds, other than investments in stocks of subsidiary and unaffiliated companies, are stated at fair value.
- Investment grade redeemable preferred stocks are stated at amortized cost, others are carrier at market.
- The Company does not participate in mortgage loans as an investment vehicle.
- Loan-backed securities are stated at either amortized cost or the lower of amortized cost or fair value. The retrospective adjustment method is used to value those securities.
- The Company does not have any investments in any joint ventures, partnerships, or limited liability companies.
- The Company does not utilize derivatives as an investment vehicle.
- The Company does not factor anticipated investment income into the premium deficiency reserve.

## Notes to Financial Statements

11. Unpaid losses and loss adjustment expenses include an amount determined from individual case estimates and loss reports and an amount, based on past experience, for losses incurred but not reported. Such liabilities are necessarily based on assumptions and estimates and while management believes the amount is adequate, the ultimate liability may be in excess of or less than the amount provided. The methods for making such estimates and for establishing the resulting liability are continually reviewed and any adjustments are reflected in the period determined.
12. Non-Admitted Assets - Certain assets designated as "non-admitted", in accordance with Statement of Statutory Accounting Principles (SSAP) No.4 Assets and Non-Admitted Assets, are excluded from the statutory balance sheet and such amounts are charged directly to unassigned funds.
13. The Company has not modified its capitalization policy from the prior period.

### 2. Accounting Changes and Corrections of Errors

None

### 3. Business Combinations and Goodwill

None

### 4. Discontinued Operations

Not Applicable

### 5. Investments

#### A. Mortgage Loans, including Mezzanine Real Estate Loans

Not Applicable

#### B. Debt Restructuring

Not Applicable

#### C. Reverse Mortgages

Not Applicable

#### D. Loan-Backed Securities

1. Prepayment assumptions for Agency Mortgage-Backed Securities and Collateralized Mortgage Obligations were generated using a third-party prepayment model. The multi-factor model captures house price change trends, housing turnover, borrower default, and refinance incentive, among other factors. On an ongoing basis, we monitor the rate of prepayment and calibrate the model to reflect actual experience, market factors, and viewpoint.
2. Not Applicable
3. Not Applicable

Description	Amount
a. The aggregate amount of unrealized losses:	
1. Less than 12 Months	(196,039)
2. 12 Months or Longer	(406,927)
b. The aggregate related fair value of securities with unrealized losses:	
1. Less than 12 Months	9,660,356
2. 12 Months or Longer	8,362,051

5. The Company regularly performs various valuation procedures with respect to its investments, including reviewing each fixed maturity security in an unrealized loss position to assess whether the security is a candidate for credit loss. Specifically, the Company considers credit rating, market price, and issuer specific financial information, among other factors, to assess the likelihood of collection of all principal and interest as contractually due. Securities for which the Company determines that a credit loss is likely are subjected to further analysis to estimate the credit loss to be recognized in earnings, if any. Upon identification of such securities and periodically thereafter, a detailed review is performed to determine whether the decline is considered other than temporary.

The factors considered in reaching the conclusion that a decline below cost is other than temporary include among others, whether:

- a. the issuer is in financial distress;
- b. the investment is secured;
- c. a significant credit rating action occurred;
- d. scheduled interest payments were delayed or missed;

## Notes to Financial Statements

- e. change in laws or regulations have affected an issuer or industry;
- f. the investment has an unrealized loss and was identified by our Investment Manager as an investment to be sold before recovery or maturity; and
- g. the investment failed cash flow projection testing to determine if anticipated principal and interest payments will be realized.

The securities listed in the above tables are not deemed to be other-than-temporarily impaired.

E. Dollar Repurchase Agreements and/or Securities Lending Transactions

None

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing

None

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing

None

H. Repurchase Agreements Transactions Accounted for as a Sale

None

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale

None

J. Real Estate

1. Not Applicable

2. Real Estate Investments Sold

- a. In the fourth quarter of 2017, the Company sold all of its real estate, including the Home Office, to its parent, ICC Holdings, Inc. As part of the sale, the Company agreed to lease back the Home Office. The monthly lease payment is \$21,477. The transaction was filed and approved by the Illinois Department of Insurance in 2017. The properties were sold to obtain a more favorable RBC by using the profits to invest in additional bonds which have a lower risk factor.
- b. The Company recognized a gain of approximately \$1 million from the sale of all real estate holdings. As the sale included a subsequent lease-back agreement for the Home Office, the gain was booked directly to a special surplus account and is being amortized over a five-year period. The remaining unamortized amount is \$566,761 and \$766,794 as of December 31, 2019 and 2018, respectively.

3. Not Applicable

4. Not Applicable

5. Not Applicable

K. Low-Income Housing Tax Credits (LIHTC)

None



# Notes to Financial Statements

## L. Restricted Assets

### 1. Restricted Assets (Including Pledged)

Restricted Asset Category	Gross (Admitted & Nonadmitted) Restricted						Current Year				
	Current Year					6	7	8	9	Percentage	
	1	2	3	4	5					10	11
Total General Account (G/A)	G/A Supporting Protected Cell Account Activity (a)	Total Protected Cell Account Restricted Assets	Protected Cell Account Assets Supporting G/A Activity (b)	Total (1 plus 3)	Total From Prior Year	Increase/ (Decrease) (5 minus 6)	Total Nonadmitted Restricted	Total Admitted Restricted (5 minus 8)	Gross (Admitted & Nonadmitted) Restricted to Total Assets (c)	Admitted Restricted to Total Admitted Assets (d)	
(a) Subject to contractual obligation for which liability is not shown										%	%
(b) Collateral held under security lending agreements										%	%
(c) Subject to repurchase agreements										%	%
(d) Subject to reverse repurchase agreements										%	%
(e) Subject to dollar repurchase agreements										%	%
(f) Subject to dollar reverse repurchase agreements										%	%
(g) Placed under option contracts										%	%
(h) Letter stock or securities restricted as to sale – excluding FHLB capital stock										%	%
(i) FHLB capital stock	48,500				48,500	39,200	9,300	48,500	0.04%	0.04%	
(j) On deposit with states	3,739,959				3,739,959	3,756,032	(16,073)	3,739,959	2.73%	2.75%	
(k) On deposit with other regulatory bodies									%	%	
(l) Pledged as collateral to FHLB (including assets backing funding agreements)									%	%	
(m) Pledged as collateral not captured in other categories	5,000,000				5,000,000	5,000,000	0	5,000,000	3.64%	3.67%	
(n) Other restricted assets									%	%	
(o) Total Restricted Assets	8,788,459				8,788,459	8,795,232	(6,773)	8,788,459	6.41%	6.46%	

(a) Subset of column 1 (c) Column 5 divided by Asset Page Column 1, Line 28  
 (b) Subset of column 3 (d) Column 9 divided by Asset Page, Column 3, Line 28

### 2. Detail of Asset Pledged as Collateral Not Captured in Other Categories (Contracts That Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate)

Description of Assets	Gross (Admitted & Nonadmitted) Restricted						8	Percentage		
	Current Year					6		7	9	10
	1	2	3	4	5		Total From Prior Year			
Total General Account (G/A)	G/A Supporting Protected Cell Account Activity (a)	Total Protected Cell Account Restricted Assets	Protected Cell Account Assets Supporting G/A Activity (b)	Total (1 plus 3)	Total From Prior Year	Increase/ (Decrease) (5 minus 6)	Total Current Year Admitted Restricted	Gross (Admitted & Nonadmitted) Restricted to Total Assets	Admitted Restricted to Total Admitted Assets	
American Bank & Trust LOC	5,000,000				5,000,000	5,000,000	0	5,000,000	3.64%	3.67%
Total (c)	5,000,000				5,000,000	5,000,000	0	5,000,000	3.64%	3.67%

### 3. Detail of Other Restricted Assets (Contracts That Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate)

None

### 4. Collateral Received and Reflected as Assets Within the Reporting Entity's Financial Statements

None

## M. Working Capital Finance Investments

Not Applicable

## N. Offsetting and Netting of Assets and Liabilities

Not Applicable

## O. 5\* Securities

None

## P. Short Sales

None

## Q. Prepayment Penalty and Acceleration Fees

	General Account	Protected Cell
1. Number of CUSIPs	5	
2. Aggregate Amount of Investment Income	26,212	

## 6. Joint Ventures, Partnerships and Limited Liability Companies

Not Applicable

## Notes to Financial Statements

## 7. Investment Income

## A. Accrued Investment Income

The Company does not admit investment income due and accrued if amounts are over 90 days past due.

## B. Amounts Non-admitted

None

## 8. Derivative Instruments

Not Applicable

## 9. Income Taxes

## A. The components of the net deferred tax asset/(liability) at December 31 are as follows:

1. Description	12/31/2019			12/31/2018			Change		
	(1) Ordinary	(2) Capital	(3) (Col. 1 + 2) Total	(4) Ordinary	(5) Capital	(6) (Col. 4 + 5) Total	(7) (Col. 1 - 4) Ordinary	(8) (Col. 2 - 5) Capital	(9) (Col. 7 + 8) Total
(a) Gross Deferred Tax Assets	2,495	19	2,514	2,449	340	2,789	46	(321)	(275)
(b) Statutory Valuation Allowance Adjustments	0	0	0	0	0	0	0	0	0
(c) Adjusted Gross Deferred Tax Assets (1a - 1b)	2,495	19	2,514	2,449	340	2,789	46	(321)	(275)
(d) Deferred Tax Assets Nonadmitted	0	0	0	0	0	0	0	0	0
(e) Subtotal Net Admitted Deferred Tax Asset (1c - 1d)	2,495	19	2,514	2,449	340	2,789	46	(321)	(275)
(f) Deferred Tax Liabilities	255	361	616	323	210	533	(68)	(151)	(83)
(g) Net Admitted Deferred Tax Asset/(Net Deferred Tax Liability) (1e - 1f)	2,240	(342)	1,898	2,125	130	2,256	115	(472)	(358)

2. Admission Calculation Components SSAP No. 101	12/31/2019			12/31/2018			Change		
	(1) Ordinary	(2) Capital	(3) (Col. 1 + 2) Total	(4) Ordinary	(5) Capital	(6) (Col. 4 + 5) Total	(7) (Col. 1 - 4) Ordinary	(8) (Col. 2 - 5) Capital	(9) (Col. 7 + 8) Total
(a) Federal Income Taxes Paid In Prior Years Recoverable Through Loss Carrybacks	991	0	991	606	0	606	385	0	385
(b) Adjusted Gross Deferred Tax Assets Expected To Be Realized (Excluding The Amount Of Deferred Tax Assets From 2(a) Above) After Application of the Threshold Limitation (The Lesser of 2(b)1 and 2(b)2 below)	1,070	14	1,084	1,422	337	1,759	(352)	(323)	(675)
1. Adjusted Gross Deferred Tax Assets Expected to be Realized Following the Balance Sheet Date	1,070	14	1,084	1,422	337	1,759	(352)	(323)	(675)
2. Adjusted Gross Deferred Tax Assets Allowed per Limitation Threshold	XXX	XXX	8,631	XXX	XXX	7,616	XXX	XXX	1,015
(c) Adjusted Gross Deferred Tax Assets (Excluding The Amount Of Deferred Tax Assets From 2(a) and 2(b) above) Offset by Gross Deferred Tax Liabilities	434	5	439	421	3	424	13	2	15
(d) Deferred Tax Assets Admitted as the result of application of SSAP No. 101 Total (2(a) + 2(b) + 2(c))	2,495	19	2,514	2,449	340	2,789	46	(321)	(275)

3. Description		2019	2018
(a)	Ratio Percentage Used To Determine Recovery Period And Threshold Limitation Amount.	768%	715%
(b)	Amount Of Adjusted Capital And Surplus Used To Determine Recovery Period And Threshold Limitation in 2(b)2 Above.	53,459,167	48,296,594

4. Impact of Tax-Planning Strategies	12/31/2019		12/31/2018		Change	
	(1) Ordinary	(2) Capital	(3) Ordinary	(4) Capital	(5) (Col. 1 - 3) Ordinary	(6) (Col. 2 - 4) Capital
(a) Determination of adjusted gross deferred tax assets and net admitted deferred tax assets, by tax character as a percentage						
1. Adjusted gross DTAs amount from Note 9A1(c)	2,495	19	2,449	340	46	(321)
2. Percentage of adjusted gross DTAs by tax character attributable to the impact of tax planning strategies	0%	0.8%	0%	12.2%	0	-11.4%
3. Net Admitted Adjusted Gross DTAs amount from Note 9A1(e)	2,495	19	2,449	340	46	(321)
4. Percentage of net admitted adjusted gross DTAs by tax character admitted because of the impact of tax planning strategies	0%	0.7%	0%	14.2%	0	-13.5%

(b) Does the Company's tax-planning strategies include the use of reinsurance? Yes \_\_\_\_\_ No X

## B. Regarding deferred tax liabilities that are not recognized:

Not Applicable

## Notes to Financial Statements

C. Current income taxes incurred consist of the following major components:

	(1)	(2)	(3)
Description	12/31/2019	12/31/2018	(Col. 1 – 2) Change
1. Current Income Tax			
(a) Federal	200	(117)	318
(b) Foreign	0	0	0
(c) Subtotal	200	(117)	318
(d) Federal income tax on net capital gains	220	187	33
(e) Utilization of capital loss carry-forwards	0	0	0
(f) Other	0	0	0
(g) Federal and foreign income taxes incurred	420	70	350
2. Deferred Tax Assets:			
(a) Ordinary			
(1) Discounting of unpaid losses	766	814	(48)
(2) Unearned premium reserve	1,265	1,255	10
(3) Policyholder reserves	0	0	0
(4) Investments	0	0	0
(5) Deferred acquisition costs	0	0	0
(6) Policyholder dividends accrual	0	0	0
(7) Fixed assets	232	226	6
(8) Compensation and benefits accrual	225	145	80
(9) Pension accrual	0	0	0
(10) Receivables – nonadmitted	0	0	0
(11) Net operating loss carry-forward	0	0	0
(12) Tax credit carry-forward	0	0	0
(13) Other (including items < 5% of total ordinary tax assets)	9	9	0
(99) Subtotal	2,495	2,449	46
(b) Statutory valuation allowance adjustment	0	0	0
(c) Nonadmitted	0	0	0
(d) Admitted ordinary deferred tax assets (2a99 – 2b – 2c)	2,495	2,449	46
(e) Capital:			
(1) Investments	19	340	(321)
(2) Net capital loss carry-forward	0	0	0
(3) Real estate	0	0	0
(4) Other (including items < 5% of total capital tax assets)	0	0	0
(99) Subtotal	19	340	(321)
(f) Statutory valuation allowance adjustment	0	0	0
(g) Nonadmitted	0	0	0
(h) Admitted capital deferred tax assets (2e99 – 2f – 2g)	19	340	(321)
(i) Admitted deferred tax assets (2d + 2h)	2,514	2,789	(275)
3. Deferred Tax Liabilities:			
(a) Ordinary			
(1) Investments	4	3	1
(2) Fixed assets	25	28	(3)
(3) Deferred and uncollected premium	0	0	0
(4) Policyholder reserves	226	292	(66)
(5) Other (including items < 5% of total ordinary tax liabilities)	0	0	0
(99) Subtotal	255	323	(68)
(b) Capital:			
(1) Investments	361	210	151
(2) Real estate	0	0	0
(3) Other (including items < 5% of total capital tax liabilities)	0	0	0
(99) Subtotal	361	210	151
(c) Deferred tax liabilities (3a99 + 3b99)	616	533	83
4. Net deferred tax assets/liabilities (2i – 3c)	1,898	2,256	(358)

## Notes to Financial Statements

### D. Reconciliation of Federal Income Tax Rate to Actual Effective Tax Rate

The significant book to tax adjustments were as follows:

		In Thousands		
		12/31/2019	Tax Effect @ 21%	12/31/2018
Provision at statutory rate	745	21.0%	281	21.0%
Tax exempt income deduction	(76)	-2.1%	(126)	-9.5%
Dividends received deduction	(20)	-0.6%	(36)	-2.7%
Proration of tax exempt investment income	24	0.7%	41	3.0%
Nondeductible expenses	12	0.3%	33	2.5%
Change in deferred tax on non-admitted assets	(6)	-0.2%	15	1.1%
Prior year true-up	(357)	-10.1%	(184)	-13.8%
Other	(32)	-0.9%	(94)	-6.9%
Total	\$290	8.2%	\$(70)	-5.3%
Federal income taxes incurred	420	11.9%	70	5.2%
Change in net deferred income tax	(130)	-3.7%	(140)	-10.5%
Total statutory income taxes	\$290	8.2%	\$(70)	-5.3%

### E. Operating Loss and Tax Credit Carryforwards

- At December 31, 2019, the Company had no operating loss carry forwards or tax credit carry forwards available to offset against future taxable income.
- The amount of federal income taxes incurred and available for recoupment in the event of future net losses is:

Year	Amount
2019	\$777,581
2018	\$86,434

- The Company has no deposits admitted under Section 6603 of the Internal Revenue Service Code.

### F. Federal Income Tax Consolidation

The Company files a consolidated federal income tax return with its holding company, ICC Holdings, Inc., Beverage Insurance Agency and Estrella Innovative Solutions, Inc.

G-I. Not Applicable

## 10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

### A. Nature of Relationships

Illinois Casualty Company converted from a mutual to a stock insurance company on March 24, 2017, and became the wholly owned subsidiary of ICC Holdings, Inc. Stock of ICC Holdings is traded on the NASDAQ exchange under the symbol ICCH.

Illinois Casualty Company sold Estrella Innovative Solutions, Inc. and Beverage Insurance Agency to its parent company, ICC Holdings, Inc. on June 27, 2018. The purchase price for Estrella Innovative Solutions, Inc. was equal to Illinois Casualty Company's capital contribution of \$270,078 and the purchase price of Beverage Insurance Agency was \$1,000.

Beverage Insurance Agency, which has been inactive for several years and has \$0 in assets, liabilities and equity, was owned by the Company through June 27, 2018.

Estrella Innovative Solutions, Inc., was a wholly owned non-insurance subsidiary through June 27, 2018. Estrella Innovative Solutions, Inc. was incorporated August 25, 2014, for the purpose of providing information technology services.

### B. Detail of Transactions Greater the 1/2% of Admitted Assets

In the fourth quarter of 2017, the Company sold all of its real estate, including the Home Office, to its parent, ICC Holdings, Inc. in the fourth quarter for \$5.75 million. As a part of the demutualization transaction, ICC Holdings, Inc. contributed \$23.9 million to the Company. Of that contribution, \$1 million went to capital stock, \$19.4 million to paid in capital, and the remaining to cost reimbursement.

ICC Holdings, Inc. purchased property held by Illinois Casualty Company that was formerly sold to BOFI in a sale-leaseback agreement on June 27, 2018 in the amount of \$750,927.64. The assets consist of vehicles, furniture, IT equipment and software.

On September 7, 2018, ICC and its parent, ICC Holdings, Inc. (ICCH), entered into a loan agreement in which ICC loaned ICCH \$3.0 million and ICCH pledged real estate owned by ICC Realty, LLC. as collateral. In exchange for the \$3.0 million,

## Notes to Financial Statements

ICCH agrees to make monthly payments in the amount of \$17,787.65 for 20 years beginning October 7, 2018. The effective annual interest rate is 3.750%. Since this transaction is a collateralized loan, it is reflected on Schedule BA of the financial statements and thus included on the "Other invested assets" line of ICC's balance sheet. Additionally, the Company has recorded \$109,446 in interest income related to this transaction at December 31, 2019.

On May 30, 2019, ICC and its parent, ICC Holdings, Inc. (ICCH), entered into another loan agreement in which ICC loaned ICCH \$3.0 million and ICCH pledged real estate owned by ICC Realty, LLC. as collateral. In exchange for the \$3.0 million, ICCH agrees to make monthly payments in the amount of \$17,383.38 for 20 years beginning June 30, 2019. The effective annual interest rate is 3.490%. Since this transaction is a collateralized loan, it is reflected on Schedule BA of the financial statements and thus included on the "Other invested assets" line of ICC's balance sheet. Additionally, the Company has recorded \$60,544 in interest income related to this transaction at December 31, 2019.

### C. Change in Terms of Intercompany Arrangements

In 2017, the Company Tax Allocation and Cost Sharing agreements were amended to include the Company's parent, ICC Holdings, Inc., and was filed with the Illinois Department of Insurance. No changes have been made to either of these agreements in 2019.

### D. Amounts Due to or from Related Parties

At December 31, 2019, the Company reported \$7,996 as amounts payable to related parties.

### E. Guarantees of Contingencies for Related Parties

The Company does not have any guarantees or undertakings, written or otherwise, for the benefit of an affiliate or related party that result in a material contingent exposure of the reporting entity's or any related party's assets or liabilities.

### F. Management, Service Contracts, Cost Sharing Agreements

The Company participates in a cost sharing agreement with ICC Holdings, Inc. and Estrella Innovative Solutions, Inc. The method of allocation between the companies is subject to a written agreement accepted by the Illinois Department of Insurance. The Parties may share services determined to be reasonably necessary in the conduct of their operations, including but not limited to: (i) accounting, reporting, tax and auditing; (ii) telecommunication services and electronic data processing services, facilities and integration, including software programming and documentation, hardware utilization, and systems support; (iii) legal services; (iv) purchasing, payroll and employee relations services; (v) executive management services; (vi) other administrative services; and (vii) the cooperative purchase of goods and third party services, including but not limited to office equipment, office supplies, insurance, health and welfare plans, software licensing, and professional services ("Services"). As a part of the demutualization transaction, ICC Holdings, Inc. contributed \$23.9 million to the Company. Of that contribution, \$1 million went to capital stock, \$19.4 million to paid in capital, and the remaining to cost reimbursement.

Estrella has contracted with a firm in Mexico to provide technical services. These services are provided to ICC at cost. The Company has incurred \$388,613 and \$286,205 for 2019 and 2018, respectively, for third party programming fees.

The Company has incurred costs on behalf of its parent company, for which it has billed \$316,323 in 2019. This amount is derived from allocations by the Company for services as mentioned above. The Company filed the Second Amended Cost Sharing agreement with the Illinois Department of Insurance in early third quarter of 2017. This agreement was approved by the Illinois Department of Insurance in July 2017.

G-L. Not applicable.

### M. All SCA Investments

#### 1. Balance Sheet Value (Admitted and Nonadmitted) All SCAs (Except 8bi Entities)

Not Applicable

#### 2. NAIC Filing Response Information

Not Applicable

### N. Investment in Insurance SCAs

None

## 11. Debt

### A. Debt Consists of the Following Obligations

Not Applicable

### B. FHLB (Federal Home Loan Bank) Agreements

- The Company became a member of the Federal Home Loan Bank (FHLB) of Chicago in February 2018. It is part of the Company's strategy to utilize funds as needed. The Company has determined the actual/estimated borrowing capacity as \$7.7 million. The Company calculated this amount in accordance with instructions provided by the FHLB of Chicago using bond holdings as of December 31, 2017.

## Notes to Financial Statements

## 2. FHLB Capital Stock

## a. Aggregate Totals

Description	1 Total 2+3	2 General Account	3 Protected Cell Accounts
1. Current Quarter			
(a) Membership Stock – Class A			
(b) Membership Stock – Class B	48,500	48,500	
(c) Activity Stock			
(d) Excess Stock			
(e) Aggregate Total (a+b+c+d)	48,500	48,500	
(f) Actual or estimated Borrowing Capacity as Determined by the Insurer	7,700,000	XXX	XXX
2. Prior Year-end			
(a) Membership Stock – Class A			
(b) Membership Stock – Class B	39,200	39,200	
(c) Activity Stock			
(d) Excess Stock			
(e) Aggregate Total (a+b+c+d)	39,200	39,200	
(f) Actual or estimated Borrowing Capacity as Determined by the Insurer	7,700,000	XXX	XXX

## b. Membership Stock (Class A and B) Eligible and Not Eligible for Redemption

Membership Stock	1 Current Quarter Total (2+3+4+5+6)	2 Not Eligible for Redemption	Eligible for Redemption			
			3 Less than 6 months	4 6 months to less than 1 year	5 1 to less than 3 years	6 3 to 5 years
1. Class A						
2. Class B	48,500					48,500

## 3. Collateral Pledged to FHLB

None

## 4. Borrowing from FHLB

None

## 12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans.

## A-D. Defined Benefit Plan

In 2012, the Company implemented a non-contributory defined benefit pension plan covering a limited number of executives. Assuming a projected discount rate of 3.6%, the plan requires \$1,689,467 to be accrued by December 31, 2031 to meet the fully vested projected benefit obligation. The plan is structured to permit 0% vesting in years 2012 through 2016, 25% vesting in years 2017 through 2021, 50% vesting in years 2022 through 2026, 75% vesting in years 2027 through 2031, and 100% vesting effective January 1, 2032. As of December 31, 2019, the Company had accrued a pension liability in the amount of \$347,987 in accordance with actuarially determined assumptions.

## E. Defined Contribution Plans

The Company sponsors a 401(k) profit sharing plan for its employees. The Company offers a matching percentage as well as a profit sharing percentage of each employee's compensation. The employees vest at a rate of 25% per year for the profit sharing distribution and the matching percentage is 100% vested. The total contribution to the 401(k) profit sharing plan was \$293,860 and \$228,258 for 2019 and 2018, respectively. Beginning in March 2017, the Company offers an ESOP. The ESOP Trust purchased 350,000 shares or 10% of the parent company's IPO which is payable over 15 years. 21,878 shares are allocable to employees in the first year and 23,437 in each year thereafter. A total expense of \$288,536 and \$302,038 has been paid for 2019 and 2018 related to this plan.

## F. Multiemployer Plans

Not Applicable

## G. Consolidated/Holding Company Plans

Not Applicable

## H. Postemployment Benefits and Compensated Absences

The Company did not incur any major changes to its post-employment benefits since December 31, 2018.

## I. Impact of Medicare Modernization Act on Postretirement Benefits

Not Applicable

## Notes to Financial Statements

### 13. Capital and Surplus, Dividend Restrictions and Quasi-Reorganizations

#### A. Outstanding Shares

The Company has 100,000 shares of common stock authorized, issued, and outstanding as of December 31, 2019. The par value of the shares is \$30 per share.

#### B. Dividend Rate of Preferred Stock

Not Applicable

#### C. Dividend Restrictions

No Illinois domiciled company may pay any extraordinary dividend or make any other extraordinary distribution to its security holders until: (a) 30 days after the Director has received notice of the declaration thereof and has not within such period disapproved the payment, or (b) the Director approves such payment within the 30-day period. For purposes of this subsection, an extraordinary dividend or distribution is any dividend or distribution of cash or other property whose fair market value, together with that of other dividends or distributions, made within the period of 12 consecutive months ending on the date on which the proposed dividend is scheduled for payment or distribution exceeds the greater of: (a) 10% of the company's surplus as regards policyholders as of the 31st day of December next preceding, or (b) the net income of the company for the 12-month period ending the 31st day of December next preceding, but does not include pro rata distributions of any class of the company's own securities.

#### D. Dates and Amounts of Dividends Paid

Not Applicable

#### E. Amount of Ordinary Dividends That May Be Paid

There are no additional limitations other than those described in item C above.

#### F. Restrictions Placed on Unassigned Surplus

None

#### G. Mutual Surplus Advance

None

#### H. Company Stock Held for Special Purposes

Not applicable

#### I. Changes in Special Surplus Funds

Changes in balances of special surplus funds from the prior year are due to the sale leaseback transaction previously referenced in footnote 5J(b).

#### J. Changes in Unassigned Funds

There have not been any significant changes since December 31, 2017.

#### K. The Company issued the following surplus debentures or similar obligations:

All surplus notes were paid off as of March 31, 2017.

#### L. The impact of any restatement due to prior quasi-reorganizations is as follows:

Not Applicable

#### M. Effective Date of Quasi Reorganization

Not Applicable

### 14. Liabilities, Contingencies and Assessments

#### A. Contingent Commitments

Not Applicable

#### B. Assessments

The company recorded \$38,404 as of December 31, 2019, to account for its estimated portion of guaranty fund and other assessments. The Company has not recorded an asset for the related premium tax credits.

## Notes to Financial Statements

### C. Gain Contingencies

Not Applicable

### D. Claims Related Extra Contractual Obligation and Bad Faith Losses Stemming from Lawsuits

Not Applicable

### E. Product Warranties

Not Applicable

### F. Joint and Several Liabilities

Not Applicable

### G. All Other Contingencies

Not Applicable

## 15. Leases

### A. Lessee Operating Lease

1. None

2. None

#### 3. Sale-Leaseback Transactions

- a. The Company entered into a sale-leaseback arrangement on September 22, 2015, a second sale-leaseback arrangement on March 31, 2016, and a final arrangement on September 29, 2016. To secure a lower implied lease rate, the Company pledged bonds that are referenced in Footnote 5L. In the transactions, BOFI Federal Bank has purchased nonadmitted electronic data processing software, furniture, fixtures, equipment, and titled vehicles which are leased to the Company. In the first agreement, rental payments are \$15,094.50 for the electronic data processing software and \$5,609.33 for the titled vehicles. The term of the lease is 48 months and 36 months, respectively. In the second agreement, rental payments are \$19,143 for all items sold. The term of the lease is 36 months. The final arrangement on September 29, 2016 was paid off in third quarter 2017.

In first quarter 2018, the Company paid the remaining balance of the sale-lease agreement and subsequently entered into a sale-leaseback agreement with ICC Holdings, Inc. In June 2018, this agreement was approved by the Illinois Department of Insurance. The lease payments and terms are the same as the agreement with BOFI. The lease will be paid off in the same time frame as well.

As a part of the sale of all the Company's real estate, the Company entered into a lease agreement for the Home Office in the fourth quarter of 2017. This transaction was filed and approved by the Illinois Department of Insurance in 2017. Due to this transaction, the Company incurred \$257,724 in rent expense in both 2018 and 2019.

- b. None

### B. Lessor Leases

None

## 16. Information About Financial Instruments with Off-Balance-Sheet Risk And Financial Instruments With Concentrations of Credit Risk

Not Applicable

## 17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

### A. Transfers of Receivables reported as Sales

None

### B. Transfer and Servicing of Financial Assets

None

### C. Wash Sales

None

## 18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

### A. ASO Plans

None



## Notes to Financial Statements

## B. ASC Plans

None

## C. Medicare or Similarly Structured Cost Based Reimbursement Contract

None

**19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators**

Not Applicable

**20. Fair Value Measurements**

## A.

## 1. Fair Value Measurements at Reporting Date

Description for each class of asset or liability	(Level 1)	(Level 2)	(Level 3)	Total
a. Assets at fair value				
Perpetual Preferred stock				
Industrial and Misc				
Parent, Subsidiaries and Affiliates				
Total Perpetual Preferred Stocks				
Bonds				
U.S. Governments				
Industrial and Misc				
Hybrid Securities				
Parent, Subsidiaries and Affiliates				
Total Bonds				
Common Stock				
Industrial and Misc	13,280,393	48,500		13,328,893
Mutual Funds	83,411			83,411
Parent, Subsidiaries and Affiliates				
Total Common Stocks	13,363,804	48,500		13,412,304
Derivative assets				
Interest rate contracts				
Foreign exchange contracts				
Credit contracts				
Commodity futures contracts				
Commodity forward contracts				
Total Derivatives				
Separate account assets				
Total assets at fair value	13,636,804	48,500		13,412,304
b. Liabilities at fair value				
Derivative liabilities				
Total liabilities at fair value				

## 2. Fair Value Measurements in (Level 3) of the Fair Value Hierarchy

Not Applicable

## B. Not Applicable

## C.

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Not Practicable (Carrying Value)
Bonds	88,727,119	85,054,301	800,219	87,926,900		
Common Stock	13,412,304	13,412,304	13,636,804	48,500		
Perpetual Preferred Stock						
Cash Equivalents	3,445,071	3,445,071	3,445,071			

## D. Not Practicable to Estimate Fair Value

Not Applicable

**21. Other Items**

## A. Unusual or Infrequent Items

None

## B. Troubled Debt Restructuring: Debtors

Not Applicable

## Notes to Financial Statements

## C. Other Disclosures

None

## D. Business Interruption Insurance Recoveries

None

## E. State Transferable and Non-transferable Tax Credits

None

## F. Subprime-Mortgage-Related Risk Exposure

None

## G. Insurance-Linked Securities (ILS) Contracts

None

## 22. Events Subsequent

None

## 23. Reinsurance

## A. Unsecured Reinsurance Recoverables: Greater Than 3% Policyholder Surplus

NAIC Co. #	FEIN#	Company Name	Amount
00000	AA-112033	Aspen Ins UK Ltd	2,219,000
10357	52-1952955	Platinum Underwriters Reins Inc	1,878,000

## B. Reinsurance Recoverable in Dispute

None

## C. Reinsurance Assumed and Ceded

1. The table below summarizes the face amount of the Company's financial instruments with

Description	Assumed Reinsurance		Ceded Reinsurance		Net	
	Premium Reserve	Commission Equity	Premium Reserve	Commission Equity	Premium Reserves	Commission Equity
a. Affiliates						
b. All Other	62,169		822,818	283,430	(760,649)	(283,430)
c. TOTAL	62,169		822,818	283,430	(760,649)	(283,430)
d. Direct Unearned Premium Reserve			30,330,648			

2. The additional or return commission, predicated on loss experience or on any other form of profit sharing arrangements in this annual statement as a result of existing contractual arrangements are accrued as follows:

REINSURANCE

Description	Direct	Assumed	Ceded	Net
a. Contingent Commission	1,385,544			1,385,544
b. Sliding Scale Adjustments				
c. Other Profit Commission arrangements				
d. TOTAL	1,385,544			1,385,544

3. Not Applicable

## D. Uncollectible Reinsurance

None

## E. Commutation of Ceded Reinsurance

None

## F. Retroactive Reinsurance

None

## G. Reinsurance Accounted for as a Deposit

None

## Notes to Financial Statements

### H. Disclosures for the Transfer of Property and Casualty Run-off Agreements

None

### I. Certified Reinsurer Rating Downgraded or Status Subject to Revocation

None

### J. Reinsurance Agreements Qualifying for Reinsurer Aggregation

None

### 24. Retrospectively Rated Contracts & Contracts Subject to Redetermination

Not Applicable

### 25. Change in Incurred Losses and Loss Adjustment Expenses

The estimated cost of loss and loss adjustment expenses attributable to insured events of prior year's increased by \$.151 million during 2019, as compared to an increase of \$1.5 million during 2018. The business owner's liability line of business is adversely affecting the 2019 development.

### 26. Intercompany Pooling Arrangements

Not Applicable

### 27. Structured Settlements

A.

Loss Reserves Eliminated by Annuities	Unrecorded Loss Contingencies
522,130	0

B.

Life Insurance Company and Location	Licensed in Company's State of Domicile (Yes/No)	Statement Value (i.e., Present Value) of Annuities
Symetra Life Insurance Company	Y	7,864

### 28. Health Care Receivables

Not Applicable

### 29. Participating Policies

Not Applicable

### 30. Premium Deficiency Reserves

1. Liability carried for premium deficiency reserves	<u>0</u>
2. Date of the most recent evaluation of this liability	<u>12/31/2019</u>
3. Was anticipated investment income utilized in the calculation? (Yes / No)	<u>No</u>

### 31. High Deductibles

Not Applicable

### 32. Discounting of Liabilities for Unpaid Losses or Unpaid Loss Adjustment Expenses

Not Applicable

### 33. Asbestos/Environmental Reserves

Not Applicable

### 34. Subscriber Savings Accounts

Not Applicable

### 35. Multiple Peril Crop Insurance

Not Applicable

### 36. Financial Guaranty Insurance

Not Applicable

# GENERAL INTERROGATORIES

## PART 1 - COMMON INTERROGATORIES

### GENERAL

- 1.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?  
If yes, complete Schedule Y, Parts 1, 1A and 2. Yes[X] No[ ]
- 1.2 If yes, did the reporting entity register and file with its domiciliary State Insurance Commissioner, Director or Superintendent or with such regulatory official of the state of domicile of the principal insurer in the Holding Company System, a registration statement providing disclosure substantially similar to the standards adopted by the National Association of Insurance Commissioners (NAIC) in its Model Insurance Holding Company System Regulatory Act and model regulations pertaining thereto, or is the reporting entity subject to standards and disclosure requirements substantially similar to those required by such Act and regulations? Yes[X] No[ ] N/A[ ]
- 1.3 State Regulating? Illinois
- 1.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes[X] No[ ]
- 1.5 If the response to 1.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. 0001681903

- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes[ ] No[X]
- 2.2 If yes, date of change: .....

- 3.1 State as of what date the latest financial examination of the reporting entity was made or is being made. .....12/31/2016.....
- 3.2 State as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. .....12/31/2016.....
- 3.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). .....05/16/2018.....

- 3.4 By what department or departments?  
Illinois Department of Insurance
- 3.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with departments? Yes[ ] No[ ] N/A[X]
- 3.6 Have all of the recommendations within the latest financial examination report been complied with? Yes[ ] No[ ] N/A[X]

- 4.1 During the period covered by this statement, did any agent, broker, sales representative, non-affiliated sales/service organization or any combination thereof under common control (other than salaried employees of the reporting entity) receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:
- 4.11 sales of new business? Yes[ ] No[X]
- 4.12 renewals? Yes[ ] No[X]
- 4.2 During the period covered by this statement, did any sales/service organization owned in whole or in part by the reporting entity or an affiliate, receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:
- 4.21 sales of new business? Yes[ ] No[X]
- 4.22 renewals? Yes[ ] No[X]

- 5.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?  
If yes, complete and file the merger history data file with the NAIC. Yes[ ] No[X]
- 5.2 If yes, provide the name of the entity, NAIC company code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile
.....	.....	.....

- 6.1 Has the reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes[ ] No[X]
- 6.2 If yes, give full information:
- 7.1 Does any foreign (non-United States) person or entity directly or indirectly control 10% or more of the reporting entity? Yes[ ] No[X]
- 7.2 If yes, .....0.000%
- 7.21 State the percentage of foreign control
- 7.22 State the nationality(s) of the foreign person(s) or entity(s); or if the entity is a mutual or reciprocal, the nationality of its manager or attorney-in-fact and identify the type of entity(s) (e.g., individual, corporation, government, manager or attorney-in-fact).

1 Nationality	2 Type of Entity
.....	.....

- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes[ ] No[X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes[ ] No[X]
- 8.4 If response to 8.3 is yes, please provide the names and locations (city and state of the main office) of any affiliates regulated by a federal financial regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC) and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
.....	.....	No	No	No	No

9. What is the name and address of the independent certified public accountant or accounting firm retained to conduct the annual audit?  
Johnson Lambert, LLP, 250 S. Northwest Highway, Suite 340, Park Ridge, IL 60068
- 10.1 Has the insurer been granted any exemptions to the prohibited non-audit services provided by the certified independent public accountant requirements as allowed in Section 7H of the Annual Financial Reporting Model Regulation (Model Audit Rule), or substantially similar state law or regulation? Yes[ ] No[X]
- 10.2 If response to 10.1 is yes, provide information related to this exemption:
- 10.3 Has the insurer been granted any exemptions related to the other requirements of the Annual Financial Reporting Model Regulation as allowed for in Section 18A of the Model Regulation, or substantially similar state law or regulation? Yes[ ] No[X]
- 10.4 If response to 10.3 is yes, provide information related to this exemption:
- 10.5 Has the reporting entity established an Audit Committee in compliance with the domiciliary state insurance laws? Yes[X] No[ ] N/A[ ]
- 10.6 If the response to 10.5 is no or n/a please explain:
11. What is the name, address and affiliation (officer/employee of the reporting entity or actuary/consultant associated with an actuarial consulting firm) of the individual providing the statement of actuarial opinion/certification?  
Charles C. Emma Principal and Consulting Actuary 115 Campbell St. Suite 100C Geneva, IL 60134

## GENERAL INTERROGATORIES (Continued)

- 12.1 Does the reporting entity own any securities of a real estate holding company or otherwise hold real estate indirectly? Yes [ ] No [X]
- 12.11 Name of real estate holding company
- 12.12 Number of parcels involved
- 12.13 Total book/adjusted carrying value \$ ..... 0
- 12.2 If yes, provide explanation \$ ..... 0
13. FOR UNITED STATES BRANCHES OF ALIEN REPORTING ENTITIES ONLY:
- 13.1 What changes have been made during the year in the United States manager or the United States trustees of the reporting entity?
- 13.2 Does this statement contain all business transacted for the reporting entity through its United States Branch on risks wherever located? Yes [ ] No [ ] N/A [X]
- 13.3 Have there been any changes made to any of the trust indentures during the year? Yes [ ] No [ ] N/A [X]
- 13.4 If answer to (13.3) is yes, has the domiciliary or entry state approved the changes? Yes [ ] No [ ] N/A [X]
- 14.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No [ ]
- a. Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- b. Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- c. Compliance with applicable governmental laws, rules and regulations;
- d. The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- e. Accountability for adherence to the code.
- 14.11 If the response to 14.1 is no, please explain:
- 14.2 Has the code of ethics for senior managers been amended? Yes [ ] No [X]
- 14.21 If the response to 14.2 is yes, provide information related to amendment(s).
- 14.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [ ] No [X]
- 14.31 If the response to 14.3 is yes, provide the nature of any waiver(s).
- 15.1 Is the reporting entity the beneficiary of a Letter of Credit that is unrelated to reinsurance where the issuing or confirming bank is not on the SVO Bank List? Yes [ ] No [X]
- 15.2 If the response to 15.1 is yes, indicate the American Bankers Association (ABA) Routing Number and the name of the issuing or confirming bank of the Letter of Credit and describe the circumstances in which the Letter of Credit is triggered.

1 American Bankers Association (ABA) Routing Number	2 Issuing or Confirming Bank Name	3 Circumstances That Can Trigger the Letter of Credit	4 Amount

### BOARD OF DIRECTORS

16. Is the purchase or sale of all investments of the reporting entity passed upon either by the Board of Directors or a subordinate committee thereof? Yes [X] No [ ]
17. Does the reporting entity keep a complete permanent record of the proceedings of its Board of Directors and all subordinate committees thereof? Yes [X] No [ ]
18. Has the reporting entity an established procedure for disclosure to its board of directors or trustees of any material interest or affiliation on the part of any of its officers, directors, trustees or responsible employees that is in conflict or is likely to conflict with the official duties of such person? Yes [X] No [ ]

### FINANCIAL

19. Has this statement been prepared using a basis of accounting other than Statutory Accounting Principles (e.g., Generally Accepted Accounting Principles)? Yes [ ] No [X]
- 20.1 Total amount loaned during the year (inclusive of Separate Accounts, exclusive of policy loans):
- 20.11 To directors or other officers \$ ..... 0
- 20.12 To stockholders not officers \$ ..... 3,000,000
- 20.13 Trustees, supreme or grand (Fraternal only) \$ ..... 0
- 20.2 Total amount of loans outstanding at end of year (inclusive of Separate Accounts, exclusive of policy loans):
- 20.21 To directors or other officers \$ ..... 0
- 20.22 To stockholders not officers \$ ..... 5,809,552
- 20.23 Trustees, supreme or grand (Fraternal only) \$ ..... 0
- 21.1 Were any assets reported in this statement subject to a contractual obligation to transfer to another party without the liability for such obligation being reported in the statement? Yes [ ] No [X]
- 21.2 If yes, state the amount thereof at December 31 of the current year:
- 21.21 Rented from others \$ ..... 0
- 21.22 Borrowed from others \$ ..... 0
- 21.23 Leased from others \$ ..... 0
- 21.24 Other \$ ..... 0
- 22.1 Does this statement include payments for assessments as described in the Annual Statement Instructions other than guaranty fund or guaranty association assessments? Yes [ ] No [X]
- 22.2 If answer is yes:
- 22.21 Amount paid as losses or risk adjustment \$ ..... 0
- 22.22 Amount paid as expenses \$ ..... 0
- 22.23 Other amounts paid \$ ..... 0
- 23.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [ ] No [X]
- 23.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ ..... 0

### INVESTMENT

- 24.01 Were all the stocks, bonds and other securities owned December 31 of current year, over which the reporting entity has exclusive control, in the actual possession of the reporting entity on said date? (other than securities lending programs addressed in 24.03) Yes [X] No [ ]
- 24.02 If no, give full and complete information, relating thereto
- 24.03 For security lending programs, provide a description of the program including value for collateral and amount of loaned securities, and whether collateral is carried on or off-balance sheet. (an alternative is to reference Note 17 where this information is also provided)
- 24.04 Does the Company's security lending program meet the requirements for a conforming program as outlined in the Risk-Based Capital Instructions? Yes [ ] No [ ] N/A [X]
- 24.05 If answer to 24.04 is yes, report amount of collateral for conforming programs. \$ ..... 0
- 24.06 If answer to 24.04 is no, report amount of collateral for other programs. \$ ..... 0
- 24.07 Does your securities lending program require 102% (domestic securities) and 105% (foreign securities) from the counterparty at the outset of the contract? Yes [ ] No [ ] N/A [X]
- 24.08 Does the reporting entity non-admit when the collateral received from the counterparty falls below 100%? Yes [ ] No [ ] N/A [X]
- 24.09 Does the reporting entity or the reporting entity's securities lending agent utilize the Master Securities Lending Agreement (MSLA) to conduct securities lending? Yes [ ] No [ ] N/A [X]
- 24.10 For the reporting entity's security lending program, state the amount of the following as of December 31 of the current year:

## GENERAL INTERROGATORIES (Continued)

24.101 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.	\$ ..... 0
24.102 Total book/adjusted carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.	\$ ..... 0
24.103 Total payable for securities lending reported on the liability page.	\$ ..... 0

25.1 Were any of the stocks, bonds or other assets of the reporting entity owned at December 31 of the current year not exclusively under the control of the reporting entity, or has the reporting entity sold or transferred any assets subject to a put option contract that is currently in force? (Exclude securities subject to Interrogatory 21.1 and 24.03). Yes[X] No[ ]

25.2 If yes, state the amount thereof at December 31 of the current year:

25.21 Subject to repurchase agreements	\$ ..... 0
25.22 Subject to reverse repurchase agreements	\$ ..... 0
25.23 Subject to dollar repurchase agreements	\$ ..... 0
25.24 Subject to reverse dollar repurchase agreements	\$ ..... 0
25.25 Placed under option agreements	\$ ..... 0
25.26 Letter stock or securities restricted as to sale - excluding FHLB Capital Stock	\$ ..... 0
25.27 FHLB Capital Stock	\$ ..... 48,500
25.28 On deposit with states	\$ ..... 3,739,959
25.29 On deposit with other regulatory bodies	\$ ..... 0
25.30 Pledged as collateral - excluding collateral pledged to an FHLB	\$ ..... 5,000,000
25.31 Pledged as collateral to FHLB - including assets backing funding agreements	\$ ..... 0
25.32 Other	\$ ..... 0

25.3 For category (25.26) provide the following:

1 Nature of Restriction	2 Description	3 Amount

26.1 Does the reporting entity have any hedging transactions reported on Schedule DB? Yes[ ] No[X]  
 26.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes[ ] No[ ] N/A[X]  
 If no, attach a description with this statement.

LINES 26.3 through 26.5: FOR LIFE/FRATERNAL REPORTING ENTITIES ONLY:

26.3 Does the reporting entity utilize derivatives to hedge variable annuity guarantees subject to fluctuations as a result of interest rate sensitivity? Yes[ ] No[X]

26.4 If the response to 26.3 is yes, does the reporting entity utilize:

26.41 Special Accounting Provision of SSAP No. 108	Yes[ ] No[X]
26.42 Permitted Accounting Practice	Yes[ ] No[X]
26.43 Other Accounting Guidance	Yes[ ] No[X]

26.5 By responding yes to 26.41 regarding utilizing the special accounting provisions of SSAP No. 108, does the reporting entity attest to the following: Yes[ ] No[X]

- The reporting entity has obtained explicit approval from the domiciliary state.
- Hedging strategy subject to the special accounting provisions is consistent with the requirements of VM-21.
- Actuarial certification has been obtained which indicates that the hedging strategy is incorporated with in the establishment of VM-21 reserves and provides the impact of the hedging strategy within the Actuarial Guideline Conditional Tail Expectation Amount.
- Financial Officer Certification has been obtained which indicates that the hedging strategy meets the definition of a Clearly Defined Hedging Strategy within VM-21 and that the Clearly Defined Hedging Strategy is the hedging strategy being used by the company in its actual day-to-day risk mitigation efforts

27.1 Were any preferred stocks or bonds owned as of December 31 of the current year mandatorily convertible into equity, or, at the option of the issuer, convertible into equity? Yes[ ] No[X]  
 27.2 If yes, state the amount thereof at December 31 of the current year. \$ ..... 0

28. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section I, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes[X] No[ ]

28.01 For agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian's Address
American Bank & Trust .....	1600 4th Avenue Suite 405, Rock Island, IL 61201 .....
Illinois National Bank (For State of IL & CO) .....	322 East Capital Avenue, Springfield, IL 62701 .....
Central Bank (For State of Missouri) .....	PO Box 779, Jefferson City, MO 65102-9982 .....
JP Morgan Chase Bank (For State of Michigan) .....	1111 Polaris Parkway, Floor 3J, Columbus, OH 43240 .....
U.S. Bank (For State of Oregon) .....	555 SW Oak Street, Portland, OR 97204 .....

28.02 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

28.03 Have there been any changes, including name changes, in the custodian(s) identified in 28.01 during the current year? Yes[ ] No[X]  
 28.04 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

28.05 Investment management - Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. [ " that have access to the investment accounts"; " handle securities"]

1 Name of Firm or Individual	2 Affiliation
New England Asset Management, Inc. ....	U .....
Arron Sutherland .....	I .....
Miles Capital, Inc. ....	U .....

## GENERAL INTERROGATORIES (Continued)

- 28.0597 For those firms/individuals listed in the table for Question 28.05, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets? Yes[X] No[ ]
- 28.0598 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 28.05, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? Yes[X] No[ ]
- 28.06 For those firms or individuals listed in the table for 28.05 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
105900 .....	New England Asset Management, Inc. ....	KUR85EPS4GQFZTFC130 .....	Securities Exchange Commission .....	DS .....
105376 .....	Miles Capital, Inc. ....	254900VR8AMF41FDZF16 .....	Securities Exchange Commission .....	DS .....

- 29.1 Does the reporting entity have any diversified mutual funds reported in Schedule D - Part 2 (diversified according to the Securities and Exchange Commission (SEC) in the Investment Company Act of 1940 [Section 5 (b)(1)])? Yes[X] No[ ]
- 29.2 If yes, complete the following schedule:

1 CUSIP #	2 Name of Mutual Fund	3 Book/Adjusted Carrying Value
..... 78464A870 .....	SPDR S&P BIOTECH ETF .....	83,411
29.2999 Total .....		83,411

- 29.3 For each mutual fund listed in the table above, complete the following schedule:

1 Name of Mutual Fund (from above table)	2 Name of Significant Holding of the Mutual Fund	3 Amount of Mutual Fund's Book/Adjusted Carrying Value Attributable to the Holding	4 Date of Valuation
SPDR S&P BIOTECH ETF .....	MIRATI THERAPEUTICS INC. ....	1,602	12/31/2019 ..
SPDR S&P BIOTECH ETF .....	EXACT SCIENCES CORPORATION .....	1,485	12/31/2019 ..
SPDR S&P BIOTECH ETF .....	BIOMARIN PHARMACEUTICAL INC. ....	1,476	12/31/2019 ..
SPDR S&P BIOTECH ETF .....	INTERCEPT PHARMACEUTICALS INC. ....	1,451	12/31/2019 ..
SPDR S&P BIOTECH ETF .....	GLOBAL BLOOD THERAPEUTICS INC. ....	1,443	12/31/2019 ..

30. Provide the following information for all short-term and long-term bonds and all preferred stocks. Do not substitute amortized value or statement value for fair value.

	1 Statement (Admitted) Value	2 Fair Value	3 Excess of Statement over Fair Value (-), or Fair Value over Statement (+)
30.1 Bonds .....	85,054,301	88,727,119	3,672,818
30.2 Preferred stocks .....			
30.3 Totals .....	85,054,301	88,727,119	3,672,818

- 30.4 Describe the sources or methods utilized in determining the fair values:  
Fair values are based on values either published by the NAIC's Security Valuation Office (SVO) or from an independent pricing service vendor such as: ICE Data Services, ICE BofAML indices, Reuters, Bloomberg, Markit, Markit iBoxx, or PricingDirect. Under certain circumstances, if an SVO price or vendor price is unavailable, a price may be obtained from a broker. Short term securities are valued at amortized cost. Cash Equivalents are valued at amortized cost, including Government (exempt) money market mutual funds. Non-Government money market mutual funds are valued at net present value (NPV).

- 31.1 Was the rate used to calculate fair value determined by a broker or custodian for any of the securities in Schedule D? Yes[ ] No[X]
- 31.2 If the answer to 31.1 is yes, does the reporting entity have a copy of the broker's or custodian's pricing policy (hard copy or electronic copy) for all brokers or custodians used as a pricing source? Yes[ ] No[ ] N/A[X]
- 31.3 If the answer to 31.2 is no, describe the reporting entity's process for determining a reliable pricing source for purposes of disclosure of fair value for Schedule D:

- 32.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes[X] No[ ]
- 32.2 If no, list exceptions:

33. By self-designation 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:
- Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
  - Issuer or obligor is current on all contracted interest and principal payments.
  - The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
- Has the reporting-entity self-designated 5GI securities? Yes[ ] No[X]

34. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
- The security was purchased prior to January 1, 2018.
  - The reporting entity is holding capital commensurate with the NAIC Designation reported for the security
  - The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as an NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
  - The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
- Has the reporting entity self-designated PLGI securities? Yes[ ] No[X]

## GENERAL INTERROGATORIES (Continued)

35. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:

- a. The shares were purchased prior to January 1, 2019.
- b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security
- c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.
- d. The fund only or predominantly holds bonds in its portfolio.
- e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.
- f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.

Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria?

Yes[ ] No[X]

### OTHER

36.1 Amount of payments to Trade Associations, Service Organizations and Statistical or Rating Bureaus, if any?

\$ ..... 382,422

36.2 List the name of the organization and the amount paid if any such payment represented 25% or more of the total payments to Trade Associations, Service Organizations and Statistical or Rating Bureaus during the period covered by this statement.

1 Name	2 Amount Paid
Insurance Services Office, Inc. ....	274,023

37.1 Amount of payments for legal expenses, if any?

\$ ..... 13,831

37.2 List the name of the firm and the amount paid if any such payments represented 25% or more of the total payments for legal expenses during the period covered by this statement.

1 Name	2 Amount Paid
Lane & Waterman, LLP .....	8,295

38.1 Amount of payments for expenditures in connection with matters before legislative bodies, officers or department of government, if any?

\$ ..... 4,098

38.2 List the name of firm and the amount paid if any such payment represented 25% or more of the total payment expenditures in connection with matters before legislative bodies, officers or departments of government during the period covered by this statement.

1 Name	2 Amount Paid
American Property Casualty Insurance Association .....	4,098



# GENERAL INTERROGATORIES (Continued)

## PART 2 - PROPERTY & CASUALTY INTERROGATORIES

- 1.1 Does the reporting entity have any direct Medicare Supplement Insurance in force? Yes  No
- 1.2 If yes, indicate premium earned on U.S. business only. \$ ..... 0
- 1.3 What portion of Item (1.2) is not reported on the Medicare Supplement Insurance Experience Exhibit? \$ ..... 0
  - 1.31 Reason for excluding:
- 1.4 Indicate amount of earned premium attributable to Canadian and/or Other Alien not included in Item (1.2) above. \$ ..... 0
- 1.5 Indicate total incurred claims on all Medicare Supplement insurance. \$ ..... 0
- 1.6 Individual policies
  - Most current three years:
  - 1.61 Total premium earned \$ ..... 0
  - 1.62 Total incurred claims \$ ..... 0
  - 1.63 Number of covered lives ..... 0
  - All years prior to most current three years:
  - 1.64 Total premium earned \$ ..... 0
  - 1.65 Total incurred claims \$ ..... 0
  - 1.66 Number of covered lives ..... 0
- 1.7 Group policies
  - Most current three years:
  - 1.71 Total premium earned \$ ..... 0
  - 1.72 Total incurred claims \$ ..... 0
  - 1.73 Number of covered lives ..... 0
  - All years prior to most current three years:
  - 1.74 Total premium earned \$ ..... 0
  - 1.75 Total incurred claims \$ ..... 0
  - 1.76 Number of covered lives ..... 0

2. Health Test

	1 Current Year	2 Prior Year
2.1 Premium Numerator .....		
2.2 Premium Denominator .....	52,841,766	47,116,962
2.3 Premium Ratio (2.1 / 2.2) .....		
2.4 Reserve Numerator .....		
2.5 Reserve Denominator .....	75,372,137	73,888,035
2.6 Reserve Ratio (2.4 / 2.5) .....		

- 3.1 Does the reporting entity issue both participating and non-participating policies? Yes  No
- 3.2 If yes, state the amount of calendar year premiums written on:
  - 3.21 Participating policies \$ ..... 0
  - 3.22 Non-participating policies \$ ..... 0
- 4. For Mutual reporting entities and Reciprocal Exchanges only:
  - 4.1 Does the reporting entity issue assessable policies? Yes  No  N/A
  - 4.2 Does the reporting entity issue non-assessable policies? Yes  No  N/A
  - 4.3 If assessable policies are issued, what is the extent of the contingent liability of the policyholders? ..... 0.000%
  - 4.4 Total amount of assessments paid or ordered to be paid during the year on deposit notes or contingent premiums. \$ ..... 0
- 5. For Reciprocal Exchanges Only:
  - 5.1 Does the exchange appoint local agents? Yes  No  N/A
  - 5.2 If yes, is the commission paid:
    - 5.21 Out of Attorney's-in-fact compensation Yes  No  N/A
    - 5.22 As a direct expense of the exchange Yes  No  N/A
  - 5.3 What expenses of the Exchange are not paid out of the compensation of the Attorney-in-fact?
  - 5.4 Has any Attorney-in-fact compensation, contingent on fulfillment of certain conditions been deferred? Yes  No  N/A
  - 5.5 If yes, give full information:
- 6.1 What provision has this reporting entity made to protect itself from an excessive loss in the event of a catastrophe under a workers' compensation contract issued without limit of loss:  
The Company purchased reinsurance that provides protection of \$25.5 million excess of \$500,000 for any occurrence from all of its risks and additional facultative protection of \$73 million for several specific risks that have large concentrations of employees.
- 6.2 Describe the method used to estimate this reporting entity's probable maximum insurance loss, and identify the type of insured exposures comprising that probable maximum loss, the locations of concentrations of those exposures and the external resources (such as consulting firms or computer software models), if any, used in the estimation process:  
The Company utilizes RMS Risk Link versions 18.0 and Air Touchstone version 6.0 to analyze it's probable maximum loss from severe convective storm (straight line wind, tornado, and hail), earthquake and fire following, and winter storm. RMS and Air are widely accepted third party models for estimating these types of exposures and they employ generally accepted statistical methods, historical data, frequency trends, and loss patterns as well as meteorological and seismic science.
- 6.3 What provision has this reporting entity made (such as a catastrophic reinsurance program) to protect itself from an excessive loss arising from the types and concentrations of insured exposures comprising its probable maximum property insurance loss:  
The Company purchased \$15M if catastrophe reinsurance coverage that protects against a probable maximum loss near the 250 year event from any of the modeled perils (severe convective storm, earthquake, fire following, or winter storm).
- 6.4 Does the reporting entity carry catastrophic reinsurance protection for at least one reinstatement, in an amount sufficient to cover its estimated probable maximum loss attributable to a single loss event or occurrence? Yes  No
- 6.5 If no, describe any arrangements or mechanisms employed by the reporting entity to supplement its catastrophe reinsurance program or to hedge its exposure to unreinsured catastrophic loss
- 7.1 Has the reporting entity reinsured any risk with any other entity under a quota share reinsurance contract that includes a provision that would limit the reinsurer's losses below the stated quota share percentage (e.g., a deductible, a loss ratio corridor, a loss cap, an aggregate limit or any similar provisions)? Yes  No
- 7.2 If yes, indicate the number of reinsurance contracts containing such provisions. .... 0
- 7.3 If yes, does the amount of reinsurance credit taken reflect the reduction in quota share coverage caused by any applicable limiting provision(s)? Yes  No  N/A
- 8.1 Has this reporting entity reinsured any risk with any other entity and agreed to release such entity from liability, in whole or in part, from any loss that may occur on this risk, or portion thereof, reinsured? Yes  No
- 8.2 If yes, give full information.
- 9.1 Has the reporting entity ceded any risk under any reinsurance contract (or under multiple contracts with the same reinsurer or its affiliates) for which during the period covered by the statement: (i) it recorded a positive or negative underwriting result greater than 5% of prior year-end surplus as regards policyholders or it reported calendar year written premium ceded or year-end loss and loss expense reserves ceded greater than 5% of prior year-end surplus as regards policyholders; (ii) it accounted for that contract as reinsurance and not as a deposit; and (iii) the contract(s) contain one or more of the following features or other features that would have similar results:

# GENERAL INTERROGATORIES (Continued)

- (a) A contract term longer than two years and the contract is noncancellable by the reporting entity during the contract term;
- (b) A limited or conditional cancellation provision under which cancellation triggers an obligation by the reporting entity, or an affiliate of the reporting entity, to enter into a new reinsurance contract with the reinsurer, or an affiliate of the reinsurer;
- (c) Aggregate stop loss reinsurance coverage;
- (d) A unilateral right by either party (or both parties) to commute the reinsurance contract, whether conditional or not, except for such provisions which are only triggered by a decline in the credit status of the other party;
- (e) A provision permitting reporting of losses, or payment of losses, less frequently than on a quarterly basis (unless there is no activity during the period); or
- (f) Payment schedule, accumulating retentions from multiple years or any features inherently designed to delay timing of the reimbursement to the ceding entity. Yes [ ] No[X]
- 9.2 Has the reporting entity during the period covered by the statement ceded any risk under any reinsurance contract (or under multiple contracts with the same reinsurer or its affiliates), for which, during the period covered by the statement, it recorded a positive or negative underwriting result greater than 5% of prior year-end surplus as regards policyholders or it reported calendar year written premium ceded or year-end loss and loss expense reserves ceded greater than 5% of prior year-end surplus as regards policyholders; excluding cessions to approved pooling arrangements or to captive insurance companies that are directly or indirectly controlling, controlled by, or under common control with (i) one or more unaffiliated policyholders of the reporting entity, or (ii) an association of which one or more unaffiliated policyholders of the reporting entity is a member where:
  - (a) The written premium ceded to the reinsurer by the reporting entity or its affiliates represents fifty percent (50%) or more of the entire direct and assumed premium written by the reinsurer based on its most recently available financial statement; or
  - (b) Twenty-five percent (25%) or more of the written premium ceded to the reinsurer has been retroceded back to the reporting entity or its affiliates in a separate reinsurance contract. Yes [ ] No[X]
- 9.3 If yes to 9.1 or 9.2, please provide the following information in the Reinsurance Summary Supplemental Filing for General Interrogatory 9:
  - (a) The aggregate financial statement impact gross of all such ceded reinsurance contracts on the balance sheet and statement of income.
  - (b) A summary of the reinsurance contract terms and indicate whether it applies to the contracts meeting the criteria in 9.1 or 9.2; and
  - (c) A brief discussion of management's principle objectives in entering into the reinsurance contract including the economic purpose to be achieved.
- 9.4 Except for transactions meeting the requirements of paragraph 37 of SSAP No. 62R, Property and Casualty Reinsurance, has the reporting entity ceded any risk under any reinsurance contract (or multiple contracts with the same reinsurer or its affiliates) during the period covered by the financial statement, and either:
  - (a) Accounted for that contract as reinsurance (either prospective or retroactive) under statutory accounting principles ("SAP") and as a deposit under generally accepted accounting principles ("GAAP"); or
  - (b) Accounted for that contract as reinsurance under GAAP and as a deposit under SAP? Yes [ ] No[X]
- 9.5 If yes to 9.4, explain in the Reinsurance Summary Supplemental Filing for General Interrogatory 9 (section D) why the contract(s) is treated differently for GAAP and SAP.
- 9.6 The reporting entity is exempt from the Reinsurance Attestation Supplement under one or more of the following criteria:
  - (a) The entity does not utilize reinsurance; or Yes [ ] No[X]
  - (b) The entity only engages in a 100% quota share contract with an affiliate and the affiliated or lead company has filed an attestation supplement; or Yes [ ] No[X]
  - (c) The entity has no external cessions and only participates in an intercompany pool and the affiliated or lead company has filed an attestation supplement. Yes [ ] No[X]
- 10. If the reporting entity has assumed risks from another entity, there should be charged on account of such reinsurances a reserve equal to that which the original entity would have been required to charge had it retained the risks. Has this been done? Yes[X] No [ ] N/A [ ]
- 11.1 Has the reporting entity guaranteed policies issued by any other entity and now in force? Yes [ ] No[X]
- 11.2 If yes, give full information:
- 12.1 If the reporting entity recorded accrued retrospective premiums on insurance contracts on Line 15.3 of the asset schedule, Page 2, state the amount of corresponding liabilities recorded for:
  - 12.11 Unpaid losses \$ ..... 0
  - 12.12 Unpaid underwriting expenses (including loss adjustment expenses) \$ ..... 0
- 12.2 Of the amount on Line 15.3, Page 2, state the amount that is secured by letters of credit, collateral and other funds. \$ ..... 0
- 12.3 If the reporting entity underwrites commercial insurance risks, such as workers' compensation, are premium notes or promissory notes accepted from its insureds covering unpaid premiums and/or unpaid losses? Yes [ ] No[X] N/A [ ]
- 12.4 If yes, provide the range of interest rates charged under such notes during the period covered by this statement:
  - 12.41 From ..... 0.000%
  - 12.42 To ..... 0.000%
- 12.5 Are letters of credit or collateral and other funds received from insureds being utilized by the reporting entity to secure premium notes or promissory notes taken by a reporting entity, or to secure any of the reporting entity's reported direct unpaid loss reserves, including unpaid losses under loss deductible features of commercial policies? Yes [ ] No[X]
- 12.6 If yes, state the amount thereof at December 31 of current year:
  - 12.61 Letters of Credit \$ ..... 0
  - 12.62 Collateral and other funds \$ ..... 0
- 13.1 Largest net aggregate amount insured in any one risk (excluding workers' compensation): \$ ..... 1,000,000
- 13.2 Does any reinsurance contract considered in the calculation of this amount include an aggregate limit of recovery without also including a reinstatement provision? Yes [ ] No[X]
- 13.3 State the number of reinsurance contracts (excluding individual facultative risk certificates, but including facultative programs, automatic facilities or facultative obligatory contracts) considered in the calculation of the amount. ..... 2
- 14.1 Is the company a cedant in a multiple cedant reinsurance contract? Yes [ ] No[X]
- 14.2 If yes, please describe the method of allocating and recording reinsurance among the cedants
- 14.3 If the answer to 14.1 is yes, are the methods described in item 14.2 entirely contained in the respective multiple cedant reinsurance contracts? Yes [ ] No [ ] N/A[X]
- 14.4 If the answer to 14.3 is no, are all the methods described in 14.2 entirely contained in written agreements? Yes [ ] No [ ] N/A[X]
- 14.5 If the answer to 14.4 is no, please explain
- 15.1 Has the reporting entity guaranteed any financed premium accounts? Yes [ ] No[X]
- 15.2 If yes, give full information:
- 16.1 Does the reporting entity write any warranty business? Yes [ ] No[X]  
If yes, disclose the following information for each of the following types of warranty coverage:

	1 Direct Losses Incurred	2 Direct Losses Unpaid	3 Direct Written Premium	4 Direct Premium Unearned	5 Direct Premium Earned
16.11 Home .....	.....	.....	.....	.....	.....
16.12 Products .....	.....	.....	.....	.....	.....
16.13 Automobile .....	.....	.....	.....	.....	.....
16.14 Other * .....	.....	.....	.....	.....	.....

\* Disclose type of coverage:

- 17.1 Does the reporting entity include amounts recoverable on unauthorized reinsurance in Schedule F - Part 3 that is exempt from the statutory provision for unauthorized reinsurance? Yes [ ] No[X]  
Incurred but not reported losses on contracts in force prior to July 1, 1984 and not subsequently renewed are exempt from the statutory provision for unauthorized reinsurance. Provide the following information for this exemption.
- 17.11 Gross amount of unauthorized reinsurance in Schedule F - Part 3 exempt from the statutory provision for unauthorized reinsurance \$ ..... 0
- 17.12 Unfunded portion of Interrogatory 17.11 \$ ..... 0
- 17.13 Paid losses and loss adjustment expenses portion of Interrogatory 17.11 \$ ..... 0

## GENERAL INTERROGATORIES (Continued)

17.14 Case reserves portion of Interrogatory 17.11	\$ .....	0
17.15 Incurred but not reported portion of Interrogatory 17.11	\$ .....	0
17.16 Unearned premium portion of Interrogatory 17.11	\$ .....	0
17.17 Contingent commission portion of Interrogatory 17.11	\$ .....	0
18.1 Do you act as a custodian for health savings accounts?		Yes[ ] No[X]
18.2 If yes, please provide the amount of custodial funds held as of the reporting date:	\$ .....	0
18.3 Do you act as an administrator for health savings accounts?		Yes[ ] No[X]
18.4 If yes, please provide the balance of the funds administered as of the reporting date:	\$ .....	0
19. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?		Yes[X] No[ ]
19.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?		Yes[ ] No[X]

## FIVE - YEAR HISTORICAL DATA

Show amounts in whole dollars only, no cents; show percentages to one decimal place, i.e., 17.6

	1 2019	2 2018	3 2017	4 2016	5 2015
<b>Gross Premiums Written (Page 8, Part 1B, Columns 1, 2 &amp; 3)</b>					
1. Liability Lines (Lines 11.1, 11.2, 16, 17.1, 17.2, 17.3, 18.1, 18.2, 19.1, 19.2 & 19.3, 19.4)	23,900,195	23,797,096	21,781,500	21,557,969	21,242,707
2. Property Lines (Lines 1, 2, 9, 12, 21, & 26)					
3. Property and Liability Combined Lines (Lines 3, 4, 5, 8, 22 & 27)	39,286,893	37,496,340	32,158,080	29,780,631	28,150,634
4. All Other Lines (Lines 6, 10, 13, 14, 15, 23, 24, 28, 29, 30 & 34)					
5. Nonproportional Reinsurance Lines (Lines 31, 32, & 33)					
6. TOTAL (Line 35)	63,187,088	61,293,436	53,939,580	51,338,600	49,393,341
<b>Net Premiums Written (Page 8, Part 1B, Column 6)</b>					
7. Liability Lines (Lines 11.1, 11.2, 16, 17.1, 17.2, 17.3, 18.1, 18.2, 19.1, 19.2 & 19.3, 19.4)	21,988,213	21,424,145	19,245,437	19,089,113	18,730,941
8. Property Lines (Lines 1, 2, 9, 12, 21 & 26)					
9. Property and Liability Combined Lines (Lines 3, 4, 5, 8, 22 & 27)	31,246,995	28,588,764	26,741,482	24,138,041	22,900,340
10. All Other Lines (Lines 6, 10, 13, 14, 15, 23, 24, 28, 29, 30 & 34)					
11. Non-proportional Reinsurance Lines (Lines 31, 32 & 33)					
12. TOTAL (Line 35)	53,235,208	50,012,909	45,986,919	43,227,154	41,631,281
<b>Statement of Income (Page 4)</b>					
13. Net underwriting gain or (loss) (Line 8)	211,979	(1,842,388)	(1,463,804)	1,999,380	1,473,721
14. Net investment gain or (loss) (Line 11)	3,156,195	2,744,581	2,625,526	1,621,681	1,187,496
15. TOTAL other income (Line 15)	(113,518)	197,813	239,827	150,836	251,538
16. Dividends to policyholders (Line 17)	16,680	11,145	2,569	1,444	1,844
17. Federal and foreign income taxes incurred (Line 19)	200,422	(117,299)	82,894	324,747	1,061,620
18. Net income (Line 20)	3,037,554	1,206,160	1,316,086	3,445,706	1,849,291
<b>Balance Sheet Lines (Pages 2 and 3)</b>					
19. TOTAL admitted assets excluding protected cell business (Page 2, Line 26, Col. 3)	136,098,303	130,909,143	124,108,293	102,859,903	97,574,236
20. Premiums and considerations (Page 2, Column 3)					
20.1 In course of collection (Line 15.1)	3,683,463	3,720,260	3,330,065	1,715,730	1,772,803
20.2 Deferred and not yet due (Line 15.2)	17,509,656	16,768,288	14,452,419	13,954,918	13,202,213
20.3 Accrued retrospective premiums (Line 15.3)					
21. TOTAL liabilities excluding protected cell business (Page 3, Line 26)	80,740,857	80,356,976	73,335,833	72,902,653	70,718,558
22. Losses (Page 3, Line 1)	32,812,130	31,886,225	26,995,273	26,350,767	27,695,260
23. Loss adjustment expenses (Page 3, Line 3)	12,990,007	12,825,252	14,049,020	14,351,488	14,202,141
24. Unearned premiums (Page 3, Line 9)	29,570,000	29,176,558	26,280,611	24,506,961	23,891,172
25. Capital paid up (Page 3, Lines 30 & 31)	3,000,000	3,000,000	3,000,000		
26. Surplus as regards policyholders (Page 3, Line 37)	55,357,446	50,552,167	50,772,460	29,957,250	26,855,678
<b>Cash Flow (Page 5)</b>					
27. Net cash from operations (Line 11)	2,176,666	6,301,343	1,783,314	1,672,825	5,681,450
<b>Risk-Based Capital Analysis</b>					
28. TOTAL adjusted capital	55,357,446	50,552,167	50,772,460	29,957,250	26,855,678
29. Authorized control level risk-based capital	6,959,512	6,751,399	6,275,664	6,314,396	6,175,978
<b>Percentage Distribution of Cash, Cash Equivalents and Invested Assets (Page 2, Column 3)</b>					
(Item divided by Page 2, Line 12, Column 3) x 100.0					
30. Bonds (Line 1)	77.1	83.2	85.2	78.9	86.7
31. Stocks (Lines 2.1 & 2.2)	12.2	10.6	9.9	10.7	7.6
32. Mortgage loans on real estate (Lines 3.1 and 3.2)					
33. Real estate (Lines 4.1, 4.2 & 4.3)	0.5			5.2	2.9
34. Cash, cash equivalents and short-term investments (Line 5)	5.0	3.4	4.9	5.3	2.8
35. Contract loans (Line 6)					
36. Derivatives (Line 7)					
37. Other invested assets (Line 8)	5.3	2.8			
38. Receivables for securities (Line 9)					
39. Securities lending reinvested collateral assets (Line 10)					
40. Aggregate write-ins for invested assets (Line 11)					
41. Cash, cash equivalents and invested assets (Line 12)	100.0	100.0	100.0	100.0	100.0
<b>Investments in Parent, Subsidiaries and Affiliates</b>					
42. Affiliated bonds, (Schedule D, Summary, Line 12, Column 1)					
43. Affiliated preferred stocks (Schedule D, Summary, Line 18, Column 1)					
44. Affiliated common stocks (Schedule D, Summary, Line 24, Column 1)			7,920	51,207	115
45. Affiliated short-term investments (subtotals included in Schedule DA Verification, Column 5, Line 10)					
46. Affiliated mortgage loans on real estate					
47. All other affiliated					
48. TOTAL of above Lines 42 to 47			7,920	51,207	115
49. TOTAL investment in parent included in Lines 42 to 47 above					
50. Percentage of investments in parent, subsidiaries and affiliates to surplus as regards policyholders (Line 48 above divided by Page 3, Column 1, Line 37 x 100.0)			0.0	0.2	0.0

## FIVE - YEAR HISTORICAL DATA (Continued)

	1 2019	2 2018	3 2017	4 2016	5 2015
<b>Capital and Surplus Accounts (Page 4)</b>					
51. Net unrealized capital gains or (Losses) (Line 24) .....	1,835,355	(1,638,469)	227,412	592,747	(350,107)
52. Dividends to stockholders (Line 35) .....					
53. Change in surplus as regards policyholders for the year (Line 38) .....	4,805,279	(220,296)	20,815,213	3,101,571	1,662,290
<b>Gross Losses Paid (Page 9, Part 2, Columns 1 and 2)</b>					
54. Liability lines (Lines 11.1, 11.2, 16, 17.1, 17.2, 17.3, 18.1, 18.2, 19.1, 19.2 & 19.3, 19.4) .....	7,976,525	4,250,518	8,673,605	12,054,224	8,919,288
55. Property lines (Lines 1, 2, 9, 12, 21 & 26) .....					
56. Property and liability combined lines (Lines 3, 4, 5, 8, 22, & 27) .....	25,443,796	22,274,149	22,622,795	19,312,639	16,120,632
57. All other lines (Lines 6, 10, 13, 14, 15, 23, 24, 28, 29, 30 & 34) .....					
58. Nonproportional reinsurance lines (Lines 31, 32 & 33) .....					
59. TOTAL (Line 35) .....	33,420,321	26,524,667	31,296,400	31,366,863	25,039,920
<b>Net Losses Paid (Page 9, Part 2, Column 4)</b>					
60. Liability lines (Lines 11.1, 11.2, 16, 17.1, 17.2, 17.3, 18.1, 18.2, 19.1, 19.2 & 19.3, 19.4) .....	6,137,018	4,005,000	5,797,292	6,744,287	5,536,557
61. Property lines (Lines 1, 2, 9, 12, 21 & 26) .....					
62. Property and liability combined lines (Lines 3, 4, 5, 8, 22, & 27) .....	17,811,225	15,692,138	15,815,859	12,163,709	8,730,336
63. All other lines (Lines 6, 10, 13, 14, 15, 23, 24, 28, 29, 30, & 34) .....					
64. Nonproportional reinsurance lines (Lines 31, 32 & 33) .....					
65. TOTAL (Line 35) .....	23,948,243	19,697,138	21,613,151	18,907,996	14,266,893
<b>Operating Percentages (Page 4)</b>					
<b>(Item divided by Page 4, Line 1) x 100.0</b>					
66. Premiums earned (Line 1) .....	100.0	100.0	100.0	100.0	100.0
67. Losses incurred (Line 2) .....	47.1	52.2	50.3	41.2	44.2
68. Loss expenses incurred (Line 3) .....	16.7	14.2	15.3	15.9	15.0
69. Other underwriting expenses incurred (Line 4) .....	35.8	37.6	37.7	38.2	37.2
70. Net underwriting gain (loss) (Line 8) .....	0.4	(3.9)	(3.3)	4.7	3.7
<b>Other Percentages</b>					
71. Other underwriting expenses to net premiums written (Page 4, Lines 4 + 5 - 15 divided by Page 8, Part 1B, Column 6, Line 35 x 100.0) .....	35.7	35.0	35.7	37.3	35.3
72. Losses and loss expenses incurred to premiums earned (Page 4, Lines 2 + 3 divided by Page 4, Line 1 x 100.0) .....	63.8	66.4	65.6	57.1	59.2
73. Net premiums written to policyholders' surplus (Page 8, Part 1B, Column 6, Line 35 divided by Page 3, Line 37, Column 1 x 100.0) .....	96.2	98.9	90.6	144.3	155.0
<b>One Year Loss Development (\$000 omitted)</b>					
74. Development in estimated losses and loss expenses incurred prior to current year (Schedule P, Part 2 - Summary, Line 12, Column 11) .....	(1,193)	(2,134)	(3,748)	(4,300)	(1,917)
75. Percent of development of losses and loss expenses incurred to policyholders' surplus of prior year end (Line 74 above divided by Page 4, Line 21, Column 1 x 100.0) .....	(2.4)	(4.2)	(12.5)	(16.0)	(7.6)
<b>Two Year Loss Development (\$000 omitted)</b>					
76. Development in estimated losses and loss expenses incurred 2 years before the current year and prior year (Schedule P, Part 2 - Summary, Line 12, Column 12) .....	(1,075)	(4,281)	(4,641)	(2,458)	(3,457)
77. Percent of development of losses and loss expenses incurred to reported policyholders' surplus of second prior year end (Line 76 above divided by Page 4, Line 21, Column 2 x 100.0) .....	(2.1)	(14.3)	(17.3)	(9.8)	(14.4)

NOTE: If a party to a merger, have the two most recent years of this exhibit been restated due to a merger in compliance with the disclosure requirements of SSAP No. 3 - Accounting Changes and Correction of Errors? Yes[ ] No[ ] N/A[X]

If no, please explain:

# EXHIBIT OF PREMIUMS AND LOSSES

(Statutory Page 14)



NAIC Group Code:

BUSINESS IN THE STATE OF COLORADO DURING THE YEAR

NAIC Company Code: 15571

19 Colorado

Line of Business	Gross Premiums, Including Policy and Membership Fees, Less Return Premiums and Premiums on Policies not Taken		3 Dividends Paid or Credited to Policyholders on Direct Business	4 Direct Unearned Premium Reserves	5 Direct Losses Paid (deducting salvage)	6 Direct Losses Incurred	7 Direct Losses Unpaid	8 Direct Defense and Cost Containment Expense Paid	9 Direct Defense and Cost Containment Expense Incurred	10 Direct Defense and Cost Containment Expense Unpaid	11 Commissions and Brokerage Expenses	12 Taxes, Licenses and Fees
	1 Direct Premiums Written	2 Direct Premiums Earned										
1. Fire												
2.1 Allied lines												
2.2 Multiple peril crop												
2.3 Federal flood												
2.4 Private crop												
2.5 Private flood												
3. Farmowners multiple peril												
4. Homeowners multiple peril												
5.1 Commercial multiple peril (non - liability portion)	1,100,352	919,259		574,614	131,730	178,915	110,323				209,090	24,796
5.2 Commercial multiple peril (liability portion)	1,521,256	1,290,538		818,941	20,831	292,831	302,000		45,000	55,000	291,108	34,281
6. Mortgage guaranty												
8. Ocean marine												
9. Inland marine												
10. Financial guaranty												
11. Medical professional liability												
12. Earthquake												
13. Group accident and health (b)												
14. Credit A & H (group and individual)												
15.1 Collectively renewable A & H (b)												
15.2 Non-cancelable A & H (b)												
15.3 Guaranteed renewable A & H (b)												
15.4 Non-renewable for stated reasons only (b)												
15.5 Other accident only												
15.6 Medicare Title XVIII exempt from state taxes or fees												
15.7 All other A & H (b)												
15.8 Federal Employees Health Benefits Plan premium												
16. Workers' compensation	512,420	471,560		247,053	58,309	107,739	66,430	7,766	17,388	17,622	56,054	17,097
17.1 Other liability - occurrence	942,056	783,694		514,984	75,000	225,000	162,000	45,722	52,222	26,500	179,828	21,229
17.2 Other Liability - claims-made												
17.3 Excess Workers' Compensation												
18. Products liability												
19.1 Private passenger auto no-fault (personal injury protection)												
19.2 Other private passenger auto liability												
19.3 Commercial auto no-fault (personal injury protection)												
19.4 Other commercial auto liability												
21.1 Private passenger auto physical damage												
21.2 Commercial auto physical damage												
22. Aircraft (all perils)												
23. Fidelity												
24. Surety												
26. Burglary and theft												
27. Boiler and machinery												
28. Credit												
29. International												
30. Warranty												
34. Aggregate write-ins for other lines of business												
35. TOTALS (a)	4,076,084	3,465,051		2,155,592	285,870	804,485	640,753	53,488	114,610	99,122	736,080	97,403
<b>DETAILS OF WRITE-INS</b>												
3401.												
3402.												
3403.												
3498. Summary of remaining write-ins for Line 34 from overflow page												
3499. TOTALS (Lines 3401 through 3403 plus 3498) (Line 34 above)												

(a) Finance and service charges not included in Lines 1 to 35 \$.....11,625

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products .....0 and number of persons insured under indemnity only products .....0.

# EXHIBIT OF PREMIUMS AND LOSSES

(Statutory Page 14)



NAIC Group Code:

BUSINESS IN THE STATE OF ILLINOIS DURING THE YEAR

NAIC Company Code: 15571

Line of Business	Gross Premiums, Including Policy and Membership Fees, Less Return Premiums and Premiums on Policies not Taken		3 Dividends Paid or Credited to Policyholders on Direct Business	4 Direct Unearned Premium Reserves	5 Direct Losses Paid (deducting salvage)	6 Direct Losses Incurred	7 Direct Losses Unpaid	8 Direct Defense and Cost Containment Expense Paid	9 Direct Defense and Cost Containment Expense Incurred	10 Direct Defense and Cost Containment Expense Unpaid	11 Commissions and Brokerage Expenses	12 Taxes, Licenses and Fees
	1 Direct Premiums Written	2 Direct Premiums Earned										
1. Fire												
2.1 Allied lines												
2.2 Multiple peril crop												
2.3 Federal flood												
2.4 Private crop												
2.5 Private flood												
3. Farmowners multiple peril												
4. Homeowners multiple peril												
5.1 Commercial multiple peril (non - liability portion)	5,225,377	5,453,049		2,449,348	5,899,519	9,866,195	2,333,240	70,481	(11,091)	253	909,242	92,688
5.2 Commercial multiple peril (liability portion)	5,038,910	5,243,998		2,446,881	2,048,741	1,702,088	11,116,210	691,256	715,856	3,462,415	880,767	62,043
6. Mortgage guaranty												
8. Ocean marine												
9. Inland marine												
10. Financial guaranty												
11. Medical professional liability												
12. Earthquake												
13. Group accident and health (b)												
14. Credit A & H (group and individual)												
15.1 Collectively renewable A & H (b)												
15.2 Non-cancelable A & H (b)												
15.3 Guaranteed renewable A & H (b)												
15.4 Non-renewable for stated reasons only (b)												
15.5 Other accident only												
15.6 Medicare Title XVIII exempt from state taxes or fees												
15.7 All other A & H (b)												
15.8 Federal Employees Health Benefits Plan premium												
16. Workers' compensation	2,666,639	2,874,559		1,185,862	781,029	1,215,986	3,226,480	182,207	194,263	345,351	251,334	70,875
17.1 Other liability - occurrence	3,530,948	3,763,467		1,694,536	972,872	779,905	4,514,657	299,826	196,314	2,828,539	620,876	43,775
17.2 Other Liability - claims-made												
17.3 Excess Workers' Compensation												
18. Products liability												
19.1 Private passenger auto no-fault (personal injury protection)												
19.2 Other private passenger auto liability												
19.3 Commercial auto no-fault (personal injury protection)												
19.4 Other commercial auto liability												
21.1 Private passenger auto physical damage												
21.2 Commercial auto physical damage												
22. Aircraft (all perils)												
23. Fidelity												
24. Surety												
26. Burglary and theft												
27. Boiler and machinery												
28. Credit												
29. International												
30. Warranty												
34. Aggregate write-ins for other lines of business												
35. TOTALS (a)	16,461,874	17,335,073		7,776,627	9,702,161	13,564,174	21,190,587	1,243,770	1,095,342	6,636,558	2,662,219	269,381
<b>DETAILS OF WRITE-INS</b>												
3401.												
3402.												
3403.												
3498. Summary of remaining write-ins for Line 34 from overflow page												
3499. TOTALS (Lines 3401 through 3403 plus 3498) (Line 34 above)												

19 Illinois

(a) Finance and service charges not included in Lines 1 to 35 \$.....100,527

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products .....0 and number of persons insured under indemnity only products .....0.

# EXHIBIT OF PREMIUMS AND LOSSES

(Statutory Page 14)



NAIC Group Code:

BUSINESS IN THE STATE OF INDIANA DURING THE YEAR

NAIC Company Code: 15571

19 Indiana

Line of Business	Gross Premiums, Including Policy and Membership Fees, Less Return Premiums and Premiums on Policies not Taken		3 Dividends Paid or Credited to Policyholders on Direct Business	4 Direct Unearned Premium Reserves	5 Direct Losses Paid (deducting salvage)	6 Direct Losses Incurred	7 Direct Losses Unpaid	8 Direct Defense and Cost Containment Expense Paid	9 Direct Defense and Cost Containment Expense Incurred	10 Direct Defense and Cost Containment Expense Unpaid	11 Commissions and Brokerage Expenses	12 Taxes, Licenses and Fees
	1 Direct Premiums Written	2 Direct Premiums Earned										
1. Fire												
2.1 Allied lines												
2.2 Multiple peril crop												
2.3 Federal flood												
2.4 Private crop												
2.5 Private flood												
3. Farmowners multiple peril												
4. Homeowners multiple peril												
5.1 Commercial multiple peril (non - liability portion)	1,762,191	1,751,993		853,348	2,520,188	2,572,342	428,963	5,496	(10,456)		306,465	26,011
5.2 Commercial multiple peril (liability portion)	1,582,875	1,635,186		757,876	273,414	237,064	1,365,405	85,725	68,548	424,582	275,452	23,333
6. Mortgage guaranty												
8. Ocean marine												
9. Inland marine												
10. Financial guaranty												
11. Medical professional liability												
12. Earthquake												
13. Group accident and health (b)												
14. Credit A & H (group and individual)												
15.1 Collectively renewable A & H (b)												
15.2 Non-cancelable A & H (b)												
15.3 Guaranteed renewable A & H (b)												
15.4 Non-renewable for stated reasons only (b)												
15.5 Other accident only												
15.6 Medicare Title XVIII exempt from state taxes or fees												
15.7 All other A & H (b)												
15.8 Federal Employees Health Benefits Plan premium												
16. Workers' compensation	813,953	826,644		295,112	506,269	543,588	775,275	12,924	(660)	69,221	70,249	18,896
17.1 Other liability - occurrence	1,305,363	1,353,351		690,077	1,066,250	1,137,207	1,386,190	110,573	165,346	307,235	228,127	19,542
17.2 Other Liability - claims-made												
17.3 Excess Workers' Compensation												
18. Products liability												
19.1 Private passenger auto no-fault (personal injury protection)												
19.2 Other private passenger auto liability												
19.3 Commercial auto no-fault (personal injury protection)												
19.4 Other commercial auto liability												
21.1 Private passenger auto physical damage												
21.2 Commercial auto physical damage												
22. Aircraft (all perils)												
23. Fidelity												
24. Surety												
26. Burglary and theft												
27. Boiler and machinery												
28. Credit												
29. International												
30. Warranty												
34. Aggregate write-ins for other lines of business												
35. TOTALS (a)	5,464,382	5,567,174		2,596,413	4,366,121	4,490,201	3,955,833	214,718	222,778	801,038	880,293	87,782
<b>DETAILS OF WRITE-INS</b>												
3401.												
3402.												
3403.												
3498. Summary of remaining write-ins for Line 34 from overflow page												
3499. TOTALS (Lines 3401 through 3403 plus 3498) (Line 34 above)												

(a) Finance and service charges not included in Lines 1 to 35 \$.....19,622

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products .....0 and number of persons insured under indemnity only products .....0.



# EXHIBIT OF PREMIUMS AND LOSSES

(Statutory Page 14)



NAIC Group Code:

BUSINESS IN THE STATE OF IOWA DURING THE YEAR

NAIC Company Code: 15571

19 Iowa

Line of Business	Gross Premiums, Including Policy and Membership Fees, Less Return Premiums and Premiums on Policies not Taken		3 Dividends Paid or Credited to Policyholders on Direct Business	4 Direct Unearned Premium Reserves	5 Direct Losses Paid (deducting salvage)	6 Direct Losses Incurred	7 Direct Losses Unpaid	8 Direct Defense and Cost Containment Expense Paid	9 Direct Defense and Cost Containment Expense Incurred	10 Direct Defense and Cost Containment Expense Unpaid	11 Commissions and Brokerage Expenses	12 Taxes, Licenses and Fees
	1 Direct Premiums Written	2 Direct Premiums Earned										
1. Fire												
2.1 Allied lines												
2.2 Multiple peril crop												
2.3 Federal flood												
2.4 Private crop												
2.5 Private flood												
3. Farmowners multiple peril												
4. Homeowners multiple peril												
5.1 Commercial multiple peril (non - liability portion)	2,199,275	2,207,380		1,075,917	1,086,771	493,304	77,925	318	(3,740)		396,967	25,466
5.2 Commercial multiple peril (liability portion)	1,514,871	1,490,385		775,107	1,018,989	805,234	1,326,711	247,319	190,262	274,200	275,924	17,541
6. Mortgage guaranty												
8. Ocean marine												
9. Inland marine												
10. Financial guaranty												
11. Medical professional liability												
12. Earthquake												
13. Group accident and health (b)												
14. Credit A & H (group and individual)												
15.1 Collectively renewable A & H (b)												
15.2 Non-cancelable A & H (b)												
15.3 Guaranteed renewable A & H (b)												
15.4 Non-renewable for stated reasons only (b)												
15.5 Other accident only												
15.6 Medicare Title XVIII exempt from state taxes or fees												
15.7 All other A & H (b)												
15.8 Federal Employees Health Benefits Plan premium												
16. Workers' compensation	777,191	859,183		310,910	132,505	106,322	754,261	7,053	3,110	94,858	68,295	16,367
17.1 Other liability - occurrence	2,922,170	2,904,742		1,486,234	476,250	907,916	2,350,243	231,819	138,379	676,305	545,131	34,136
17.2 Other Liability - claims-made												
17.3 Excess Workers' Compensation												
18. Products liability												
19.1 Private passenger auto no-fault (personal injury protection)												
19.2 Other private passenger auto liability												
19.3 Commercial auto no-fault (personal injury protection)												
19.4 Other commercial auto liability												
21.1 Private passenger auto physical damage												
21.2 Commercial auto physical damage												
22. Aircraft (all perils)												
23. Fidelity												
24. Surety												
26. Burglary and theft												
27. Boiler and machinery												
28. Credit												
29. International												
30. Warranty												
34. Aggregate write-ins for other lines of business												
35. TOTALS (a)	7,413,507	7,461,690		3,648,168	2,714,515	2,312,776	4,509,140	486,509	328,011	1,045,363	1,286,317	93,510
<b>DETAILS OF WRITE-INS</b>												
3401.												
3402.												
3403.												
3498. Summary of remaining write-ins for Line 34 from overflow page												
3499. TOTALS (Lines 3401 through 3403 plus 3498) (Line 34 above)												

(a) Finance and service charges not included in Lines 1 to 35 \$.....42,912

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products .....0 and number of persons insured under indemnity only products .....0.

# EXHIBIT OF PREMIUMS AND LOSSES

(Statutory Page 14)



NAIC Group Code:

BUSINESS IN THE STATE OF KANSAS DURING THE YEAR

NAIC Company Code: 15571

Line of Business	Gross Premiums, Including Policy and Membership Fees, Less Return Premiums and Premiums on Policies not Taken		3 Dividends Paid or Credited to Policyholders on Direct Business	4 Direct Unearned Premium Reserves	5 Direct Losses Paid (deducting salvage)	6 Direct Losses Incurred	7 Direct Losses Unpaid	8 Direct Defense and Cost Containment Expense Paid	9 Direct Defense and Cost Containment Expense Incurred	10 Direct Defense and Cost Containment Expense Unpaid	11 Commissions and Brokerage Expenses	12 Taxes, Licenses and Fees
	1 Direct Premiums Written	2 Direct Premiums Earned										
1. Fire												
2.1 Allied lines												
2.2 Multiple peril crop												
2.3 Federal flood												
2.4 Private crop												
2.5 Private flood												
3. Farmowners multiple peril												
4. Homeowners multiple peril												
5.1 Commercial multiple peril (non - liability portion)	197,863	180,950		97,102	10,000	12,000	17,393				34,373	3,495
5.2 Commercial multiple peril (liability portion)	237,058	218,128		125,702	30,654	854	(2,800)	(5,000)	5,000		41,182	4,187
6. Mortgage guaranty												
8. Ocean marine												
9. Inland marine												
10. Financial guaranty												
11. Medical professional liability												
12. Earthquake												
13. Group accident and health (b)												
14. Credit A & H (group and individual)												
15.1 Collectively renewable A & H (b)												
15.2 Non-cancelable A & H (b)												
15.3 Guaranteed renewable A & H (b)												
15.4 Non-renewable for stated reasons only (b)												
15.5 Other accident only												
15.6 Medicare Title XVIII exempt from state taxes or fees												
15.7 All other A & H (b)												
15.8 Federal Employees Health Benefits Plan premium												
16. Workers' compensation	74,340	72,821		36,102	8,155	32,175	24,020				7,169	1,478
17.1 Other liability - occurrence	27,794	27,432		14,988							4,828	491
17.2 Other Liability - claims-made												
17.3 Excess Workers' Compensation												
18. Products liability												
19.1 Private passenger auto no-fault (personal injury protection)												
19.2 Other private passenger auto liability												
19.3 Commercial auto no-fault (personal injury protection)												
19.4 Other commercial auto liability												
21.1 Private passenger auto physical damage												
21.2 Commercial auto physical damage												
22. Aircraft (all perils)												
23. Fidelity												
24. Surety												
26. Burglary and theft												
27. Boiler and machinery												
28. Credit												
29. International												
30. Warranty												
34. Aggregate write-ins for other lines of business												
35. TOTALS (a)	537,055	499,331		273,894	48,809	45,029	38,613	(5,000)	5,000		87,552	9,651

**DETAILS OF WRITE-INS**

3401.												
3402.												
3403.												
3498. Summary of remaining write-ins for Line 34 from overflow page												
3499. TOTALS (Lines 3401 through 3403 plus 3498) (Line 34 above)												

(a) Finance and service charges not included in Lines 1 to 35 \$.....1,188

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products .....0 and number of persons insured under indemnity only products .....0.

19 Kansas

# EXHIBIT OF PREMIUMS AND LOSSES

(Statutory Page 14)



NAIC Group Code:

BUSINESS IN THE STATE OF MICHIGAN DURING THE YEAR

NAIC Company Code: 15571

19 Michigan

Line of Business	Gross Premiums, Including Policy and Membership Fees, Less Return Premiums and Premiums on Policies not Taken		3 Dividends Paid or Credited to Policyholders on Direct Business	4 Direct Unearned Premium Reserves	5 Direct Losses Paid (deducting salvage)	6 Direct Losses Incurred	7 Direct Losses Unpaid	8 Direct Defense and Cost Containment Expense Paid	9 Direct Defense and Cost Containment Expense Incurred	10 Direct Defense and Cost Containment Expense Unpaid	11 Commissions and Brokerage Expenses	12 Taxes, Licenses and Fees
	1 Direct Premiums Written	2 Direct Premiums Earned										
1. Fire												
2.1 Allied lines												
2.2 Multiple peril crop												
2.3 Federal flood												
2.4 Private crop												
2.5 Private flood												
3. Farmowners multiple peril												
4. Homeowners multiple peril												
5.1 Commercial multiple peril (non - liability portion)	474,852	313,089		270,596	32,815	91,169	60,641				82,693	13,436
5.2 Commercial multiple peril (liability portion)	617,006	430,414		326,126	71,000	112,293	53,306	28,590	70,490	46,900	107,449	17,458
6. Mortgage guaranty												
8. Ocean marine												
9. Inland marine												
10. Financial guaranty												
11. Medical professional liability												
12. Earthquake												
13. Group accident and health (b)												
14. Credit A & H (group and individual)												
15.1 Collectively renewable A & H (b)												
15.2 Non-cancelable A & H (b)												
15.3 Guaranteed renewable A & H (b)												
15.4 Non-renewable for stated reasons only (b)												
15.5 Other accident only												
15.6 Medicare Title XVIII exempt from state taxes or fees												
15.7 All other A & H (b)												
15.8 Federal Employees Health Benefits Plan premium												
16. Workers' compensation	91,427	61,514		48,181	16,276	26,979	14,706		7,500	7,500	9,372	2,587
17.1 Other liability - occurrence	407,697	286,691		238,443		2,461	4,640				71,056	11,536
17.2 Other Liability - claims-made												
17.3 Excess Workers' Compensation												
18. Products liability												
19.1 Private passenger auto no-fault (personal injury protection)												
19.2 Other private passenger auto liability												
19.3 Commercial auto no-fault (personal injury protection)												
19.4 Other commercial auto liability												
21.1 Private passenger auto physical damage												
21.2 Commercial auto physical damage												
22. Aircraft (all perils)												
23. Fidelity												
24. Surety												
26. Burglary and theft												
27. Boiler and machinery												
28. Credit												
29. International												
30. Warranty												
34. Aggregate write-ins for other lines of business												
35. TOTALS (a)	1,590,982	1,091,708		883,346	120,091	232,902	133,293	28,590	77,990	54,400	270,570	45,017
<b>DETAILS OF WRITE-INS</b>												
3401.												
3402.												
3403.												
3498. Summary of remaining write-ins for Line 34 from overflow page												
3499. TOTALS (Lines 3401 through 3403 plus 3498) (Line 34 above)												

(a) Finance and service charges not included in Lines 1 to 35 \$.....6,055

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products .....0 and number of persons insured under indemnity only products .....0.

# EXHIBIT OF PREMIUMS AND LOSSES

(Statutory Page 14)



NAIC Group Code:

BUSINESS IN THE STATE OF MINNESOTA DURING THE YEAR

NAIC Company Code: 15571

Line of Business	Gross Premiums, Including Policy and Membership Fees, Less Return Premiums and Premiums on Policies not Taken		3 Dividends Paid or Credited to Policyholders on Direct Business	4 Direct Unearned Premium Reserves	5 Direct Losses Paid (deducting salvage)	6 Direct Losses Incurred	7 Direct Losses Unpaid	8 Direct Defense and Cost Containment Expense Paid	9 Direct Defense and Cost Containment Expense Incurred	10 Direct Defense and Cost Containment Expense Unpaid	11 Commissions and Brokerage Expenses	12 Taxes, Licenses and Fees
	1 Direct Premiums Written	2 Direct Premiums Earned										
1. Fire												
2.1 Allied lines												
2.2 Multiple peril crop												
2.3 Federal flood												
2.4 Private crop												
2.5 Private flood												
3. Farmowners multiple peril												
4. Homeowners multiple peril												
5.1 Commercial multiple peril (non - liability portion)	3,509,271	3,490,178		1,586,865	4,459,557	4,384,659	466,051	1,343	(6,107)	8	604,661	87,727
5.2 Commercial multiple peril (liability portion)	3,236,419	3,173,140		1,494,359	694,528	562,888	1,687,154	255,337	253,800	496,907	562,059	80,060
6. Mortgage guaranty												
8. Ocean marine												
9. Inland marine												
10. Financial guaranty												
11. Medical professional liability												
12. Earthquake												
13. Group accident and health (b)												
14. Credit A & H (group and individual)												
15.1 Collectively renewable A & H (b)												
15.2 Non-cancelable A & H (b)												
15.3 Guaranteed renewable A & H (b)												
15.4 Non-renewable for stated reasons only (b)												
15.5 Other accident only												
15.6 Medicare Title XVIII exempt from state taxes or fees												
15.7 All other A & H (b)												
15.8 Federal Employees Health Benefits Plan premium												
16. Workers' compensation	1,214,926	1,393,903		449,612	658,708	989,476	1,435,155	132,234	140,704	149,196	116,725	20,076
17.1 Other liability - occurrence	3,964,302	3,952,472		1,727,103	1,735,250	1,467,590	1,330,824	204,634	201,217	387,986	691,295	82,892
17.2 Other Liability - claims-made												
17.3 Excess Workers' Compensation												
18. Products liability												
19.1 Private passenger auto no-fault (personal injury protection)												
19.2 Other private passenger auto liability												
19.3 Commercial auto no-fault (personal injury protection)												
19.4 Other commercial auto liability												
21.1 Private passenger auto physical damage												
21.2 Commercial auto physical damage												
22. Aircraft (all perils)												
23. Fidelity												
24. Surety												
26. Burglary and theft												
27. Boiler and machinery												
28. Credit												
29. International												
30. Warranty												
34. Aggregate write-ins for other lines of business												
35. TOTALS (a)	11,924,918	12,009,693		5,257,939	7,548,043	7,404,613	4,919,184	593,548	589,614	1,034,097	1,974,740	270,755

19 Minnesota

**DETAILS OF WRITE-INS**

3401.												
3402.												
3403.												
3498. Summary of remaining write-ins for Line 34 from overflow page												
3499. TOTALS (Lines 3401 through 3403 plus 3498) (Line 34 above)												

(a) Finance and service charges not included in Lines 1 to 35 \$.....33,320

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products .....0 and number of persons insured under indemnity only products .....0.

# EXHIBIT OF PREMIUMS AND LOSSES

(Statutory Page 14)



NAIC Group Code:

BUSINESS IN THE STATE OF MISSOURI DURING THE YEAR

NAIC Company Code: 15571

Line of Business	Gross Premiums, Including Policy and Membership Fees, Less Return Premiums and Premiums on Policies not Taken		3 Dividends Paid or Credited to Policyholders on Direct Business	4 Direct Unearned Premium Reserves	5 Direct Losses Paid (deducting salvage)	6 Direct Losses Incurred	7 Direct Losses Unpaid	8 Direct Defense and Cost Containment Expense Paid	9 Direct Defense and Cost Containment Expense Incurred	10 Direct Defense and Cost Containment Expense Unpaid	11 Commissions and Brokerage Expenses	12 Taxes, Licenses and Fees
	1 Direct Premiums Written	2 Direct Premiums Earned										
1. Fire												
2.1 Allied lines												
2.2 Multiple peril crop												
2.3 Federal flood												
2.4 Private crop												
2.5 Private flood												
3. Farmowners multiple peril												
4. Homeowners multiple peril												
5.1 Commercial multiple peril (non - liability portion)	3,966,112	3,996,569		1,921,267	3,530,851	4,059,538	1,190,528	21,891	15,812	15,153	692,208	73,356
5.2 Commercial multiple peril (liability portion)	3,811,568	3,825,841		1,889,812	2,150,973	2,519,245	3,008,769	656,149	787,905	798,041	665,235	70,354
6. Mortgage guaranty												
8. Ocean marine												
9. Inland marine												
10. Financial guaranty												
11. Medical professional liability												
12. Earthquake												
13. Group accident and health (b)												
14. Credit A & H (group and individual)												
15.1 Collectively renewable A & H (b)												
15.2 Non-cancelable A & H (b)												
15.3 Guaranteed renewable A & H (b)												
15.4 Non-renewable for stated reasons only (b)												
15.5 Other accident only												
15.6 Medicare Title XVIII exempt from state taxes or fees												
15.7 All other A & H (b)												
15.8 Federal Employees Health Benefits Plan premium												
16. Workers' compensation	392,918	393,822		205,200	206,080	85,505	468,222	32,489	51,678	59,878	36,935	31,052
17.1 Other liability - occurrence	2,496,048	2,497,855		1,205,385	1,051,500	1,170,292	1,095,442	89,555	127,528	160,939	435,908	46,372
17.2 Other Liability - claims-made												
17.3 Excess Workers' Compensation												
18. Products liability												
19.1 Private passenger auto no-fault (personal injury protection)												
19.2 Other private passenger auto liability												
19.3 Commercial auto no-fault (personal injury protection)												
19.4 Other commercial auto liability												
21.1 Private passenger auto physical damage												
21.2 Commercial auto physical damage												
22. Aircraft (all perils)												
23. Fidelity												
24. Surety												
26. Burglary and theft												
27. Boiler and machinery												
28. Credit												
29. International												
30. Warranty												
34. Aggregate write-ins for other lines of business												
35. TOTALS (a)	10,666,646	10,714,087		5,221,664	6,939,404	7,834,580	5,762,961	800,084	982,923	1,034,011	1,830,286	221,134

**DETAILS OF WRITE-INS**

3401.												
3402.												
3403.												
3498. Summary of remaining write-ins for Line 34 from overflow page												
3499. TOTALS (Lines 3401 through 3403 plus 3498) (Line 34 above)												

(a) Finance and service charges not included in Lines 1 to 35 \$.....41,328

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products .....0 and number of persons insured under indemnity only products .....0.

19 Missouri

# EXHIBIT OF PREMIUMS AND LOSSES

(Statutory Page 14)



NAIC Group Code:

BUSINESS IN THE STATE OF OHIO DURING THE YEAR

NAIC Company Code: 15571

Line of Business	Gross Premiums, Including Policy and Membership Fees, Less Return Premiums and Premiums on Policies not Taken		3 Dividends Paid or Credited to Policyholders on Direct Business	4 Direct Unearned Premium Reserves	5 Direct Losses Paid (deducting salvage)	6 Direct Losses Incurred	7 Direct Losses Unpaid	8 Direct Defense and Cost Containment Expense Paid	9 Direct Defense and Cost Containment Expense Incurred	10 Direct Defense and Cost Containment Expense Unpaid	11 Commissions and Brokerage Expenses	12 Taxes, Licenses and Fees
	1 Direct Premiums Written	2 Direct Premiums Earned										
1. Fire												
2.1 Allied lines												
2.2 Multiple peril crop												
2.3 Federal flood												
2.4 Private crop												
2.5 Private flood												
3. Farmowners multiple peril												
4. Homeowners multiple peril												
5.1 Commercial multiple peril (non - liability portion)	846,925	735,746		419,723	335,507	248,375	16,584		(238)		148,811	12,797
5.2 Commercial multiple peril (liability portion)	1,177,516	974,157		605,309	34,110	117,833	99,598	23,086	59,498	43,493	206,850	16,218
6. Mortgage guaranty												
8. Ocean marine												
9. Inland marine												
10. Financial guaranty												
11. Medical professional liability												
12. Earthquake												
13. Group accident and health (b)												
14. Credit A & H (group and individual)												
15.1 Collectively renewable A & H (b)												
15.2 Non-cancelable A & H (b)												
15.3 Guaranteed renewable A & H (b)												
15.4 Non-renewable for stated reasons only (b)												
15.5 Other accident only												
15.6 Medicare Title XVIII exempt from state taxes or fees												
15.7 All other A & H (b)												
15.8 Federal Employees Health Benefits Plan premium												
16. Workers' compensation												
17.1 Other liability - occurrence	983,963	864,305		489,519		141,527	149,634	12,880	27,816	17,662	172,830	13,652
17.2 Other Liability - claims-made												
17.3 Excess Workers' Compensation												
18. Products liability												
19.1 Private passenger auto no-fault (personal injury protection)												
19.2 Other private passenger auto liability												
19.3 Commercial auto no-fault (personal injury protection)												
19.4 Other commercial auto liability												
21.1 Private passenger auto physical damage												
21.2 Commercial auto physical damage												
22. Aircraft (all perils)												
23. Fidelity												
24. Surety												
26. Burglary and theft												
27. Boiler and machinery												
28. Credit												
29. International												
30. Warranty												
34. Aggregate write-ins for other lines of business												
35. TOTALS (a)	3,008,404	2,574,208		1,514,551	369,617	507,735	265,816	35,966	87,076	61,155	528,491	42,667

**DETAILS OF WRITE-INS**

3401.												
3402.												
3403.												
3498. Summary of remaining write-ins for Line 34 from overflow page												
3499. TOTALS (Lines 3401 through 3403 plus 3498) (Line 34 above)												

(a) Finance and service charges not included in Lines 1 to 35 \$.....10,939

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products .....0 and number of persons insured under indemnity only products .....0.

19 Ohio

# EXHIBIT OF PREMIUMS AND LOSSES

(Statutory Page 14)



NAIC Group Code:

BUSINESS IN THE STATE OF OREGON DURING THE YEAR

NAIC Company Code: 15571

19 Oregon

Line of Business	Gross Premiums, Including Policy and Membership Fees, Less Return Premiums and Premiums on Policies not Taken		3 Dividends Paid or Credited to Policyholders on Direct Business	4 Direct Unearned Premium Reserves	5 Direct Losses Paid (deducting salvage)	6 Direct Losses Incurred	7 Direct Losses Unpaid	8 Direct Defense and Cost Containment Expense Paid	9 Direct Defense and Cost Containment Expense Incurred	10 Direct Defense and Cost Containment Expense Unpaid	11 Commissions and Brokerage Expenses	12 Taxes, Licenses and Fees
	1 Direct Premiums Written	2 Direct Premiums Earned										
1. Fire												
2.1 Allied lines												
2.2 Multiple peril crop												
2.3 Federal flood												
2.4 Private crop												
2.5 Private flood												
3. Farmowners multiple peril												
4. Homeowners multiple peril												
5.1 Commercial multiple peril (non - liability portion)												380
5.2 Commercial multiple peril (liability portion)												380
6. Mortgage guaranty												
8. Ocean marine												
9. Inland marine												
10. Financial guaranty												
11. Medical professional liability												
12. Earthquake												
13. Group accident and health (b)												
14. Credit A & H (group and individual)												
15.1 Collectively renewable A & H (b)												
15.2 Non-cancelable A & H (b)												
15.3 Guaranteed renewable A & H (b)												
15.4 Non-renewable for stated reasons only (b)												
15.5 Other accident only												
15.6 Medicare Title XVIII exempt from state taxes or fees												
15.7 All other A & H (b)												
15.8 Federal Employees Health Benefits Plan premium												
16. Workers' compensation												380
17.1 Other liability - occurrence												380
17.2 Other Liability - claims-made												
17.3 Excess Workers' Compensation												
18. Products liability												
19.1 Private passenger auto no-fault (personal injury protection)												
19.2 Other private passenger auto liability												
19.3 Commercial auto no-fault (personal injury protection)												
19.4 Other commercial auto liability												
21.1 Private passenger auto physical damage												
21.2 Commercial auto physical damage												
22. Aircraft (all perils)												
23. Fidelity												
24. Surety												
26. Burglary and theft												
27. Boiler and machinery												
28. Credit												
29. International												
30. Warranty												
34. Aggregate write-ins for other lines of business												
35. TOTALS (a)												1,520
<b>DETAILS OF WRITE-INS</b>												
3401.												
3402.												
3403.												
3498. Summary of remaining write-ins for Line 34 from overflow page												
3499. TOTALS (Lines 3401 through 3403 plus 3498) (Line 34 above)												

(a) Finance and service charges not included in Lines 1 to 35 \$.....0

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products .....0 and number of persons insured under indemnity only products .....0.

# EXHIBIT OF PREMIUMS AND LOSSES

(Statutory Page 14)



NAIC Group Code:

BUSINESS IN THE STATE OF PENNSYLVANIA DURING THE YEAR

NAIC Company Code: 15571

19 Pennsylvania

Line of Business	Gross Premiums, Including Policy and Membership Fees, Less Return Premiums and Premiums on Policies not Taken		3 Dividends Paid or Credited to Policyholders on Direct Business	4 Direct Unearned Premium Reserves	5 Direct Losses Paid (deducting salvage)	6 Direct Losses Incurred	7 Direct Losses Unpaid	8 Direct Defense and Cost Containment Expense Paid	9 Direct Defense and Cost Containment Expense Incurred	10 Direct Defense and Cost Containment Expense Unpaid	11 Commissions and Brokerage Expenses	12 Taxes, Licenses and Fees
	1 Direct Premiums Written	2 Direct Premiums Earned										
1. Fire												
2.1 Allied lines												
2.2 Multiple peril crop												
2.3 Federal flood												
2.4 Private crop												
2.5 Private flood												
3. Farmowners multiple peril												
4. Homeowners multiple peril												
5.1 Commercial multiple peril (non - liability portion)												1,749
5.2 Commercial multiple peril (liability portion)												248
6. Mortgage guaranty												
8. Ocean marine												
9. Inland marine												
10. Financial guaranty												
11. Medical professional liability												
12. Earthquake												
13. Group accident and health (b)												
14. Credit A & H (group and individual)												
15.1 Collectively renewable A & H (b)												
15.2 Non-cancelable A & H (b)												
15.3 Guaranteed renewable A & H (b)												
15.4 Non-renewable for stated reasons only (b)												
15.5 Other accident only												
15.6 Medicare Title XVIII exempt from state taxes or fees												
15.7 All other A & H (b)												
15.8 Federal Employees Health Benefits Plan premium												
16. Workers' compensation												1,449
17.1 Other liability - occurrence	250	250		208							6	1,400
17.2 Other Liability - claims-made												
17.3 Excess Workers' Compensation												
18. Products liability												
19.1 Private passenger auto no-fault (personal injury protection)												
19.2 Other private passenger auto liability												
19.3 Commercial auto no-fault (personal injury protection)												
19.4 Other commercial auto liability												
21.1 Private passenger auto physical damage												
21.2 Commercial auto physical damage												
22. Aircraft (all perils)												
23. Fidelity												
24. Surety												
26. Burglary and theft												
27. Boiler and machinery												
28. Credit												
29. International												
30. Warranty												
34. Aggregate write-ins for other lines of business												
35. TOTALS (a)	250	250		208							6	4,846
<b>DETAILS OF WRITE-INS</b>												
3401.												
3402.												
3403.												
3498. Summary of remaining write-ins for Line 34 from overflow page												
3499. TOTALS (Lines 3401 through 3403 plus 3498) (Line 34 above)												

(a) Finance and service charges not included in Lines 1 to 35 \$.....0

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products .....0 and number of persons insured under indemnity only products .....0.



# EXHIBIT OF PREMIUMS AND LOSSES

(Statutory Page 14)



NAIC Group Code:

BUSINESS IN THE STATE OF TENNESSEE DURING THE YEAR

NAIC Company Code: 15571

Line of Business	Gross Premiums, Including Policy and Membership Fees, Less Return Premiums and Premiums on Policies not Taken		3 Dividends Paid or Credited to Policyholders on Direct Business	4 Direct Unearned Premium Reserves	5 Direct Losses Paid (deducting salvage)	6 Direct Losses Incurred	7 Direct Losses Unpaid	8 Direct Defense and Cost Containment Expense Paid	9 Direct Defense and Cost Containment Expense Incurred	10 Direct Defense and Cost Containment Expense Unpaid	11 Commissions and Brokerage Expenses	12 Taxes, Licenses and Fees
	1 Direct Premiums Written	2 Direct Premiums Earned										
1. Fire												
2.1 Allied lines												
2.2 Multiple peril crop												
2.3 Federal flood												
2.4 Private crop												
2.5 Private flood												
3. Farmowners multiple peril												
4. Homeowners multiple peril												
5.1 Commercial multiple peril (non - liability portion)												351
5.2 Commercial multiple peril (liability portion)												351
6. Mortgage guaranty												
8. Ocean marine												
9. Inland marine												
10. Financial guaranty												
11. Medical professional liability												
12. Earthquake												
13. Group accident and health (b)												
14. Credit A & H (group and individual)												
15.1 Collectively renewable A & H (b)												
15.2 Non-cancelable A & H (b)												
15.3 Guaranteed renewable A & H (b)												
15.4 Non-renewable for stated reasons only (b)												
15.5 Other accident only												
15.6 Medicare Title XVIII exempt from state taxes or fees												
15.7 All other A & H (b)												
15.8 Federal Employees Health Benefits Plan premium												
16. Workers' compensation												351
17.1 Other liability - occurrence												351
17.2 Other Liability - claims-made												
17.3 Excess Workers' Compensation												
18. Products liability												
19.1 Private passenger auto no-fault (personal injury protection)												
19.2 Other private passenger auto liability												
19.3 Commercial auto no-fault (personal injury protection)												
19.4 Other commercial auto liability												
21.1 Private passenger auto physical damage												
21.2 Commercial auto physical damage												
22. Aircraft (all perils)												
23. Fidelity												
24. Surety												
26. Burglary and theft												
27. Boiler and machinery												
28. Credit												
29. International												
30. Warranty												
34. Aggregate write-ins for other lines of business												
35. TOTALS (a)												1,404
<b>DETAILS OF WRITE-INS</b>												
3401.												
3402.												
3403.												
3498. Summary of remaining write-ins for Line 34 from overflow page												
3499. TOTALS (Lines 3401 through 3403 plus 3498) (Line 34 above)												

19 Tennessee

(a) Finance and service charges not included in Lines 1 to 35 \$.....0

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products .....0 and number of persons insured under indemnity only products .....0.

# EXHIBIT OF PREMIUMS AND LOSSES

(Statutory Page 14)



NAIC Group Code:

BUSINESS IN THE STATE OF WISCONSIN DURING THE YEAR

NAIC Company Code: 15571

Line of Business	Gross Premiums, Including Policy and Membership Fees, Less Return Premiums and Premiums on Policies not Taken		3 Dividends Paid or Credited to Policyholders on Direct Business	4 Direct Unearned Premium Reserves	5 Direct Losses Paid (deducting salvage)	6 Direct Losses Incurred	7 Direct Losses Unpaid	8 Direct Defense and Cost Containment Expense Paid	9 Direct Defense and Cost Containment Expense Incurred	10 Direct Defense and Cost Containment Expense Unpaid	11 Commissions and Brokerage Expenses	12 Taxes, Licenses and Fees
	1 Direct Premiums Written	2 Direct Premiums Earned										
1. Fire												
2.1 Allied lines												
2.2 Multiple peril crop												
2.3 Federal flood												
2.4 Private crop												
2.5 Private flood												
3. Farmowners multiple peril												
4. Homeowners multiple peril												
5.1 Commercial multiple peril (non - liability portion)	651,457	666,646		357,658	981,284	1,098,529	171,747		(634)		113,268	4,852
5.2 Commercial multiple peril (liability portion)	615,739	605,166		341,369	112,334	131,530	228,392	62,986	34,383	60,961	107,009	4,586
6. Mortgage guaranty												
8. Ocean marine												
9. Inland marine												
10. Financial guaranty												
11. Medical professional liability												
12. Earthquake												
13. Group accident and health (b)												
14. Credit A & H (group and individual)												
15.1 Collectively renewable A & H (b)												
15.2 Non-cancelable A & H (b)												
15.3 Guaranteed renewable A & H (b)												
15.4 Non-renewable for stated reasons only (b)												
15.5 Other accident only												
15.6 Medicare Title XVIII exempt from state taxes or fees												
15.7 All other A & H (b)												
15.8 Federal Employees Health Benefits Plan premium												
16. Workers' compensation	478,485	479,026	16,680	248,446	89,549	120,198	104,034	201	34,295	40,920	44,940	3,564
17.1 Other liability - occurrence	93,037	90,106		54,773		1,312	15,569		100	6,613	16,225	693
17.2 Other Liability - claims-made												
17.3 Excess Workers' Compensation												
18. Products liability												
19.1 Private passenger auto no-fault (personal injury protection)												
19.2 Other private passenger auto liability												
19.3 Commercial auto no-fault (personal injury protection)												
19.4 Other commercial auto liability												
21.1 Private passenger auto physical damage												
21.2 Commercial auto physical damage												
22. Aircraft (all perils)												
23. Fidelity												
24. Surety												
26. Burglary and theft												
27. Boiler and machinery												
28. Credit												
29. International												
30. Warranty												
34. Aggregate write-ins for other lines of business												
35. TOTALS (a)	1,838,718	1,840,944	16,680	1,002,246	1,183,167	1,351,569	519,742	63,187	68,144	108,494	281,442	13,695
<b>DETAILS OF WRITE-INS</b>												
3401.												
3402.												
3403.												
3498. Summary of remaining write-ins for Line 34 from overflow page												
3499. TOTALS (Lines 3401 through 3403 plus 3498) (Line 34 above)												

19 Wisconsin

(a) Finance and service charges not included in Lines 1 to 35 \$.....9,847

(b) For health business on indicated lines report: Number of persons insured under PPO managed care products .....0 and number of persons insured under indemnity only products .....0.

# EXHIBIT OF PREMIUMS AND LOSSES

(Statutory Page 14)



NAIC Group Code:

BUSINESS IN THE STATE OF GRAND TOTAL DURING THE YEAR

NAIC Company Code: 15571

Line of Business	Gross Premiums, Including Policy and Membership Fees, Less Return Premiums and Premiums on Policies not Taken		3 Dividends Paid or Credited to Policyholders on Direct Business	4 Direct Unearned Premium Reserves	5 Direct Losses Paid (deducting salvage)	6 Direct Losses Incurred	7 Direct Losses Unpaid	8 Direct Defense and Cost Containment Expense Paid	9 Direct Defense and Cost Containment Expense Incurred	10 Direct Defense and Cost Containment Expense Unpaid	11 Commissions and Brokerage Expenses	12 Taxes, Licenses and Fees
	1 Direct Premiums Written	2 Direct Premiums Earned										
1. Fire												
2.1 Allied lines												
2.2 Multiple peril crop												
2.3 Federal flood												
2.4 Private crop												
2.5 Private flood												
3. Farmowners multiple peril												
4. Homeowners multiple peril												
5.1 Commercial multiple peril (non - liability portion)	19,933,675	19,714,859		9,606,438	18,988,222	23,005,026	4,873,395	99,529	(16,454)	15,414	3,497,778	367,104
5.2 Commercial multiple peril (liability portion)	19,353,218	18,886,953		9,581,482	6,455,574	6,481,860	19,184,745	2,050,448	2,220,742	5,667,499	3,413,035	331,040
6. Mortgage guaranty												
8. Ocean marine												
9. Inland marine												
10. Financial guaranty												
11. Medical professional liability												
12. Earthquake												
13. Group accident and health (b)												
14. Credit A & H (group and individual)												
15.1 Collectively renewable A & H (b)												
15.2 Non-cancelable A & H (b)												
15.3 Guaranteed renewable A & H (b)												
15.4 Non-renewable for stated reasons only (b)												
15.5 Other accident only												
15.6 Medicare Title XVIII exempt from state taxes or fees												
15.7 All other A & H (b)												
15.8 Federal Employees Health Benefits Plan premium												
16. Workers' compensation	7,022,299	7,433,032	16,680	3,026,478	2,456,880	3,227,968	6,868,583	374,874	448,278	784,546	661,073	184,172
17.1 Other liability - occurrence	16,673,628	16,524,365		8,116,250	5,377,122	5,833,210	11,009,199	995,009	908,922	4,411,779	2,966,110	276,449
17.2 Other Liability - claims-made												
17.3 Excess Workers' Compensation												
18. Products liability												
19.1 Private passenger auto no-fault (personal injury protection)												
19.2 Other private passenger auto liability												
19.3 Commercial auto no-fault (personal injury protection)												
19.4 Other commercial auto liability												
21.1 Private passenger auto physical damage												
21.2 Commercial auto physical damage												
22. Aircraft (all perils)												
23. Fidelity												
24. Surety												
26. Burglary and theft												
27. Boiler and machinery												
28. Credit												
29. International												
30. Warranty												
34. Aggregate write-ins for other lines of business												
35. TOTALS (a)	62,982,820	62,559,209	16,680	30,330,648	33,277,798	38,548,064	41,935,922	3,519,860	3,561,488	10,879,238	10,537,996	1,158,765

19 Grand Total

**DETAILS OF WRITE-INS**

3401.												
3402.												
3403.												
3498. Summary of remaining write-ins for Line 34 from overflow page												
3499. TOTALS (Lines 3401 through 3403 plus 3498) (Line 34 above)												

(a) Finance and service charges not included in Lines 1 to 35 \$.....277,363  
 (b) For health business on indicated lines report: Number of persons insured under PPO managed care products .....0 and number of persons insured under indemnity only products .....0.

## SCHEDULE F - PART 1

### Assumed Reinsurance as of December 31, Current Year (\$000 Omitted)

1 ID Number	2 NAIC Company Code	3 Name of Reinsured	4 Domiciliary Jurisdiction	5 Assumed Premium	Reinsurance On			9 Contingent Commissions Payable	10 Assumed Premiums Receivable	11 Unearned Premium	12 Funds Held By or Deposited With Reinsured Companies	13 Letters of Credit Posted	14 Amount of Assets Pledged or Compensating Balances to Secure Letters of Credit	15 Amount of Assets Pledged or Collateral Held in Trust
					6 Paid Losses and Loss Adjustment Expenses	7 Known Case Losses and LAE	8 Columns 6 + 7							
<b>Pools and Associations - Mandatory Pools - Pools, Associations or Other Similar Facilities</b>														
AA-9991414	00000	INDIANA WORKERS COMP	IN	56		41	41			15				
AA-9992114	00000	MICHIGAN WORKERS COMP INS PLACEMENT FACILITY	MI	3		1	1			1				
AA-9995095	00000	NAMICO REINS FACILITY	IN			2	2							
AA-9992118	00000	NATIONAL WORKERS COMP REINS POOL	NY	145		200	200			47				
1099999 Total - Pools and Associations - Mandatory Pools - Pools, Associations or Other Similar Facilities				204		244	244			62				
1299999 Total - Pools and Associations				204		244	244			62				
9999999 Totals				204		244	244			62				

## SCHEDULE F - PART 2

### Premium Portfolio Reinsurance Effected or (Canceled) during Current Year

1 ID Number	2 NAIC Company Code	3 Name of Company	4 Date of Contract	5 Original Premium	6 Reinsurance Premium
<div style="border: 1px solid black; padding: 10px; display: inline-block; font-size: 2em; font-weight: bold; letter-spacing: 0.5em;">N O N E</div>					
0299999 Total Reinsurance Assumed By Portfolio .....					



## SCHEDULE F - PART 3

### Ceded Reinsurance as of December 31, Current Year (\$000 Omitted)

22.1

1	2	3	4	5	6	Reinsurance Recoverable On									16	Reinsurance Payable		19	20
						7	8	9	10	11	12	13	14	15		17	18		
ID Number	NAIC Company Code	Name of Reinsurer	Domiciliary Jurisdiction	Special Code	Reinsurance Premiums Ceded	Paid Losses	Paid LAE	Known Case Loss Reserves	Known Case LAE Reserves	IBNR Loss Reserves	IBNR LAE Reserves	Unearned Premiums	Contingent Commissions	Columns 7 thru 14 Totals	Amount in Dispute Included in Column 15	Ceded Balances Payable	Other Amounts Due to Reinsurers	Net Amount Recoverable From Reinsurers Cols. 15 - [17 + 18]	Funds Held By Company Under Reinsurance Treaties
AA-1128987	.00000	Lloyd's Syndicate Number 2987	GBR		72	9	1							10		0		10	
AA-1126033	.00000	LLOYD'S SYNDICATE NUMBER 33	GBR		28											2		(2)	
AA-1120055	.00000	Lloyd's Syndicate Number 3623	GBR		5														
AA-1120116	.00000	Lloyd's Syndicate Number 3902	GBR		5														
AA-1120086	.00000	Lloyd's Syndicate Number 4141	GBR		72	6	1					35		42		11		31	
AA-1126435	.00000	LLOYD'S SYNDICATE NUMBER 435	GBR		108	10	1							11		6		5	
AA-1126006	.00000	Lloyd's Syndicate Number 4472	GBR		89	6	1							7		6		1	
AA-1126510	.00000	LLOYD'S SYNDICATE NUMBER 510	GBR		132	12	1					63		76		20		55	
AA-1126566	.00000	LLOYD'S SYNDICATE NUMBER 566 (Incidental to 2999)	GBR		25	4	0							4				4	
AA-1120163	.00000	LLOYD'S SYNDICATE NUMBER 5678	GBR		36											4		(4)	
AA-1126609	.00000	LLOYD'S SYNDICATE NUMBER 609	GBR		8	2	0							2				2	
AA-3191298	.00000	Qatar Reins Co Ltd	BMU		23											(1)		1	
1299999 Total - Authorized - Other Non-U.S. Insurers					3,307	262	23	1,460	68	1,496	367	175		3,851		52		3,799	
1499999 Total - Authorized					9,665	866	120	4,122	236	5,243	1,271	823		12,681		236		12,445	
<b>Unauthorized - Other Non-U.S. Insurers</b>																			
AA-1340028	.00000	Devk Ruckversicherungs und Beteiligungs AG	DEU		93	20	2							21		3		18	
AA-5420050	.00000	KOREAN REINS CO	KOR		194	8	1	127	2	31	3			172		(5)		177	
2699999 Total - Unauthorized - Other Non-U.S. Insurers					287	27	2	127	2	31	3			193		(1)		195	
2899999 Total - Unauthorized					287	27	2	127	2	31	3			193		(1)		195	
4399999 Total - Authorized, Unauthorized and Certified					9,952	893	122	4,250	238	5,273	1,275	823		12,874		234		12,640	
9999999 Totals					9,952	893	122	4,250	238	5,273	1,275	823		12,874		234		12,640	

**SCHEDULE F - PART 3 (continued)**  
**Ceded Reinsurance as of December 31, Current Year (\$000 Omitted)**  
**(Credit Risk)**

ID Number from Col 1	Name of Reinsurer from Col 3	Collateral				25 Total Funds Held, Payables & Collateral	26 Net Recoverable Net of Funds Held & Collateral	27 Applicable Sch. F Penalty Col. 78)	Ceded Reinsurance Credit Risk								
		21 Multiple Beneficiary Trusts	22 Letters of Credit	23 Issuing or Confirming Bank Reference Number	24 Single Beneficiary Trusts & Other Allowable Collateral				28 Total Amount Recoverable from Reinsurers Less Penalty (Col. 15 - 27)	29 Stressed Recoverable (Col. 28 * 120%)	30 Reinsurance Payable & Funds Held (Cols. 17+ 18+20;but not in excess of Col. 29)	31 Stressed Net Recoverable (Cols. 29-30)	32 Total Collateral (Cols. 21 + 22 + 24, not in Excess of Col. 31)	33 Stressed Net Recoverable Net of Collateral Offsets (Cols. 31-32)	34 Reinsurer Designation Equivalent	35 Credit Risk on Collateralized Recoverables (Col. 32 * Factor Applicable to Reinsurer Designation Equivalent in Col. 34)	36 Credit Risk on Uncollateralized Recoverables (Col. 33 * Factor Applicable to Reinsurer Designation Equivalent in Col. 34)
<b>Authorized - Other U.S. Unaffiliated Insurers</b>																	
06-1182357	ALLIED WORLD REINS CO			0000		9	491		500	600	9	591		591		3	28
36-2661954	AMERICAN AGRICULTURAL INS CO			0000		(2)	160		157	189	(2)	191		191		3	9
06-1430254	ARCH REINS CO			0000		(1)	1				(1)	1		1		2	0
51-0434766	AXIS REINS CO			0000		(7)	475		468	562	(7)	569		569		2	23
42-0234980	EMPLOYERS MUT CAS CO			0000			194		194	233		233		233		4	12
35-2293075	ENDURANCE REINS CORP OF AMER			0000			709		709	851		851		851		2	35
22-2005057	EVEREST REINS CO			0000		13	1,239		1,252	1,502	13	1,489		1,489		2	61
42-0245840	FARMERS MUT HAIL INS CO OF IA			0000			97		97	116		116		116		3	6
13-2673100	GENERAL REINS CORP			0000		192	485		677	813	192	621		621		1	22
13-4924125	MUNICH REINS AMER INC			0000		(5)	191		186	223	(5)	228		228		2	9
13-3031176	PARTNER REINS CO OF THE US			0000		(4)	1,366		1,362	1,635	(4)	1,639		1,639		3	79
52-1952955	PLATINUM UNDERWRITERS REINS INC			0000		(9)	1,878		1,868	2,242	(9)	2,251		2,251		3	108
43-0727872	SAFETY NATL CAS CORP			0000		(5)	5				(5)	5		5		2	0
13-1675535	SWISS REINS AMER CORP			0000			902		902	1,083		1,083		1,083		2	44
31-0542366	THE CINCINNATI INS CO			0000		(1)	1				(1)	1		1		2	0
13-2918573	TOA RE INS CO OF AMER			0000			399		399	479		479		479		3	23
06-0566050	TRAVELERS IND CO			0000												3	
13-1290712	XL REINS AMER INC			0000			47		47	56		56		56		1	2
0999999 Total - Authorized - Other U.S. Unaffiliated Insurers				X X X		178	8,640		8,819	10,582	178	10,404		10,404		X X X	463
<b>Authorized - Pools - Mandatory Pools</b>																	
AA-9991500	ILLINOIS MINE SUBSIDENCE FUND			0000		4	5										
AA-9991501	INDIANA MINE SUBSIDENCE FUND			0000		1	2										
AA-9991423	MINNESOTA WORKERS COMP			0000													
1099999 Total - Authorized - Pools - Mandatory Pools				X X X		5	7		X X X	X X X	X X X	X X X		X X X		X X X	X X X
<b>Authorized - Other Non-U.S. Insurers</b>																	
AA-1120337	Aspen Ins UK Ltd			0000		(21)	2,240		2,219	2,663	(21)	2,684		2,684		3	129
AA-1340125	HANNOVER RUCKVERSICHERUNGS AG			0000		(2)	1,388		1,386	1,663	(2)	1,665		1,665		2	68
AA-3190875	Hiscox Ins Co (Bermuda) Ltd			0000												3	
AA-1127084	LLOYD'S SYNDICATE NUMBER 1084			0000			2		2	3		3		3		3	0
AA-1127200	Lloyd's Syndicate Number 1200			0000												3	
AA-1127301	LLOYD'S SYNDICATE NUMBER 1301			0000												3	
AA-1127861	LLOYD'S SYNDICATE NUMBER 1861			0000												3	
AA-1120096	LLOYD'S SYNDICATE NUMBER 1880			0000		25	68		92	111	25	86		86		3	4
AA-1120064	Lloyd's Syndicate Number 1919			0000												3	
AA-1120084	Lloyd's Syndicate Number 1955			0000												3	
AA-1120103	LLOYD'S SYNDICATE NUMBER 1967			0000												3	
AA-1128003	LLOYD'S SYNDICATE NUMBER 2003			0000												3	
AA-1120104	LLOYD'S SYNDICATE NUMBER 2012			0000												3	
AA-1128121	LLOYD'S SYNDICATE NUMBER 2121			0000												3	



**SCHEDULE F - PART 3 (continued)**  
**Ceded Reinsurance as of December 31, Current Year (\$000 Omitted)**  
**(Credit Risk)**

23.1

ID Number from Col 1	Name of Reinsurer from Col 3	Collateral				25 Total Funds Held, Payables & Collateral	26 Net Recoverable Net of Funds Held & Collateral	27 Applicable Sch. F Penalty Col. 78)	Ceded Reinsurance Credit Risk								
		21 Multiple Beneficiary Trusts	22 Letters of Credit	23 Issuing or Confirming Bank Reference Number	24 Single Beneficiary Trusts & Other Allowable Collateral				28 Total Amount Recoverable from Reinsurers Less Penalty (Col. 15 - 27)	29 Stressed Recoverable (Col. 28 * 120%)	30 Reinsurance Payable & Funds Held (Cols. 17+ 18+20;but not in excess of Col. 29)	31 Stressed Net Recoverable (Cols. 29-30)	32 Total Collateral (Cols. 21 + 22 + 24, not in Excess of Col. 31)	33 Stressed Net Recoverable Net of Collateral Offsets (Cols. 31-32)	34 Reinsurer Designation Equivalent	35 Credit Risk on Collateralized Recoverables (Col. 32 * Factor Applicable to Reinsurer Designation Equivalent in Col. 34)	36 Credit Risk on Uncollateralized Recoverables (Col. 33 * Factor Applicable to Reinsurer Designation Equivalent in Col. 34)
AA-1128987	Lloyd's Syndicate Number 2987			0000		0	10		10	12	0	11		11	3		1
AA-1126033	LLOYD'S SYNDICATE NUMBER 33			0000											3		
AA-1120055	Lloyd's Syndicate Number 3623			0000											3		
AA-1120116	Lloyd's Syndicate Number 3902			0000											3		
AA-1120086	Lloyd's Syndicate Number 4141			0000		11	31		42	50	11	39		39	3		2
AA-1126435	LLOYD'S SYNDICATE NUMBER 435			0000		6	5		11	14	6	8		8	3		0
AA-1126006	Lloyd's Syndicate Number 4472			0000		6	1		7	8	6	2		2	3		0
AA-1126510	LLOYD'S SYNDICATE NUMBER 510			0000		20	55		76	91	20	70		70	3		3
AA-1126566	LLOYD'S SYNDICATE NUMBER 566 (Incidental to 2999)			0000			4		4	5		5		5	3		0
AA-1120163	LLOYD'S SYNDICATE NUMBER 5678			0000											3		
AA-1126609	LLOYD'S SYNDICATE NUMBER 609			0000			2		2			2		2	3		0
AA-3191298	Qatar Reins Co Ltd			0000		(1)	1				(1)	1		1	3		0
1299999 Total - Authorized - Other Non-U.S. Insurers				X X X		44	3,807		3,851	4,621	44	4,577		4,577	X X X		208
1499999 Total - Authorized				X X X		227	12,454		12,669	15,203	222	14,981		14,981	X X X		671
<b>Unauthorized - Other Non-U.S. Insurers</b>																	
AA-1340028	Devk Ruckversicherungs und Beteiligungs AG		55	0000		21			21	25	3	22	22		3		1
AA-5420050	KOREAN REINS CO		4	0001		(1)	173	172			(5)	5	4	1	3		0
2699999 Total - Unauthorized - Other Non-U.S. Insurers			58	X X X		20	173	172	21	25	(1)	27	26	1	X X X		0
2899999 Total - Unauthorized			58	X X X		20	173	172	21	25	(1)	27	26	1	X X X		0
4399999 Total - Authorized, Unauthorized and Certified			58	X X X		248	12,627	172	12,691	15,229	221	15,008	26	14,982	X X X		671
9999999 Totals			58	X X X		248	12,627	172	12,691	15,229	221	15,008	26	14,982	X X X		671



**SCHEDULE F - PART 3 (continued)**  
**Ceded Reinsurance as of December 31, Current Year (\$000 Omitted)**  
**(Aging of Ceded Reinsurance)**

24.1

ID Number from Col 1	Name of Reinsurer from Col 3	Reinsurance Recoverable on Paid Losses and Paid Loss Adjustment Expenses						44 Total Recoverable on Paid Losses & LAE Dispute Included in Col. 43	45 Recoverable on Paid Losses & LAE Over 90 Days Past Due Amounts in Dispute Included in Cols. 40 & 41	46 Total Recoverable on Paid Losses & LAE Amounts Not in Dispute (Cols 43-44)	47 Recoverable on Paid Losses & LAE Over 90 Days Past Due Amounts Not in Dispute (Cols. 40 + 41 - 45)	48 Amounts Received Prior 90 Days	49 Percentage Overdue Col. 42/ Col. 43	50 Percentage of Amounts More Than 90 Days Overdue Not in Dispute (Col. 47/(Cols. 46 + 48))	51 Percentage More Than 120 Days Overdue (Col. 41/ Col. 43)	52 Is the Amount in Col. 50 Less Than 20%? (Yes or No)	53 Amounts in Col. 47 for Reinsurers with Values Less Than 20% in Col. 50	
		37	Overdue															43 Total Due Cols. 37+42 (In total should equal Cols. 7 + 8)
		Current	38 1 to 29 Days	39 30-90 Days	40 91-120 Days	41 Over 120 Days	42 Total Overdue Cols. 38+ 39+40+41											
AA-1128987	Lloyd's Syndicate Number 2987	10					10		10								Yes	
AA-1126033	LLOYD'S SYNDICATE NUMBER 33																Yes	
AA-1120055	Lloyd's Syndicate Number 3623																Yes	
AA-1120116	Lloyd's Syndicate Number 3902																Yes	
AA-1120086	Lloyd's Syndicate Number 4141	7					7		7								Yes	
AA-1126435	LLOYD'S SYNDICATE NUMBER 435	11					11		11								Yes	
AA-1126006	Lloyd's Syndicate Number 4472	7					7		7								Yes	
AA-1126510	LLOYD'S SYNDICATE NUMBER 510	13					13		13								Yes	
AA-1126566	LLOYD'S SYNDICATE NUMBER 566 (Incidental to 2999)	4					4		4								Yes	
AA-1120163	LLOYD'S SYNDICATE NUMBER 5678																Yes	
AA-1126609	LLOYD'S SYNDICATE NUMBER 609	2					2		2								Yes	
AA-3191298	Qatar Reins Co Ltd																Yes	
1299999 Total - Authorized - Other Non-U.S. Insurers		285					285		285								X X X	
1499999 Total - Authorized		984					984		984								X X X	
<b>Unauthorized - Other Non-U.S. Insurers</b>																		
AA-1340028	Devk Ruckversicherungs und Beteiligungs AG	22					22		22								Yes	
AA-5420050	KOREAN REINS CO	9					9		9								Yes	
2699999 Total - Unauthorized - Other Non-U.S. Insurers		31					31		31								X X X	
2899999 Total - Unauthorized		31					31		31								X X X	
4399999 Total - Authorized, Unauthorized and Certified		1,015					1,015		1,015								X X X	
9999999 Totals		1,015					1,015		1,015								X X X	





**SCHEDULE F - PART 3 (continued)**  
**Ceded Reinsurance as of December 31, Current Year (\$000 Omitted)**  
**(Total Provision for Reinsurance)**

ID Number from Col 1	Name of Reinsurer from Col 3	70  20% of Recoverable on Paid Losses & LAE Over 90 Days past Due Amounts Not in Dispute (Col. 47*20%)	Provision for Unauthorized Reinsurance		Provision for Overdue Authorized Reinsurance		Total Provision for Reinsurance			
			71  Provision for Reinsurance with Unauthorized Reinsurers Due to Collateral Deficiency (Col. 26)	72  Provision for Overdue Reinsurance from Unauthorized Reinsurers and Amounts in Dispute (Col. 70 + 20% of the Amount in Col. 16)	73  Complete if Col. 52= "Yes"; Otherwise Enter 0 20% of Recoverable on Paid Losses & LAE Over 90 Days past Due Amounts Not in Dispute + 20% of Amounts in Dispute [(Col. 47 * 20%) + [Col. 45 * 20%)]	74  Complete if Col. 52 = "No"; Otherwise Enter 0 Greater of 20% of Net Recoverable Net of Funds Held & Collateral, or 20% of Recoverable on Paid Losses & LAE Over 90 Days Past Due (Greater of Col 26*20% or [Cols. 40+41] *20%)	75  Provision for Amounts Ceded to Authorized Reinsurers (Cols. 73+ 74)	76  Provision for Amounts Ceded to Unauthorized Reinsurers (Cols. 71 + 72 Not in Excess of Col. 15)	77  Provision for Amounts Ceded to Certified Reinsurers (Cols. 64 + 69)	78  Total Provision for Reinsurance (Cols. 75 + 76 + 77)
<b>Authorized - Other U.S. Unaffiliated Insurers</b>										
06-1182357	ALLIED WORLD REINS CO									
36-2661954	AMERICAN AGRICULTURAL INS CO									
06-1430254	ARCH REINS CO									
51-0434766	AXIS REINS CO									
42-0234980	EMPLOYERS MUT CAS CO									
35-2293075	ENDURANCE REINS CORP OF AMER									
22-2005057	EVEREST REINS CO									
42-0245840	FARMERS MUT HAIL INS CO OF IA									
13-2673100	GENERAL REINS CORP									
13-4924125	MUNICH REINS AMER INC									
13-3031176	PARTNER REINS CO OF THE US									
52-1952955	PLATINUM UNDERWRITERS REINS INC									
43-0727872	SAFETY NATL CAS CORP									
13-1675535	SWISS REINS AMER CORP									
31-0542366	THE CINCINNATI INS CO									
13-2918573	TOA RE INS CO OF AMER									
06-0566050	TRAVELERS IND CO									
13-1290712	XL REINS AMER INC									
0999999	Total - Authorized - Other U.S. Unaffiliated Insurers		XXX	XXX				XXX	XXX	
<b>Authorized - Pools - Mandatory Pools</b>										
AA-9991500	ILLINOIS MINE SUBSIDENCE FUND									
AA-9991501	INDIANA MINE SUBSIDENCE FUND									
AA-9991423	MINNESOTA WORKERS COMP									
1099999	Total - Authorized - Pools - Mandatory Pools		XXX	XXX				XXX	XXX	
<b>Authorized - Other Non-U.S. Insurers</b>										
AA-1120337	Aspen Ins UK Ltd									
AA-1340125	HANNOVER RUCKVERSICHERUNGS AG									
AA-3190875	Hiscox Ins Co (Bermuda) Ltd									
AA-1127084	LLOYD'S SYNDICATE NUMBER 1084									
AA-1127200	Lloyd's Syndicate Number 1200									
AA-1127301	LLOYD'S SYNDICATE NUMBER 1301									
AA-1127861	LLOYD'S SYNDICATE NUMBER 1861									
AA-1120096	LLOYD'S SYNDICATE NUMBER 1880									
AA-1120064	Lloyd's Syndicate Number 1919									
AA-1120084	Lloyd's Syndicate Number 1955									
AA-1120103	LLOYD'S SYNDICATE NUMBER 1967									
AA-1128003	LLOYD'S SYNDICATE NUMBER 2003									
AA-1120104	LLOYD'S SYNDICATE NUMBER 2012									
AA-1128121	LLOYD'S SYNDICATE NUMBER 2121									

**SCHEDULE F - PART 3 (continued)**  
**Ceded Reinsurance as of December 31, Current Year (\$000 Omitted)**  
**(Total Provision for Reinsurance)**

ID Number from Col 1	Name of Reinsurer from Col 3	70  20% of Recoverable on Paid Losses & LAE Over 90 Days past Due Amounts Not in Dispute (Col. 47*20%)	Provision for Unauthorized Reinsurance		Provision for Overdue Authorized Reinsurance		Total Provision for Reinsurance			
			71  Provision for Reinsurance with Unauthorized Reinsurers Due to Collateral Deficiency (Col. 26)	72  Provision for Overdue Reinsurance from Unauthorized Reinsurers and Amounts in Dispute (Col. 70 + 20% of the Amount in Col. 16)	73  Complete if Col. 52= "Yes";Otherwise Enter 0 20% of Recoverable on Paid Losses & LAE Over 90 Days past Due Amounts Not in Dispute + 20% of Amounts in Dispute ([Col. 47 * 20%] + [Col. 45 * 20%])	74  Complete if Col. 52 = "No";Otherwise Enter 0 Greater of 20% of Net Recoverable Net of Funds Held & Collateral, or 20% of Recoverable on Paid Losses & LAE Over 90 Days Past Due (Greater of Col 26*20% or [Cols. 40+41] *20%)	75  Provision for Amounts Ceded to Authorized Reinsurers (Cols. 73+ 74)	76  Provision for Amounts Ceded to Unauthorized Reinsurers (Cols. 71 + 72 Not in Excess of Col. 15)	77  Provision for Amounts Ceded to Certified Reinsurers (Cols. 64 + 69)	78  Total Provision for Reinsurance (Cols. 75 + 76 + 77)
AA-1128987	Lloyd's Syndicate Number 2987									
AA-1126033	LLOYD'S SYNDICATE NUMBER 33									
AA-1120055	Lloyd's Syndicate Number 3623									
AA-1120116	Lloyd's Syndicate Number 3902									
AA-1120086	Lloyd's Syndicate Number 4141									
AA-1126435	LLOYD'S SYNDICATE NUMBER 435									
AA-1126006	Lloyd's Syndicate Number 4472									
AA-1126510	LLOYD'S SYNDICATE NUMBER 510									
AA-1126566	LLOYD'S SYNDICATE NUMBER 566 (Incidental to 2999)									
AA-1120163	LLOYD'S SYNDICATE NUMBER 5678									
AA-1126609	LLOYD'S SYNDICATE NUMBER 609									
AA-3191298	Qatar Reins Co Ltd									
1299999 Total - Authorized - Other Non-U.S. Insurers			XXX	XXX				XXX	XXX	
1499999 Total - Authorized			XXX	XXX				XXX	XXX	
<b>Unauthorized - Other Non-U.S. Insurers</b>										
AA-1340028	Devk Ruckversicherungs und Beteiligungs AG									
AA-5420050	KOREAN REINS CO		173					172		172
2699999 Total - Unauthorized - Other Non-U.S. Insurers			173		XXX	XXX	XXX	172	XXX	172
2899999 Total - Unauthorized			173		XXX	XXX	XXX	172	XXX	172
4399999 Total - Authorized, Unauthorized and Certified			173					172		172
9999999 Totals			173					172		172

## SCHEDULE F - PART 4

### Issuing or Confirming Banks for Letters of Credit from Schedule F, Part 3 (\$000 Omitted)

Issuing or Confirming Bank Reference Number Used in Col. 23 of Sch F Part 3	Letter of Credit Code	American Bankers Association (ABA) Routing Number	Issuing or Confirming Bank Name	Letter of Credit Amount
..... 0001 .....	..... 1 .....	..... 026009917 .....	Australia and New Zealand Banking Group Limited .....	..... 4
..... 0684 .....	..... 1 .....	..... 026013453 .....	Landesbank Baden-Wurttemberg .....	..... 55
9999999 Total .....				..... 58



## SCHEDULE F PART 5

### Interrogatories for Schedule F, Part 3 (000 Omitted)

A. Report the five largest provisional commission rates included in the cedant's reinsurance treaties. The commission rate to be reported is by contract with ceded premium in excess of \$50,000:

	1	2	3
	Name of Reinsurer	Commission Rate	Ceded Premium
1)	.....	.....	.....
2)	.....	.....	.....
3)	.....	.....	.....
4)	.....	.....	.....
5)	.....	.....	.....

B. Report the five largest reinsurance recoverables reported in Schedule F, Part 3, Column 15, due from any one reinsurer (based on the total recoverables, Schedule F, Part 3, Line 9999999, Column 15), the amount of ceded premium, and indicate whether the recoverables are due from an affiliated insurer.

	1	2	3	4
	Name of Reinsurer	Total Recoverables	Ceded Premiums	Affiliated
1)	Aspen Ins UK Ltd .....	2,219	1,174	Yes[ ] No[X] ...
2)	Platinum Underwriters Reins Inc .....	1,868	1,792	Yes[ ] No[X] ...
3)	Hannover Ruck .....	1,386	1,318	Yes[ ] No[X] ...
4)	Partner Reins .....	1,362	281	Yes[ ] No[X] ...
5)	Everest Reins .....	1,252	781	Yes[ ] No[X] ...

NOTE: Disclosure of the five largest provisional commission rates should exclude mandatory pools and joint underwriting associations.

## SCHEDULE F - PART 6

### Restatement of Balance Sheet to Identify Net Credit for Reinsurance

	1 As Reported (Net of Ceded)	2 Restatement Adjustments	3 Restated (Gross of Ceded)
<b>ASSETS (Page 2, Column 3)</b>			
1. Cash and invested assets (Line 12) .....	110,336,349		110,336,349
2. Premiums and considerations (Line 15) .....	21,193,119		21,193,119
3. Reinsurance recoverable on loss and loss adjustment expense payments (Line 16.1) .....	1,015,372	(1,015,372)	
4. Funds held by or deposited with reinsured companies (Line 16.2) .....			
5. Other assets .....	3,553,463		3,553,463
6. Net amount recoverable from reinsurers .....		12,640,098	12,640,098
7. Protected cell assets (Line 27) .....			
8. TOTALS (Line 28) .....	136,098,303	11,624,726	147,723,029
<b>LIABILITIES (Page 3)</b>			
9. Losses and loss adjustment expenses (Lines 1 through 3) .....	45,802,137	11,036,170	56,838,307
10. Taxes, expenses, and other obligations (Lines 4 through 8) .....	4,021,657		4,021,657
11. Unearned premiums (Line 9) .....	29,570,000	822,818	30,392,818
12. Advance premiums (Line 10) .....	546,369		546,369
13. Dividends declared and unpaid (Line 11.1 and 11.2) .....	2,562		2,562
14. Ceded reinsurance premiums payable (net of ceding commissions) (Line 12) .....	234,262	(234,262)	
15. Funds held by company under reinsurance treaties (Line 13) .....			
16. Amounts withheld or retained by company for account of others (Line 14) .....	383,874		383,874
17. Provision for reinsurance (Line 16) .....	172,000		172,000
18. Other liabilities .....	7,996		7,996
19. TOTAL Liabilities excluding protected cell business (Line 26) .....	80,740,857	11,624,726	92,365,583
20. Protected cell liabilities (Line 27) .....			
21. Surplus as regards policyholders (Line 37) .....	55,357,446	X X X	55,357,446
22. TOTALS (Line 38) .....	136,098,303	11,624,726	147,723,029

Note: Is the restatement of this exhibit the result of grossing up balances ceded to affiliates under 100 percent reinsurance or pooling arrangements? Yes [ ] No[X]

If yes, give full explanation:

**30 Schedule H Part 1 A & H Exhibit ..... NONE**

**31 Schedule H Parts 2, 3 & 4 - A & H Exh Cont ..... NONE**

**32 Schedule H Part 5 Health Claims ..... NONE**

# SCHEDULE P - ANALYSIS OF LOSSES AND LOSS EXPENSES

## SCHEDULE P - PART 1 - SUMMARY

(\$000 omitted)

Years in Which Premiums Were Earned and Losses Were Incurred	Premiums Earned			Loss and Loss Expense Payments							12 Number of Claims Reported - Direct and Assumed	
	1 Direct and Assumed	2 Ceded	3 Net (Columns 1-2)	Loss Payments		Defense and Cost Containment Payments		Adjusting and Other Payments		10 Salvage and Subrogation Received		11 Total Net Paid (Columns 4 - 5 + 6 - 7 + 8 - 9)
				4 Direct and Assumed	5 Ceded	6 Direct and Assumed	7 Ceded	8 Direct and Assumed	9 Ceded			
1. Prior	X X X	X X X	X X X	(1)	1	13	1	21	1	2	29	X X X
2. 2010	31,339	10,179	21,161	21,994	10,411	2,504	727	3,128	184	392	16,303	X X X
3. 2011	34,471	9,347	25,124	20,750	7,168	2,754	599	2,700	135	92	18,302	X X X
4. 2012	37,669	10,235	27,434	25,719	12,759	3,193	547	3,372	230	140	18,748	X X X
5. 2013	41,340	9,922	31,418	24,538	8,710	2,354	350	4,270	267	330	21,836	X X X
6. 2014	45,570	7,440	38,130	28,972	11,665	2,924	350	3,926	99	4,171	23,706	X X X
7. 2015	47,942	7,723	40,219	15,667	2,272	2,485	21	4,354	68	297	20,145	X X X
8. 2016	50,509	7,898	42,611	20,779	5,091	2,173	104	2,924	119	315	20,562	X X X
9. 2017	52,162	7,956	44,206	23,423	4,804	1,517	112	2,440	101	218	22,363	X X X
10. 2018	57,876	10,760	47,117	18,110	3,837	804	137	1,780	55	286	16,665	X X X
11. 2019	62,767	9,925	52,842	19,900	7,785	190	62	3,127	84	123	15,285	X X X
12. Totals	X X X	X X X	X X X	219,851	74,503	20,910	3,010	32,040	1,344	6,366	193,944	X X X

	Losses Unpaid				Defense and Cost Containment Unpaid				Adjusting and Other Unpaid		23 Salvage and Subrogation Anticipated	24 Total Net Losses and Expenses Unpaid	25 Number of Claims Outstanding Direct and Assumed
	Case Basis		Bulk + IBNR		Case Basis		Bulk + IBNR		21 Direct and Assumed	22 Ceded			
	13 Direct and Assumed	14 Ceded	15 Direct and Assumed	16 Ceded	17 Direct and Assumed	18 Ceded	19 Direct and Assumed	20 Ceded					
1. Prior	167	30	(1)		8	6	2		3	1	10	142	X X X
2. 2010	483	464	17	1	6	3	2		2		14	42	X X X
3. 2011	109	78	4	8	1		8		17	13	1	41	X X X
4. 2012	700	481	6	2	36	17	8		33	13	16	271	X X X
5. 2013	540	199	76	9	71	15	20	4	19	3	15	496	X X X
6. 2014	694		177	(16)	71	2	113	36	48	6	6	1,075	X X X
7. 2015	1,206		370	99	214		227	22	134	37	22	1,994	X X X
8. 2016	2,860		1,562	935	628	18	403	23	245	47	44	4,675	X X X
9. 2017	3,829	370	2,056	489	1,005	31	979	101	487	143	390	7,221	X X X
10. 2018	4,703	402	4,980	1,353	1,281	13	1,668	280	1,127	140	307	11,571	X X X
11. 2019	8,191	2,226	9,605	2,393	1,426	32	2,702	213	1,508	290	347	18,278	X X X
12. Totals	23,482	4,249	18,853	5,272	4,746	138	6,132	678	3,623	693	1,172	45,806	X X X

	Total Losses and Loss Expenses Incurred			Loss and Loss Expense Percentage (Incurred/Premiums Earned)			Nontabular Discount		34 Inter-Company Pooling Participation Percentage	Net Balance Sheet Reserves After Discount	
	26 Direct and Assumed	27 Ceded	28 Net	29 Direct and Assumed	30 Ceded	31 Net	32 Loss	33 Loss Expense		35 Losses Unpaid	36 Loss Expenses Unpaid
1. Prior	X X X	X X X	X X X	X X X	X X X	X X X			X X X	137	6
2. 2010	28,136	11,791	16,345	89.8	115.8	77.2				35	7
3. 2011	26,343	8,001	18,342	76.4	85.6	73.0				28	13
4. 2012	33,067	14,048	19,019	87.8	137.3	69.3				224	47
5. 2013	31,888	9,556	22,332	77.1	96.3	71.1				409	88
6. 2014	36,923	12,142	24,781	81.0	163.2	65.0				886	188
7. 2015	24,658	2,518	22,140	51.4	32.6	55.0				1,478	517
8. 2016	31,573	6,337	25,236	62.5	80.2	59.2				3,486	1,188
9. 2017	35,735	6,152	29,583	68.5	77.3	66.9				5,026	2,195
10. 2018	34,453	6,217	28,236	59.5	57.8	59.9				7,928	3,643
11. 2019	46,650	13,086	33,563	74.3	131.8	63.5				13,177	5,101
12. Totals	X X X	X X X	X X X	X X X	X X X	X X X			X X X	32,813	12,993

Note: Parts 2 and 4 are gross of all discounting, including tabular discounting. Part 1 is gross of only nontabular discounting, which is reported in Columns 32 and 33 of Part 1. The tabular discount, if any, is reported in the Notes to Financial Statements which will reconcile Part 1 with Parts 2 and 4.

## SCHEDULE P - PART 2 - SUMMARY

Years in Which Losses Were Incurred	INCURRED NET LOSSES AND DEFENSE AND COST CONTAINMENT EXPENSES REPORTED AT YEAR END (\$000 OMITTED)										DEVELOPMENT	
	1	2	3	4	5	6	7	8	9	10	11	12
	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	One Year	Two Year
1. Prior	24,578	22,180	21,259	20,334	19,693	19,251	19,324	19,311	19,302	19,283	(19)	(28)
2. 2010	14,708	14,597	14,022	14,001	13,931	13,659	13,446	13,411	13,398	13,400	2	(11)
3. 2011	XXX	18,166	17,225	16,666	16,511	16,418	16,218	15,958	15,797	15,775	(22)	(184)
4. 2012	XXX	XXX	17,323	16,263	15,796	15,899	16,277	16,207	16,012	15,857	(154)	(350)
5. 2013	XXX	XXX	XXX	20,273	19,832	18,851	18,111	18,421	18,151	18,312	161	(109)
6. 2014	XXX	XXX	XXX	XXX	20,625	20,393	20,553	21,256	21,027	20,912	(114)	(344)
7. 2015	XXX	XXX	XXX	XXX	XXX	22,753	18,995	18,018	17,305	17,757	451	(262)
8. 2016	XXX	XXX	XXX	XXX	XXX	XXX	24,179	20,773	21,832	22,233	401	1,460
9. 2017	XXX	XXX	XXX	XXX	XXX	XXX	XXX	28,149	26,547	26,901	353	(1,248)
10. 2018	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	27,775	25,524	(2,251)	XXX
11. 2019	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	29,303	XXX	XXX
12. TOTALS											(1,193)	(1,075)

## SCHEDULE P - PART 3 - SUMMARY

Years in Which Losses Were Incurred	CUMULATIVE PAID NET LOSSES AND DEFENSE AND COST CONTAINMENT EXPENSES REPORTED AT YEAR END (\$000 OMITTED)										11	12
	1	2	3	4	5	6	7	8	9	10	Number of Claims Closed With Loss Payment	Number of Claims Closed Without Loss Payment
	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019		
1. Prior	000	7,476	12,353	15,568	17,281	18,045	18,642	19,056	19,132	19,142	XXX	XXX
2. 2010	4,193	7,250	9,161	11,089	12,216	12,793	13,088	13,160	13,286	13,359	XXX	XXX
3. 2011	XXX	6,689	9,311	10,909	12,616	14,361	15,169	15,622	15,702	15,738	XXX	XXX
4. 2012	XXX	XXX	5,815	8,564	10,545	12,565	14,499	15,226	15,552	15,606	XXX	XXX
5. 2013	XXX	XXX	XXX	7,971	10,977	13,581	15,584	16,781	17,327	17,832	XXX	XXX
6. 2014	XXX	XXX	XXX	XXX	7,263	10,299	14,924	18,173	19,471	19,880	XXX	XXX
7. 2015	XXX	XXX	XXX	XXX	XXX	5,922	9,695	12,115	14,179	15,860	XXX	XXX
8. 2016	XXX	XXX	XXX	XXX	XXX	XXX	7,023	11,726	14,109	17,757	XXX	XXX
9. 2017	XXX	XXX	XXX	XXX	XXX	XXX	XXX	10,804	16,953	20,024	XXX	XXX
10. 2018	XXX	XXX	XXX	XXX	XXX	XXX	XXX	9,540	14,940		XXX	XXX
11. 2019	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	12,242		XXX	XXX

## SCHEDULE P - PART 4 - SUMMARY

Years in Which Losses Were Incurred	BULK AND IBNR RESERVES ON NET LOSSES AND DEFENSE AND COST CONTAINMENT EXPENSES REPORTED AT YEAR END (\$000 OMITTED)									
	1	2	3	4	5	6	7	8	9	10
	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
1. Prior	9,079	4,577	2,500	1,285	591	165	85	33	14	1
2. 2010	5,297	3,072	1,798	944	591	355	132	65	31	19
3. 2011	XXX	5,960	3,485	1,697	1,034	486	364	69	7	4
4. 2012	XXX	XXX	6,416	3,330	1,442	747	573	191	68	13
5. 2013	XXX	XXX	XXX	5,852	4,030	2,070	603	548	219	83
6. 2014	XXX	XXX	XXX	XXX	6,495	2,850	1,143	869	516	270
7. 2015	XXX	XXX	XXX	XXX	XXX	10,403	5,270	2,839	953	477
8. 2016	XXX	XXX	XXX	XXX	XXX	XXX	10,665	4,404	2,863	1,007
9. 2017	XXX	XXX	XXX	XXX	XXX	XXX	XXX	10,336	4,568	2,445
10. 2018	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	9,681	5,015
11. 2019	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	9,701

35 Schedule P - Part 1A - Homeowners/Farmowners ..... NONE

36 Schedule P - Part 1B - Private Passenger Auto Liability/Medical ..... NONE

37 Schedule P - Part 1C - Comm. Auto/Truck Liability/Medical ..... NONE

**SCHEDULE P - PART 1D  
WORKERS' COMPENSATION  
(EXCLUDING EXCESS WORKERS' COMPENSATION)**

(\$000 omitted)

Years in Which Premiums Were Earned and Losses Were Incurred	Premiums Earned			Loss and Loss Expense Payments								12 Number of Claims Reported - Direct and Assumed
	1 Direct and Assumed	2 Ceded	3 Net (Columns 1 - 2)	Loss Payments		Defense and Cost Containment Payments		Adjusting and Other Payments		10 Salvage and Subrogation Received	11 Total Net Paid (Columns 4 - 5 + 6 - 7 + 8 - 9)	
				4 Direct and Assumed	5 Ceded	6 Direct and Assumed	7 Ceded	8 Direct and Assumed	9 Ceded			
1. Prior ...	X X X ...	X X X ...	X X X ...									X X X ...
2. 2010 ...	4,585	1,522	3,063	3,432	1,053	189	37	387	56	17	2,861	364
3. 2011 ...	6,021	1,644	4,377	4,045	900	281	42	513	66	18	3,831	444
4. 2012 ...	6,154	1,589	4,565	3,127	686	280	58	651	47	8	3,268	446
5. 2013 ...	6,664	1,405	5,260	3,545	554	299	31	727	38		3,948	477
6. 2014 ...	7,403	1,319	6,084	2,135		271		633		15	3,039	548
7. 2015 ...	7,534	889	6,645	2,137		272		468		44	2,876	502
8. 2016 ...	7,819	1,231	6,588	1,890		285		382		49	2,557	433
9. 2017 ...	7,278	1,320	5,958	2,181		222		364		25	2,766	406
10. 2018 ...	7,490	1,125	6,364	2,102		195		224		6	2,522	436
11. 2019 ...	7,641	1,074	6,567	950		73		615		5	1,637	382
12. Totals ...	X X X ...	X X X ...	X X X ...	25,543	3,193	2,366	168	4,965	206	187	29,306	X X X ...

	Losses Unpaid				Defense and Cost Containment Unpaid				Adjusting and Other Unpaid		23 Salvage and Subrogation Anticipated	24 Total Net Losses and Expenses Unpaid	25 Number of Claims Outstanding Direct and Assumed
	Case Basis		Bulk + IBNR		Case Basis		Bulk + IBNR		21 Direct and Assumed	22 Ceded			
	13 Direct and Assumed	14 Ceded	15 Direct and Assumed	16 Ceded	17 Direct and Assumed	18 Ceded	19 Direct and Assumed	20 Ceded					
1. Prior ...	117	30	7		2	2			3	1		96	1
2. 2010 ...	483	464	30		3	3			1			50	1
3. 2011 ...	108	78	(7)						13	13		24	1
4. 2012 ...	458	417	4		9	8			5	5	16	47	5
5. 2013 ...	245	181	49		7	4			4	1		119	2
6. 2014 ...	146		90	1	4				1			239	5
7. 2015 ...	58		42	3	10			19	2	10		135	4
8. 2016 ...	84		37	8	17			46	4	11	1	182	6
9. 2017 ...	231		193	30	38			68	5	14	2	508	16
10. 2018 ...	723		984	96	113			91	7	28	4	1,831	36
11. 2019 ...	1,276		1,907	219	201			156	12	87	7	3,388	153
12. Totals ...	3,930	1,169	3,336	357	404	18		380	30	179	34	6,620	230

	Total Losses and Loss Expenses Incurred			Loss and Loss Expense Percentage (Incurred/Premiums Earned)			Nontabular Discount		34 Inter-Company Pooling Participation Percentage	Net Balance Sheet Reserves After Discount	
	26 Direct and Assumed	27 Ceded	28 Net	29 Direct and Assumed	30 Ceded	31 Net	32 Loss	33 Loss Expense		35 Losses Unpaid	36 Loss Expenses Unpaid
1. Prior ...	X X X ...	X X X ...	X X X ...	X X X ...	X X X ...	X X X ...			X X X ...	95	1
2. 2010 ...	4,525	1,613	2,911	98.7	106.0	95.0				49	1
3. 2011 ...	4,954	1,099	3,855	82.3	66.8	88.1				24	0
4. 2012 ...	4,535	1,220	3,314	73.7	76.8	72.6				45	2
5. 2013 ...	4,876	809	4,067	73.2	57.6	77.3				113	6
6. 2014 ...	3,280	1	3,279	44.3	0.1	53.9				234	5
7. 2015 ...	3,015	4	3,011	40.0	0.5	45.3				97	38
8. 2016 ...	2,751	12	2,739	35.2	0.9	41.6				113	69
9. 2017 ...	3,311	37	3,275	45.5	2.8	55.0				395	114
10. 2018 ...	4,460	108	4,352	59.6	9.6	68.4				1,610	221
11. 2019 ...	5,264	239	5,026	68.9	22.2	76.5				2,964	424
12. Totals ...	X X X ...	X X X ...	X X X ...	X X X ...	X X X ...	X X X ...			X X X ...	5,739	881

# SCHEDULE P - PART 1E

## COMMERCIAL MULTIPLE PERIL

(\$000 omitted)

Years in Which Premiums Were Earned and Losses Were Incurred	Premiums Earned			Loss and Loss Expense Payments							12 Number of Claims Reported - Direct and Assumed	
	1 Direct and Assumed	2 Ceded	3 Net (Columns 1-2)	Loss Payments		Defense and Cost Containment Payments		Adjusting and Other Payments		10 Salvage and Subrogation Received		11 Total Net Paid (Columns 4 - 5 + 6 - 7 + 8 - 9)
				4 Direct and Assumed	5 Ceded	6 Direct and Assumed	7 Ceded	8 Direct and Assumed	9 Ceded			
1. Prior	X X X	X X X	X X X	(1)	1	13	1	15	1	2	24	X X X
2. 2010	16,870	4,949	11,921	14,417	6,989	1,286	357	1,686	124	375	9,920	1,099
3. 2011	18,299	4,358	13,941	13,364	4,743	1,457	279	1,408	66	75	11,140	1,434
4. 2012	20,333	4,979	15,354	19,622	10,394	1,812	421	1,831	183	129	12,266	1,256
5. 2013	22,972	5,118	17,855	17,244	6,660	1,186	97	2,510	227	330	13,956	1,205
6. 2014	25,585	4,762	20,823	18,418	7,875	1,530	155	2,390	99	4,156	14,209	1,326
7. 2015	27,184	5,210	21,974	12,267	2,272	1,415	21	2,699	68	253	14,019	1,066
8. 2016	28,997	5,436	23,561	14,310	3,585	1,110	90	1,856	119	266	13,481	1,103
9. 2017	30,796	5,425	25,371	19,151	3,668	749	101	1,659	101	193	17,689	1,262
10. 2018	34,959	8,379	26,581	15,618	3,837	462	137	1,281	55	280	13,333	1,313
11. 2019	38,602	8,033	30,568	18,935	7,785	73	62	2,169	84	118	13,246	1,262
12. Totals	X X X	X X X	X X X	163,343	57,807	11,092	1,722	19,504	1,128	6,176	133,283	X X X

	Losses Unpaid				Defense and Cost Containment Unpaid				Adjusting and Other Unpaid		23 Salvage and Subrogation Anticipated	24 Total Net Losses and Expenses Unpaid	25 Number of Claims Outstanding Direct and Assumed
	Case Basis		Bulk + IBNR		Case Basis		Bulk + IBNR						
	13 Direct and Assumed	14 Ceded	15 Direct and Assumed	16 Ceded	17 Direct and Assumed	18 Ceded	19 Direct and Assumed	20 Ceded	21 Direct and Assumed	22 Ceded			
1. Prior	50		(8)		6	4	2				10	46	3
2. 2010			(13)	1			2				14	(12)	
3. 2011	1		6	6	1		6		2		1	10	5
4. 2012	242	64	1	0	27	9	2		26	8		216	6
5. 2013	158	10	(6)	3	47	6	15	3	12	1	15	202	9
6. 2014	392		38	(49)	49		51	12	26	3	6	590	7
7. 2015	880		216	60	137		93	4	58	3	22	1,317	22
8. 2016	1,748		977	538	404	18	152	7	139	19	44	2,837	52
9. 2017	2,066	370	1,082	(4)	542	28	394	42	249	32	270	3,866	77
10. 2018	2,388	402	2,750	543	727	13	905	138	626	53	292	6,248	129
11. 2019	6,170	2,226	4,921	1,253	975	32	1,145	45	808	87	336	10,376	387
12. Totals	14,095	3,072	9,964	2,351	2,916	110	2,767	251	1,945	206	1,010	25,696	697

	Total Losses and Loss Expenses Incurred			Loss and Loss Expense Percentage (Incurred/Premiums Earned)			Nontabular Discount		34 Inter-Company Pooling Participation Percentage	Net Balance Sheet Reserves After Discount	
	26 Direct and Assumed	27 Ceded	28 Net	29 Direct and Assumed	30 Ceded	31 Net	32 Loss	33 Loss Expense		35 Losses Unpaid	36 Loss Expenses Unpaid
1. Prior	X X X	X X X	X X X	X X X	X X X	X X X			X X X	42	4
2. 2010	17,379	7,471	9,908	103.0	150.9	83.1				(14)	2
3. 2011	16,244	5,095	11,150	88.8	116.9	80.0				0	9
4. 2012	23,562	11,079	12,483	115.9	222.5	81.3				178	38
5. 2013	21,165	7,007	14,158	92.1	136.9	79.3				138	63
6. 2014	22,894	8,095	14,799	89.5	170.0	71.1				479	112
7. 2015	17,765	2,428	15,337	65.4	46.6	69.8				1,037	281
8. 2016	20,695	4,377	16,318	71.4	80.5	69.3				2,187	650
9. 2017	25,891	4,337	21,554	84.1	79.9	85.0				2,782	1,083
10. 2018	24,758	5,178	19,580	70.8	61.8	73.7				4,193	2,055
11. 2019	35,196	11,575	23,622	91.2	144.1	77.3				7,613	2,763
12. Totals	X X X	X X X	X X X	X X X	X X X	X X X			X X X	18,635	7,061



**40 Schedule P - Part 1F Sn 1 - Medical Professional Liability - Occurrence . . . . . NONE**

**41 Schedule P - Part 1F Sn 2 - Medical Professional Liability - Claims-Made . . . . . NONE**

**42 Schedule P - Part 1G - Special Liab. (Ocn Mar., Aircraft, Boiler & Mchnry) . . . . . NONE**

# SCHEDULE P - PART 1H - SECTION 1

## OTHER LIABILITY - OCCURRENCE

(\$000 omitted)

Years in Which Premiums Were Earned and Losses Were Incurred	Premiums Earned			Loss and Loss Expense Payments						12 Number of Claims Reported - Direct and Assumed		
	1 Direct and Assumed	2 Ceded	3 Net (Columns 1-2)	Loss Payments		Defense and Cost Containment Payments		Adjusting and Other Payments			10 Salvage and Subrogation Received	11 Total Net Paid (Columns 4 - 5 + 6 - 7 + 8 - 9)
				4 Direct and Assumed	5 Ceded	6 Direct and Assumed	7 Ceded	8 Direct and Assumed	9 Ceded			
1. Prior	X X X	X X X	X X X						5		5	X X X
2. 2010	9,884	3,707	6,177	4,145	2,369	1,029	333	1,055	4		3,522	115
3. 2011	10,150	3,345	6,806	3,341	1,525	1,017	277	778	3		3,330	133
4. 2012	11,182	3,666	7,516	2,970	1,680	1,101	68	890		3	3,213	131
5. 2013	11,703	3,399	8,304	3,750	1,496	869	222	1,033	2		3,931	116
6. 2014	12,582	1,359	11,223	8,419	3,790	1,123	195	902	1		6,458	102
7. 2015	13,224	1,624	11,600	1,264		799		1,188			3,250	107
8. 2016	13,694	1,231	12,462	4,579	1,506	777	13	686			4,524	100
9. 2017	14,087	1,211	12,876	2,091	1,137	547	12	417			1,908	100
10. 2018	15,428	1,256	14,172	390		147		274			811	102
11. 2019	16,524	818	15,707	16		43		343			402	62
12. Totals	X X X	X X X	X X X	30,964	13,503	7,452	1,120	7,571	10	3	31,354	X X X

	Losses Unpaid				Defense and Cost Containment Unpaid				Adjusting and Other Unpaid		23 Salvage and Subrogation Anticipated	24 Total Net Losses and Expenses Unpaid	25 Number of Claims Outstanding Direct and Assumed
	Case Basis		Bulk + IBNR		Case Basis		Bulk + IBNR		Unpaid				
	13 Direct and Assumed	14 Ceded	15 Direct and Assumed	16 Ceded	17 Direct and Assumed	18 Ceded	19 Direct and Assumed	20 Ceded	21 Direct and Assumed	22 Ceded			
1. Prior													
2. 2010			1		3				1			4	1
3. 2011			6		2			2	2			7	
4. 2012			2	1				6	2			8	
5. 2013	138	8	33	5	17	5	5	1	3	1		176	3
6. 2014	156		49	31	17	2	62	24	21	3		245	3
7. 2015	268		111	36	67		115	16	66	34		542	12
8. 2016	1,028		548	390	207		206	12	95	27		1,655	28
9. 2017	1,531		781	464	424	3	517	54	224	110		2,847	49
10. 2018	1,593		1,246	713	441		671	135	473	83		3,492	50
11. 2019	745		2,777	921	250		1,402	156	614	196		4,514	35
12. Totals	5,458	8	5,554	2,565	1,426	10	2,985	397	1,500	453		13,490	181

	Total Losses and Loss Expenses Incurred			Loss and Loss Expense Percentage (Incurred/Premiums Earned)			Nontabular Discount		34 Inter-Company Pooling Participation Percentage	Net Balance Sheet Reserves After Discount	
	26 Direct and Assumed	27 Ceded	28 Net	29 Direct and Assumed	30 Ceded	31 Net	32 Loss	33 Loss Expense		35 Losses Unpaid	36 Loss Expenses Unpaid
2. 2010	6,233	2,707	3,526	63.1	73.0	57.1				1	4
3. 2011	5,145	1,808	3,337	50.7	54.0	49.0				3	4
4. 2012	4,971	1,749	3,222	44.5	47.7	42.9				1	8
5. 2013	5,847	1,740	4,107	50.0	51.2	49.5				157	19
6. 2014	10,749	4,046	6,703	85.4	297.7	59.7				173	72
7. 2015	3,878	86	3,792	29.3	5.3	32.7				344	198
8. 2016	8,127	1,948	6,179	59.4	158.2	49.6				1,186	469
9. 2017	6,533	1,779	4,754	46.4	146.9	36.9				1,849	998
10. 2018	5,235	931	4,303	33.9	74.2	30.4				2,125	1,367
11. 2019	6,189	1,273	4,916	37.5	155.6	31.3				2,600	1,914
12. Totals	X X X	X X X	X X X	X X X	X X X	X X X			X X X	8,439	5,051

- 44 Schedule P - Part 1H Sn 2 - Other Liability - Claims-Made ..... NONE
  
- 45 Schedule P - Part 1I - Special Property (Fire, Ald. Lines, Inld Mar.) ..... NONE
  
- 46 Schedule P - Part 1J - Auto Physical Damage ..... NONE
  
- 47 Schedule P - Part 1K - Fidelity/Surety ..... NONE
  
- 48 Schedule P - Part 1L - Other (Incl. Credit, Accident and Health) ..... NONE
  
- 49 Schedule P - Part 1M - International ..... NONE
  
- 50 Schedule P - Part 1N - Reins. Nonproportional Assumed Property ..... NONE
  
- 51 Schedule P - Part 1O - Reins. Nonproportional Assumed Liability ..... NONE
  
- 52 Schedule P - Part 1P - Reins. Nonproportional Assumed Financial Lines ..... NONE
  
- 53 Schedule P - Part 1R Sn 1 - Products Liability - Occurrence ..... NONE
  
- 54 Schedule P - Part 1R Sn 2 - Products Liability - Claims-Made ..... NONE
  
- 55 Schedule P - Part 1S - Financial Guaranty/Mortgage Guaranty ..... NONE
  
- 56 Schedule P - Part 1T - Warranty ..... NONE

## SCHEDULE P - PART 2A HOMEOWNERS/FAROWNERS

Years in Which Losses Were Incurred	INCURRED NET LOSSES AND DEFENSE AND COST CONTAINMENT EXPENSES REPORTED AT YEAR END (\$000 OMITTED)										DEVELOPMENT	
	1 2010	2 2011	3 2012	4 2013	5 2014	6 2015	7 2016	8 2017	9 2018	10 2019	11 One Year	12 Two Year
1. Prior												
2. 2010												
3. 2011	XXX											
4. 2012	XXX	XXX										
5. 2013	XXX	XXX	XXX									
6. 2014	XXX	XXX	XXX	XXX								
7. 2015	XXX	XXX	XXX	XXX								
8. 2016	XXX	XXX	XXX	XXX								
9. 2017	XXX	XXX	XXX	XXX								
10. 2018	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX			XXX
11. 2019	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX		XXX	XXX
12. TOTALS												

## SCHEDULE P - PART 2B PRIVATE PASSENGER AUTO LIABILITY/MEDICAL

1. Prior												
2. 2010												
3. 2011	XXX											
4. 2012	XXX	XXX										
5. 2013	XXX	XXX	XXX									
6. 2014	XXX	XXX	XXX	XXX								
7. 2015	XXX	XXX	XXX	XXX								
8. 2016	XXX	XXX	XXX	XXX								
9. 2017	XXX	XXX	XXX	XXX								
10. 2018	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX			XXX
11. 2019	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX		XXX	XXX
12. TOTALS												

## SCHEDULE P - PART 2C COMMERCIAL AUTO/TRUCK LIABILITY/MEDICAL

1. Prior												
2. 2010												
3. 2011	XXX											
4. 2012	XXX	XXX										
5. 2013	XXX	XXX	XXX									
6. 2014	XXX	XXX	XXX	XXX								
7. 2015	XXX	XXX	XXX	XXX								
8. 2016	XXX	XXX	XXX	XXX								
9. 2017	XXX	XXX	XXX	XXX								
10. 2018	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX			XXX
11. 2019	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX		XXX	XXX
12. TOTALS												

## SCHEDULE P - PART 2D WORKERS' COMPENSATION (EXCLUDING EXCESS WORKERS' COMPENSATION)

1. Prior	1,915	1,438	1,271	1,094	984	942	940	942	940	937	(4)	(6)
2. 2010	2,398	2,796	2,783	2,737	2,635	2,584	2,581	2,599	2,583	2,579	(4)	(20)
3. 2011	XXX	3,591	3,574	3,568	3,466	3,509	3,474	3,463	3,412	3,408	(4)	(55)
4. 2012	XXX	XXX	3,012	2,771	2,579	2,505	2,689	2,690	2,707	2,710	3	20
5. 2013	XXX	XXX	XXX	3,765	3,487	3,629	3,397	3,359	3,354	3,374	20	15
6. 2014	XXX	XXX	XXX	XXX	3,646	3,534	2,955	2,698	2,678	2,645	(34)	(53)
7. 2015	XXX	XXX	XXX	XXX	XXX	4,269	3,768	2,787	2,494	2,533	39	(254)
8. 2016	XXX	XXX	XXX	XXX	XXX	XXX	3,840	3,487	2,810	2,346	(464)	(1,141)
9. 2017	XXX	XXX	XXX	XXX	XXX	XXX	XXX	3,582	3,360	2,898	(462)	(684)
10. 2018	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	4,187	4,104	(82)	XXX
11. 2019	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	4,331	XXX	XXX
12. TOTALS											(991)	(2,177)

## SCHEDULE P - PART 2E COMMERCIAL MULTIPLE PERIL

1. Prior	11,458	10,706	10,648	10,671	10,644	10,762	10,737	10,880	10,764	10,749	(15)	(131)
2. 2010	9,080	8,648	8,311	8,337	8,377	8,427	8,351	8,327	8,327	8,346	19	19
3. 2011	XXX	11,220	10,422	10,386	10,170	10,069	10,017	9,902	9,821	9,806	(15)	(96)
4. 2012	XXX	XXX	10,798	10,242	10,193	10,666	11,039	11,104	10,943	10,817	(126)	(287)
5. 2013	XXX	XXX	XXX	13,467	12,847	11,625	11,730	12,118	11,956	11,865	(91)	(253)
6. 2014	XXX	XXX	XXX	XXX	13,632	12,150	12,393	12,928	12,751	12,485	(266)	(443)
7. 2015	XXX	XXX	XXX	XXX	XXX	13,620	11,792	11,777	11,919	12,651	732	874
8. 2016	XXX	XXX	XXX	XXX	XXX	XXX	14,937	12,810	14,321	14,462	141	1,652
9. 2017	XXX	XXX	XXX	XXX	XXX	XXX	XXX	19,452	19,850	19,780	(70)	328
10. 2018	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	19,017	17,781	(1,236)	XXX
11. 2019	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	20,817	XXX	XXX
12. TOTALS											(927)	1,663

**SCHEDULE P - PART 2F - SECTION 1  
MEDICAL PROFESSIONAL LIABILITY - OCCURRENCE**

Years in Which Losses Were Incurred	INCURRED NET LOSSES AND DEFENSE AND COST CONTAINMENT EXPENSES REPORTED AT YEAR END (\$000 OMITTED)										DEVELOPMENT	
	1 2010	2 2011	3 2012	4 2013	5 2014	6 2015	7 2016	8 2017	9 2018	10 2019	11 One Year	12 Two Year
1. Prior												
2. 2010												
3. 2011	XXX											
4. 2012	XXX	XXX										
5. 2013	XXX	XXX	XXX									
6. 2014	XXX	XXX	XXX	XXX								
7. 2015	XXX	XXX	XXX	XXX								
8. 2016	XXX	XXX	XXX	XXX								
9. 2017	XXX	XXX	XXX	XXX								
10. 2018	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX			XXX
11. 2019	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX		XXX	XXX
12. TOTALS												

**SCHEDULE P - PART 2F - SECTION 2  
MEDICAL PROFESSIONAL LIABILITY - CLAIMS MADE**

1. Prior												
2. 2010												
3. 2011	XXX											
4. 2012	XXX	XXX										
5. 2013	XXX	XXX	XXX									
6. 2014	XXX	XXX	XXX	XXX								
7. 2015	XXX	XXX	XXX	XXX								
8. 2016	XXX	XXX	XXX	XXX								
9. 2017	XXX	XXX	XXX	XXX								
10. 2018	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX			XXX
11. 2019	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX		XXX	XXX
12. TOTALS												

**SCHEDULE P - PART 2G  
SPECIAL LIABILITY (OCEAN MARINE, AIRCRAFT (ALL PERILS), BOILER AND MACHINERY)**

1. Prior												
2. 2010												
3. 2011	XXX											
4. 2012	XXX	XXX										
5. 2013	XXX	XXX	XXX									
6. 2014	XXX	XXX	XXX	XXX								
7. 2015	XXX	XXX	XXX	XXX								
8. 2016	XXX	XXX	XXX	XXX								
9. 2017	XXX	XXX	XXX	XXX								
10. 2018	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX			XXX
11. 2019	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX		XXX	XXX
12. TOTALS												

**SCHEDULE P - PART 2H - SECTION 1  
OTHER LIABILITY - OCCURRENCE**

1. Prior	11,205	10,037	9,340	8,568	8,065	7,547	7,647	7,488	7,597	7,597	(1)	109
2. 2010	3,229	3,154	2,928	2,927	2,919	2,648	2,514	2,485	2,489	2,475	(14)	(10)
3. 2011	XXX	3,354	3,228	2,712	2,876	2,841	2,728	2,594	2,564	2,561	(3)	(33)
4. 2012	XXX	XXX	3,514	3,250	3,025	2,729	2,548	2,413	2,362	2,330	(32)	(83)
5. 2013	XXX	XXX	XXX	3,041	3,498	3,597	2,985	2,945	2,841	3,074	232	129
6. 2014	XXX	XXX	XXX	XXX	3,347	4,709	5,206	5,631	5,598	5,783	186	153
7. 2015	XXX	XXX	XXX	XXX	XXX	4,864	3,434	3,455	2,891	2,572	(319)	(882)
8. 2016	XXX	XXX	XXX	XXX	XXX	XXX	5,403	4,476	4,701	5,424	724	949
9. 2017	XXX	XXX	XXX	XXX	XXX	XXX	XXX	5,115	3,337	4,223	886	(892)
10. 2018	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	4,571	3,639	(932)	XXX
11. 2019	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	4,155	XXX	XXX
12. TOTALS											726	(562)

**SCHEDULE P - PART 2H - SECTION 2  
OTHER LIABILITY - CLAIMS-MADE**

1. Prior												
2. 2010												
3. 2011	XXX											
4. 2012	XXX	XXX										
5. 2013	XXX	XXX	XXX									
6. 2014	XXX	XXX	XXX	XXX								
7. 2015	XXX	XXX	XXX	XXX								
8. 2016	XXX	XXX	XXX	XXX								
9. 2017	XXX	XXX	XXX	XXX								
10. 2018	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX			XXX
11. 2019	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX		XXX	XXX
12. TOTALS												

- 59 Schedule P - Part 2I - Special Property (Fire, Ald. Lines, Inld Mar.) ..... NONE
  
- 59 Schedule P - Part 2J - Auto Physical Damage ..... NONE
  
- 59 Schedule P - Part 2K - Fidelity/Surety ..... NONE
  
- 59 Schedule P - Part 2L - Other (Incl. Credit, Accident and Health) ..... NONE
  
- 59 Schedule P - Part 2M - International ..... NONE
  
- 60 Schedule P - Part 2N - Reins. Nonproportional Assumed Property ..... NONE
  
- 60 Schedule P - Part 2O - Reins. Nonproportional Assumed Liability ..... NONE
  
- 60 Schedule P - Part 2P - Reins. Nonproportional Assumed Financial Lines ..... NONE
  
- 61 Schedule P - Part 2R Sn 1 - Products Liability - Occurrence ..... NONE
  
- 61 Schedule P - Part 2R Sn 2 - Products Liability - Claims-Made ..... NONE
  
- 61 Schedule P - Part 2S - Financial Guaranty/Mortgage Guaranty ..... NONE
  
- 61 Schedule P - Part 2T - Warranty ..... NONE

**SCHEDULE P - PART 3A  
HOMEOWNERS/FAROWNERS**

Years in Which Losses Were Incurred	CUMULATIVE PAID NET LOSSES AND DEFENSE AND COST CONTAINMENT EXPENSES REPORTED AT YEAR END (\$000 OMITTED)										11 Number of Claims Closed With Loss Payment	12 Number of Claims Closed Without Loss Payment	
	1 2010	2 2011	3 2012	4 2013	5 2014	6 2015	7 2016	8 2017	9 2018	10 2019			
1. Prior	000												
2. 2010													
3. 2011	XXX												
4. 2012	XXX	XXX											
5. 2013	XXX	XXX	XXX										
6. 2014	XXX	XXX	XXX	XXX									
7. 2015	XXX	XXX	XXX	XXX	XXX								
8. 2016	XXX	XXX	XXX	XXX	XXX	XXX							
9. 2017	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX					
10. 2018	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX				
11. 2019	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX			

**SCHEDULE P - PART 3B  
PRIVATE PASSENGER AUTO LIABILITY/MEDICAL**

1. Prior	000												
2. 2010													
3. 2011	XXX												
4. 2012	XXX	XXX											
5. 2013	XXX	XXX	XXX										
6. 2014	XXX	XXX	XXX	XXX									
7. 2015	XXX	XXX	XXX	XXX	XXX								
8. 2016	XXX	XXX	XXX	XXX	XXX	XXX							
9. 2017	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX					
10. 2018	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX				
11. 2019	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX			

**SCHEDULE P - PART 3C  
COMMERCIAL AUTO/TRUCK LIABILITY/MEDICAL**

1. Prior	000												
2. 2010													
3. 2011	XXX												
4. 2012	XXX	XXX											
5. 2013	XXX	XXX	XXX										
6. 2014	XXX	XXX	XXX	XXX									
7. 2015	XXX	XXX	XXX	XXX	XXX								
8. 2016	XXX	XXX	XXX	XXX	XXX	XXX							
9. 2017	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX					
10. 2018	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX				
11. 2019	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX			

**SCHEDULE P - PART 3D  
WORKERS' COMPENSATION  
(EXCLUDING EXCESS WORKERS' COMPENSATION)**

1. Prior	000	430	638	803	818	836	834	842	842	842			
2. 2010	970	1,925	2,220	2,367	2,463	2,490	2,496	2,501	2,529	2,530	377		(14)
3. 2011	XXX	1,220	2,288	2,709	2,961	3,165	3,373	3,381	3,384	3,384	479		(36)
4. 2012	XXX	XXX	725	1,517	1,823	2,166	2,475	2,579	2,655	2,664	545		(104)
5. 2013	XXX	XXX	XXX	1,060	2,034	2,669	3,002	3,185	3,249	3,258	616		(141)
6. 2014	XXX	XXX	XXX	XXX	1,013	1,837	2,103	2,374	2,390	2,406	484		59
7. 2015	XXX	XXX	XXX	XXX	XXX	953	1,872	2,160	2,284	2,408	442		56
8. 2016	XXX	XXX	XXX	XXX	XXX	XXX	896	1,772	2,009	2,175	419		8
9. 2017	XXX	XXX	XXX	XXX	XXX	XXX	XXX	835	2,031	2,402	342		48
10. 2018	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	1,134	2,297	344		56
11. 2019	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	1,022	170		59

**SCHEDULE P - PART 3E  
COMMERCIAL MULTIPLE PERIL**

1. Prior	000	3,307	5,911	8,104	9,498	10,019	10,364	10,781	10,694	10,703	1	2
2. 2010	3,217	5,042	6,068	7,032	7,543	7,870	8,161	8,222	8,310	8,357	817	282
3. 2011	XXX	5,452	6,812	7,561	8,439	9,062	9,403	9,744	9,762	9,798	1,093	336
4. 2012	XXX	XXX	5,079	6,873	7,744	8,651	9,891	10,339	10,575	10,619	1,112	138
5. 2013	XXX	XXX	XXX	6,905	8,758	9,552	10,399	11,260	11,574	11,674	1,243	(47)
6. 2014	XXX	XXX	XXX	XXX	6,242	8,108	9,876	11,180	11,983	11,918	910	409
7. 2015	XXX	XXX	XXX	XXX	XXX	4,956	7,474	8,876	10,089	11,389	594	450
8. 2016	XXX	XXX	XXX	XXX	XXX	XXX	6,087	8,385	9,876	11,745	607	444
9. 2017	XXX	XXX	XXX	XXX	XXX	XXX	XXX	9,932	14,665	16,131	647	538
10. 2018	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	8,383	12,106	555	629
11. 2019	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	11,161	474	401

**SCHEDULE P - PART 3F SECTION 1  
MEDICAL PROFESSIONAL LIABILITY - OCCURRENCE**

Years in Which Losses Were Incurred	CUMULATIVE PAID NET LOSSES AND DEFENSE AND COST CONTAINMENT EXPENSES REPORTED AT YEAR END (\$000 OMITTED)										11 Number of Claims Closed With Loss Payment	12 Number of Claims Closed Without Loss Payment	
	1 2010	2 2011	3 2012	4 2013	5 2014	6 2015	7 2016	8 2017	9 2018	10 2019			
1. Prior	000												
2. 2010													
3. 2011	XXX												
4. 2012	XXX	XXX											
5. 2013	XXX	XXX	XXX										
6. 2014	XXX	XXX	XXX	XXX									
7. 2015	XXX	XXX	XXX	XXX	XXX								
8. 2016	XXX	XXX	XXX	XXX	XXX	XXX							
9. 2017	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX					
10. 2018	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX				
11. 2019	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX			

**SCHEDULE P - PART 3F SECTION 2  
MEDICAL PROFESSIONAL LIABILITY - CLAIMS MADE**

1. Prior	000												
2. 2010													
3. 2011	XXX												
4. 2012	XXX	XXX											
5. 2013	XXX	XXX	XXX										
6. 2014	XXX	XXX	XXX	XXX									
7. 2015	XXX	XXX	XXX	XXX	XXX								
8. 2016	XXX	XXX	XXX	XXX	XXX	XXX							
9. 2017	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX					
10. 2018	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX				
11. 2019	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX			

**SCHEDULE P - PART 3G  
SPECIAL LIABILITY (OCEAN MARINE, AIRCRAFT (ALL PERILS), BOILER AND MACHINERY)**

1. Prior	000											XXX	XXX
2. 2010												XXX	XXX
3. 2011	XXX											XXX	XXX
4. 2012	XXX	XXX										XXX	XXX
5. 2013	XXX	XXX	XXX									XXX	XXX
6. 2014	XXX	XXX	XXX	XXX								XXX	XXX
7. 2015	XXX	XXX	XXX	XXX	XXX							XXX	XXX
8. 2016	XXX	XXX	XXX	XXX	XXX	XXX						XXX	XXX
9. 2017	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX				XXX	XXX
10. 2018	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX			XXX	XXX
11. 2019	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX		XXX	XXX

**SCHEDULE P - PART 3H SECTION 1  
OTHER LIABILITY - OCCURRENCE**

1. Prior	000	3,739	5,803	6,661	6,965	7,190	7,443	7,433	7,597	7,597	1	(1)
2. 2010	7	283	873	1,690	2,210	2,433	2,431	2,437	2,447	2,472	116	(2)
3. 2011	XXX	17	211	640	1,217	2,135	2,393	2,497	2,556	2,556	135	(2)
4. 2012	XXX	XXX	11	175	979	1,749	2,133	2,308	2,322	2,323	128	3
5. 2013	XXX	XXX	XXX	6	185	1,360	2,182	2,336	2,504	2,901	96	17
6. 2014	XXX	XXX	XXX	XXX	8	354	2,945	4,619	5,098	5,556	84	15
7. 2015	XXX	XXX	XXX	XXX	XXX	13	350	1,079	1,806	2,063	84	11
8. 2016	XXX	XXX	XXX	XXX	XXX	XXX	40	1,569	2,223	3,837	54	18
9. 2017	XXX	XXX	XXX	XXX	XXX	XXX	XXX	37	257	1,490	28	23
10. 2018	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	23	537	31	21
11. 2019	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	59	14	13

**SCHEDULE P - PART 3H SECTION 2  
OTHER LIABILITY - CLAIMS MADE**

1. Prior	000											
2. 2010												
3. 2011	XXX											
4. 2012	XXX	XXX										
5. 2013	XXX	XXX	XXX									
6. 2014	XXX	XXX	XXX	XXX								
7. 2015	XXX	XXX	XXX	XXX	XXX							
8. 2016	XXX	XXX	XXX	XXX	XXX	XXX						
9. 2017	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX				
10. 2018	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX			
11. 2019	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX		



- 64 Schedule P - Part 3I - Special Property (Fire, Ald. Lines, Inld Mar.) ..... NONE
  
- 64 Schedule P - Part 3J - Auto Physical Damage ..... NONE
  
- 64 Schedule P - Part 3K - Fidelity/Surety ..... NONE
  
- 64 Schedule P - Part 3L - Other (Incl. Credit, Accident and Health) ..... NONE
  
- 64 Schedule P - Part 3M - International ..... NONE
  
- 65 Schedule P - Part 3N - Reins. Nonproportional Assumed Property ..... NONE
  
- 65 Schedule P - Part 3O - Reins. Nonproportional Assumed Liability ..... NONE
  
- 65 Schedule P - Part 3P - Reins. Nonproportional Assumed Financial Lines ..... NONE
  
- 66 Schedule P - Part 3R Sn 1 - Products Liability - Occurrence ..... NONE
  
- 66 Schedule P - Part 3R Sn 2 - Products Liability - Claims-Made ..... NONE
  
- 66 Schedule P - Part 3S - Financial Guaranty/Mortgage Guaranty ..... NONE
  
- 66 Schedule P - Part 3T - Warranty ..... NONE

**SCHEDULE P - PART 4A  
HOMEOWNERS/FAROWNERS**

Years in Which Losses Were Incurred	BULK AND IBNR RESERVES ON NET LOSSES AND DEFENSE AND COST CONTAINMENT EXPENSES REPORTED AT YEAR END (\$000 OMITTED)									
	1 2010	2 2011	3 2012	4 2013	5 2014	6 2015	7 2016	8 2017	9 2018	10 2019
1. Prior										
2. 2010										
3. 2011	XXX									
4. 2012	XXX	XXX								
5. 2013	XXX	XXX	XXX							
6. 2014	XXX	XXX	XXX							
7. 2015	XXX	XXX	XXX							
8. 2016	XXX	XXX	XXX							
9. 2017	XXX	XXX	XXX	XXX	XXX	XXX	XXX			
10. 2018	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX		
11. 2019	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	

**SCHEDULE P - PART 4B**

**PRIVATE PASSENGER AUTO LIABILITY/MEDICAL**

1. Prior										
2. 2010										
3. 2011	XXX									
4. 2012	XXX	XXX								
5. 2013	XXX	XXX	XXX							
6. 2014	XXX	XXX	XXX							
7. 2015	XXX	XXX	XXX							
8. 2016	XXX	XXX	XXX							
9. 2017	XXX	XXX	XXX	XXX	XXX	XXX	XXX			
10. 2018	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX		
11. 2019	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	

**SCHEDULE P - PART 4C**

**COMMERCIAL AUTO/TRUCK LIABILITY/MEDICAL**

1. Prior										
2. 2010										
3. 2011	XXX									
4. 2012	XXX	XXX								
5. 2013	XXX	XXX	XXX							
6. 2014	XXX	XXX	XXX							
7. 2015	XXX	XXX	XXX							
8. 2016	XXX	XXX	XXX							
9. 2017	XXX	XXX	XXX	XXX	XXX	XXX	XXX			
10. 2018	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX		
11. 2019	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	

**SCHEDULE P - PART 4D**

**WORKERS' COMPENSATION  
(EXCLUDING EXCESS WORKERS' COMPENSATION)**

1. Prior	1,186	555	358	110	30	14	16	11	9	7
2. 2010	701	397	300	191	94	34	30	35	31	30
3. 2011	XXX	1,143	477	310	188	84	37	13	(4)	(7)
4. 2012	XXX	XXX	1,573	714	368	105	83	39	22	4
5. 2013	XXX	XXX	XXX	1,612	662	339	109	64	51	49
6. 2014	XXX	XXX	XXX	XXX	1,503	1,040	304	119	102	88
7. 2015	XXX	XXX	XXX	XXX	XXX	1,973	1,424	412	50	57
8. 2016	XXX	XXX	XXX	XXX	XXX	XXX	2,063	1,442	399	71
9. 2017	XXX	XXX	XXX	XXX	XXX	XXX	XXX	2,146	913	226
10. 2018	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	1,909	971
11. 2019	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	1,831

**SCHEDULE P - PART 4E**

**COMMERCIAL MULTIPLE PERIL**

1. Prior	4,697	2,273	806	483	176	96	49	15	4	(6)
2. 2010	2,443	1,674	879	315	83	138	52	23	(5)	(12)
3. 2011	XXX	2,668	1,916	764	311	181	158	22	4	6
4. 2012	XXX	XXX	2,830	1,617	526	277	262	87	15	2
5. 2013	XXX	XXX	XXX	2,438	2,472	892	241	277	113	3
6. 2014	XXX	XXX	XXX	XXX	2,586	924	241	364	236	126
7. 2015	XXX	XXX	XXX	XXX	XXX	4,731	2,365	1,186	545	245
8. 2016	XXX	XXX	XXX	XXX	XXX	XXX	4,580	1,848	1,664	583
9. 2017	XXX	XXX	XXX	XXX	XXX	XXX	XXX	4,369	2,592	1,439
10. 2018	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	4,744	2,975
11. 2019	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	4,768

**SCHEDULE P - PART 4F SECTION 1  
MEDICAL PROFESSIONAL LIABILITY - OCCURRENCE**

Years in Which Losses Were Incurred	BULK AND IBNR RESERVES ON NET LOSSES AND DEFENSE AND COST CONTAINMENT EXPENSES REPORTED AT YEAR END (\$000 OMITTED)									
	1 2010	2 2011	3 2012	4 2013	5 2014	6 2015	7 2016	8 2017	9 2018	10 2019
1. Prior										
2. 2010										
3. 2011	XXX									
4. 2012	XXX	XXX								
5. 2013	XXX	XXX	XXX							
6. 2014	XXX	XXX	XXX							
7. 2015	XXX	XXX	XXX							
8. 2016	XXX	XXX	XXX							
9. 2017	XXX	XXX	XXX	XXX	XXX	XXX	XXX			
10. 2018	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX		
11. 2019	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	

**SCHEDULE P - PART 4F - SECTION 2  
MEDICAL PROFESSIONAL LIABILITY - CLAIMS MADE**

1. Prior										
2. 2010										
3. 2011	XXX									
4. 2012	XXX	XXX								
5. 2013	XXX	XXX	XXX							
6. 2014	XXX	XXX	XXX							
7. 2015	XXX	XXX	XXX							
8. 2016	XXX	XXX	XXX							
9. 2017	XXX	XXX	XXX	XXX	XXX	XXX	XXX			
10. 2018	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX		
11. 2019	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	

**SCHEDULE P - PART 4G  
SPECIAL LIABILITY (OCEAN MARINE, AIRCRAFT (ALL PERILS), BOILER AND MACHINERY)**

1. Prior										
2. 2010										
3. 2011	XXX									
4. 2012	XXX	XXX								
5. 2013	XXX	XXX	XXX							
6. 2014	XXX	XXX	XXX							
7. 2015	XXX	XXX	XXX							
8. 2016	XXX	XXX	XXX							
9. 2017	XXX	XXX	XXX	XXX	XXX	XXX	XXX			
10. 2018	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX		
11. 2019	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	

**SCHEDULE P - PART 4H - SECTION 1  
OTHER LIABILITY - OCCURRENCE**

1. Prior	3,197	1,749	1,336	692	385	55	20	6	1	
2. 2010	2,153	1,001	620	438	414	183	50	8	5	1
3. 2011	XXX	2,148	1,092	624	535	221	169	33	7	5
4. 2012	XXX	XXX	2,013	999	548	365	227	65	32	7
5. 2013	XXX	XXX	XXX	1,802	896	839	253	207	56	32
6. 2014	XXX	XXX	XXX	XXX	2,406	886	599	386	178	56
7. 2015	XXX	XXX	XXX	XXX	XXX	3,699	1,481	1,242	357	175
8. 2016	XXX	XXX	XXX	XXX	XXX	XXX	4,022	1,115	800	352
9. 2017	XXX	XXX	XXX	XXX	XXX	XXX	XXX	3,821	1,063	780
10. 2018	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	3,029	1,069
11. 2019	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	3,102

**SCHEDULE P - PART 4H - SECTION 2  
OTHER LIABILITY - CLAIMS MADE**

1. Prior										
2. 2010										
3. 2011	XXX									
4. 2012	XXX	XXX								
5. 2013	XXX	XXX	XXX							
6. 2014	XXX	XXX	XXX							
7. 2015	XXX	XXX	XXX							
8. 2016	XXX	XXX	XXX							
9. 2017	XXX	XXX	XXX	XXX	XXX	XXX	XXX			
10. 2018	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX		
11. 2019	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	

69	Schedule P - Part 4I - Special Property (Fire, Ald. Lines, Inld Mar.)	NONE
69	Schedule P - Part 4J - Auto Physical Damage	NONE
69	Schedule P - Part 4K - Fidelity/Surety	NONE
69	Schedule P - Part 4L - Other (Incl. Credit, Accident and Health)	NONE
69	Schedule P - Part 4M - International	NONE
70	Schedule P - Part 4N - Reins. Nonproportional Assumed Property	NONE
70	Schedule P - Part 4O - Reins. Nonproportional Assumed Liability	NONE
70	Schedule P - Part 4P - Reins. Nonproportional Assumed Financial Lines	NONE
71	Schedule P - Part 4R Sn 1 - Products Liability - Occurrence	NONE
71	Schedule P - Part 4R Sn 2 - Products Liability - Claims-Made	NONE
71	Schedule P - Part 4S - Financial Guaranty/Mortgage Guaranty	NONE
71	Schedule P - Part 4T - Warranty	NONE
72	Schedule P - Part 5A - Homeowners/Farmowners - Sn 1	NONE
72	Schedule P - Part 5A - Homeowners/Farmowners - Sn 2	NONE
72	Schedule P - Part 5A - Homeowners/Farmowners - Sn 3	NONE
73	Schedule P - Part 5B - Private Passenger Auto Liability/Medical - Sn 1	NONE
73	Schedule P - Part 5B - Private Passenger Auto Liability/Medical - Sn 2	NONE
73	Schedule P - Part 5B - Private Passenger Auto Liability/Medical - Sn 3	NONE
74	Schedule P - Part 5C - Comm. Auto/Truck Liability/Medical - Sn 1	NONE
74	Schedule P - Part 5C - Comm. Auto/Truck Liability/Medical - Sn 2	NONE
74	Schedule P - Part 5C - Comm. Auto/Truck Liability/Medical - Sn 3	NONE

**SCHEDULE P - PART 5D**  
**WORKERS' COMPENSATION**  
**(EXCLUDING EXCESS WORKERS' COMPENSATION)**

## SECTION 1

Years in Which Premiums Were Earned and Losses Were Incurred	CUMULATIVE NUMBER OF CLAIMS CLOSED WITH LOSS PAYMENT DIRECT AND ASSUMED AT YEAR END									
	1	2	3	4	5	6	7	8	9	10
	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
1. Prior	131	6	16	8	12	7		1		
2. 2010	176	312	332	344	362	369	373	374	376	377
3. 2011	XXX	208	369	402	460	466	473	475	479	479
4. 2012	XXX	XXX	234	364	511	525	535	538	543	545
5. 2013	XXX	XXX	XXX	196	554	582	595	608	613	616
6. 2014	XXX	XXX	XXX	XXX	234	427	458	471	481	484
7. 2015	XXX	XXX	XXX	XXX	XXX	209	395	421	434	442
8. 2016	XXX	XXX	XXX	XXX	XXX	XXX	188	351	399	419
9. 2017	XXX	XXX	XXX	XXX	XXX	XXX	XXX	161	310	342
10. 2018	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	163	344
11. 2019	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	170

## SECTION 2

Years in Which Premiums Were Earned and Losses Were Incurred	NUMBER OF CLAIMS OUTSTANDING DIRECT AND ASSUMED AT YEAR END									
	1	2	3	4	5	6	7	8	9	10
	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
1. Prior	41	24	17	12	6	2	4	3	1	1
2. 2010	123	33	21	13	8	5	4	3	2	1
3. 2011	XXX	143	49	29	10	9	3	2	1	1
4. 2012	XXX	XXX	101	27	22	18	8	7	5	5
5. 2013	XXX	XXX	XXX	145	41	24	15	3	1	2
6. 2014	XXX	XXX	XXX	XXX	169	32	19	10	6	5
7. 2015	XXX	XXX	XXX	XXX	XXX	173	34	16	7	4
8. 2016	XXX	XXX	XXX	XXX	XXX	XXX	124	27	14	6
9. 2017	XXX	XXX	XXX	XXX	XXX	XXX	XXX	115	29	16
10. 2018	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	138	36
11. 2019	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	153

## SECTION 3

Years in Which Premiums Were Earned and Losses Were Incurred	CUMULATIVE NUMBER OF CLAIMS REPORTED DIRECT AND ASSUMED AT YEAR END									
	1	2	3	4	5	6	7	8	9	10
	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
1. Prior	59	5	2				1			1
2. 2010	323	362	363	364	364	364	364	364	364	364
3. 2011	XXX	394	439	443	444	444	444	444	444	444
4. 2012	XXX	XXX	396	436	441	445	446	446	446	446
5. 2013	XXX	XXX	XXX	425	474	476	477	477	477	477
6. 2014	XXX	XXX	XXX	XXX	504	542	548	548	548	548
7. 2015	XXX	XXX	XXX	XXX	XXX	456	499	500	501	502
8. 2016	XXX	XXX	XXX	XXX	XXX	XXX	394	429	430	433
9. 2017	XXX	XXX	XXX	XXX	XXX	XXX	XXX	368	406	406
10. 2018	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	380	436
11. 2019	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	382

## SCHEDULE P - PART 5E COMMERCIAL MULTIPLE PERIL

### SECTION 1

Years in Which Premiums Were Earned and Losses Were Incurred	CUMULATIVE NUMBER OF CLAIMS CLOSED WITH LOSS PAYMENT DIRECT AND ASSUMED AT YEAR END									
	1	2	3	4	5	6	7	8	9	10
	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
1. Prior .....	378	233	140	123	169	26	15	8	15	1
2. 2010 .....	386	627	688	721	782	799	811	813	816	817
3. 2011 .....	X X X	600	851	912	1,021	1,059	1,071	1,086	1,092	1,093
4. 2012 .....	X X X	X X X	466	697	990	1,041	1,071	1,093	1,102	1,112
5. 2013 .....	X X X	X X X	X X X	427	1,089	1,162	1,190	1,222	1,237	1,243
6. 2014 .....	X X X	X X X	X X X	X X X	560	742	811	864	898	910
7. 2015 .....	X X X	X X X	X X X	X X X	X X X	237	468	538	565	594
8. 2016 .....	X X X	X X X	X X X	X X X	X X X	X X X	298	504	575	607
9. 2017 .....	X X X	X X X	X X X	X X X	X X X	X X X	X X X	316	578	647
10. 2018 .....	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	282	555
11. 2019 .....	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	474

### SECTION 2

Years in Which Premiums Were Earned and Losses Were Incurred	NUMBER OF CLAIMS OUTSTANDING DIRECT AND ASSUMED AT YEAR END									
	1	2	3	4	5	6	7	8	9	10
	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
1. Prior .....	461	305	210	105	45	23	12	7	4	3
2. 2010 .....	349	101	70	45	24	12	4	4	1	
3. 2011 .....	X X X	338	122	102	63	33	22	10	6	5
4. 2012 .....	X X X	X X X	324	140	99	61	36	20	15	6
5. 2013 .....	X X X	X X X	X X X	362	128	62	46	23	13	9
6. 2014 .....	X X X	X X X	X X X	X X X	338	117	79	40	15	7
7. 2015 .....	X X X	X X X	X X X	X X X	X X X	337	102	53	42	22
8. 2016 .....	X X X	X X X	X X X	X X X	X X X	X X X	285	104	72	52
9. 2017 .....	X X X	X X X	X X X	X X X	X X X	X X X	X X X	301	112	77
10. 2018 .....	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	357	129
11. 2019 .....	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	387

### SECTION 3

Years in Which Premiums Were Earned and Losses Were Incurred	CUMULATIVE NUMBER OF CLAIMS REPORTED DIRECT AND ASSUMED AT YEAR END									
	1	2	3	4	5	6	7	8	9	10
	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
1. Prior .....	156	36		1	1	1	2			6
2. 2010 .....	989	1,077	1,095	1,097	1,097	1,099	1,099	1,099	1,099	1,099
3. 2011 .....	X X X	1,308	1,401	1,428	1,432	1,433	1,433	1,434	1,434	1,434
4. 2012 .....	X X X	X X X	1,094	1,214	1,245	1,251	1,252	1,255	1,255	1,256
5. 2013 .....	X X X	X X X	X X X	1,062	1,185	1,196	1,201	1,203	1,203	1,205
6. 2014 .....	X X X	X X X	X X X	X X X	1,198	1,296	1,317	1,324	1,326	1,326
7. 2015 .....	X X X	X X X	X X X	X X X	X X X	944	1,043	1,057	1,064	1,066
8. 2016 .....	X X X	X X X	X X X	X X X	X X X	X X X	968	1,077	1,097	1,103
9. 2017 .....	X X X	X X X	X X X	X X X	X X X	X X X	X X X	1,111	1,241	1,262
10. 2018 .....	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	1,193	1,313
11. 2019 .....	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	1,262

77 Schedule P - Part 5F - Medical Professional Liability - Occurrence - Sn 1A . . . NONE

77 Schedule P - Part 5F - Medical Professional Liability - Occurrence - Sn 2A . . . NONE

77 Schedule P - Part 5F - Medical Professional Liability - Occurrence - Sn 3A . . . NONE

78 Schedule P - Part 5F - Medical Professional Liability - Claims-Made - Sn 1B . . NONE

78 Schedule P - Part 5F - Medical Professional Liability - Claims-Made - Sn 2B . . NONE

78 Schedule P - Part 5F - Medical Professional Liability - Claims-Made - Sn 3B . . NONE

## SCHEDULE P - PART 5H OTHER LIABILITY - OCCURRENCE

### SECTION 1A

Years in Which Premiums Were Earned and Losses Were Incurred	CUMULATIVE NUMBER OF CLAIMS CLOSED WITH LOSS PAYMENT DIRECT AND ASSUMED AT YEAR END									
	1	2	3	4	5	6	7	8	9	10
	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
1. Prior .....	127	147	97	68	93	11	10	4	4	1
2. 2010 .....	7	16	37	61	101	110	115	115	115	116
3. 2011 .....	XXX	3	24	47	96	112	124	130	133	135
4. 2012 .....	XXX	XXX	2	15	56	91	112	123	125	128
5. 2013 .....	XXX	XXX	XXX	7	24	53	75	92	93	96
6. 2014 .....	XXX	XXX	XXX	XXX	2	11	41	62	79	84
7. 2015 .....	XXX	XXX	XXX	XXX	XXX	1	29	55	71	84
8. 2016 .....	XXX	XXX	XXX	XXX	XXX	XXX	7	20	38	54
9. 2017 .....	XXX	XXX	XXX	XXX	XXX	XXX	XXX	2	15	28
10. 2018 .....	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	4	31
11. 2019 .....	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	14

### SECTION 2A

Years in Which Premiums Were Earned and Losses Were Incurred	NUMBER OF CLAIMS OUTSTANDING DIRECT AND ASSUMED AT YEAR END									
	1	2	3	4	5	6	7	8	9	10
	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
1. Prior .....	346	212	113	47	25	13	5	2		
2. 2010 .....	44	74	54	31	14	5	2	2	2	1
3. 2011 .....	XXX	44	79	54	33	18	10	4	1	
4. 2012 .....	XXX	XXX	51	89	65	32	14	4	3	
5. 2013 .....	XXX	XXX	XXX	42	80	44	23	7	6	3
6. 2014 .....	XXX	XXX	XXX	XXX	36	56	39	18	6	3
7. 2015 .....	XXX	XXX	XXX	XXX	XXX	42	52	33	23	12
8. 2016 .....	XXX	XXX	XXX	XXX	XXX	XXX	32	52	42	28
9. 2017 .....	XXX	XXX	XXX	XXX	XXX	XXX	XXX	41	57	49
10. 2018 .....	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	42	50
11. 2019 .....	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	35

### SECTION 3A

Years in Which Premiums Were Earned and Losses Were Incurred	CUMULATIVE NUMBER OF CLAIMS REPORTED DIRECT AND ASSUMED AT YEAR END									
	1	2	3	4	5	6	7	8	9	10
	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
1. Prior .....	76	16		1			1			
2. 2010 .....	54	106	112	115	115	115	115	115	115	115
3. 2011 .....	XXX	61	123	130	132	133	133	133	133	133
4. 2012 .....	XXX	XXX	66	118	131	131	131	131	131	131
5. 2013 .....	XXX	XXX	XXX	55	108	115	116	116	116	116
6. 2014 .....	XXX	XXX	XXX	XXX	48	85	100	100	102	102
7. 2015 .....	XXX	XXX	XXX	XXX	XXX	50	97	106	107	107
8. 2016 .....	XXX	XXX	XXX	XXX	XXX	XXX	46	86	96	100
9. 2017 .....	XXX	XXX	XXX	XXX	XXX	XXX	XXX	52	91	100
10. 2018 .....	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	59	102
11. 2019 .....	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	62



80 Schedule P - Part 5H - Other Liability - Claims-Made - Sn 1B ..... NONE

80 Schedule P - Part 5H - Other Liability - Claims-Made - Sn 2B ..... NONE

80 Schedule P - Part 5H - Other Liability - Claims-Made - Sn 3B ..... NONE

81 Schedule P - Part 5R - Products Liability - Occurrence - Sn 1A ..... NONE

81 Schedule P - Part 5R - Products Liability - Occurrence - Sn 2A ..... NONE

81 Schedule P - Part 5R - Products Liability - Occurrence - Sn 3A ..... NONE

82 Schedule P - Part 5R - Products Liability - Claims-Made - Sn 1B ..... NONE

82 Schedule P - Part 5R - Products Liability - Claims-Made - Sn 2B ..... NONE

82 Schedule P - Part 5R - Products Liability - Claims-Made - Sn 3B ..... NONE

83 Schedule P - Part 5T - Warranty - Sn 1 ..... NONE

83 Schedule P - Part 5T - Warranty - Sn 2 ..... NONE

83 Schedule P - Part 5T - Warranty - Sn 3 ..... NONE

**SCHEDULE P - PART 6C**  
**COMMERCIAL AUTO/TRUCK LIABILITY/MEDICAL**  
**SECTION 1**

Years in Which Premiums Were Earned and Losses Were Incurred	CUMULATIVE PREMIUMS EARNED DIRECT AND ASSUMED AT YEAR END (\$000 OMITTED)										11 Current Year Premiums Earned
	1 2010	2 2011	3 2012	4 2013	5 2014	6 2015	7 2016	8 2017	9 2018	10 2019	
1. Prior											
2. 2010											
3. 2011	X X X										
4. 2012	X X X	X X X									
5. 2013	X X X	X X X	X X X								
6. 2014	X X X	X X X	X X X	X X X							
7. 2015	X X X	X X X	X X X	X X X	X X X						
8. 2016	X X X	X X X	X X X	X X X	X X X	X X X					
9. 2017	X X X	X X X	X X X	X X X	X X X	X X X	X X X				
10. 2018	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X			
11. 2019	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X		
12. TOTAL	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	
13. Earned Premiums (Sch. P-Part 1)											X X X

**SECTION 2**

Years in Which Premiums Were Earned and Losses Were Incurred	CUMULATIVE PREMIUMS EARNED CEDED AT YEAR END (\$000 OMITTED)										11 Current Year Premiums Earned
	1 2010	2 2011	3 2012	4 2013	5 2014	6 2015	7 2016	8 2017	9 2018	10 2019	
1. Prior											
2. 2010											
3. 2011	X X X										
4. 2012	X X X	X X X									
5. 2013	X X X	X X X	X X X								
6. 2014	X X X	X X X	X X X	X X X							
7. 2015	X X X	X X X	X X X	X X X	X X X						
8. 2016	X X X	X X X	X X X	X X X	X X X	X X X					
9. 2017	X X X	X X X	X X X	X X X	X X X	X X X	X X X				
10. 2018	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X			
11. 2019	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X		
12. TOTAL	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	
13. Earned Premiums (Sch. P-Part 1)											X X X

**SCHEDULE P - PART 6D**  
**WORKERS' COMPENSATION (EXCLUDING EXCESS WORKERS' COMPENSATION)**  
**SECTION 1**

Years in Which Premiums Were Earned and Losses Were Incurred	CUMULATIVE PREMIUMS EARNED DIRECT AND ASSUMED AT YEAR END (\$000 OMITTED)										11 Current Year Premiums Earned
	1 2010	2 2011	3 2012	4 2013	5 2014	6 2015	7 2016	8 2017	9 2018	10 2019	
1. Prior	(267)	267	874	9							
2. 2010	4,852	4,585	5,014	5,014	5,034	5,034	5,034	5,034	5,034	5,034	
3. 2011	X X X	6,021	6,011	6,049	6,049	6,049	6,049	6,049	6,049	6,049	
4. 2012	X X X	X X X	4,493	4,281	4,226	4,266	4,266	4,266	4,266	4,266	
5. 2013	X X X	X X X	X X X	6,826	6,599	6,582	6,584	6,585	6,585	6,585	
6. 2014	X X X	X X X	X X X	X X X	7,665	7,378	7,334	7,341	7,341	7,341	
7. 2015	X X X	X X X	X X X	X X X	X X X	7,798	7,306	7,278	7,278	7,278	
8. 2016	X X X	X X X	X X X	X X X	X X X	X X X	8,431	8,079	8,071	8,073	2
9. 2017	X X X	X X X	X X X	X X X	X X X	X X X	X X X	7,650	6,887	7,109	222
10. 2018	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	8,261	7,563	(698)
11. 2019	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	8,116	8,116
12. TOTAL	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	7,641
13. Earned Premiums (Sch. P-Part 1)	4,585	6,021	6,154	6,664	7,403	7,534	7,819	7,278	7,490	7,641	X X X

**SECTION 2**

Years in Which Premiums Were Earned and Losses Were Incurred	CUMULATIVE PREMIUMS EARNED CEDED AT YEAR END (\$000 OMITTED)										11 Current Year Premiums Earned
	1 2010	2 2011	3 2012	4 2013	5 2014	6 2015	7 2016	8 2017	9 2018	10 2019	
1. Prior	(54)	55	169	(522)	(1,517)	(1,650)					
2. 2010	1,576	1,522	1,759	1,759	1,756	1,756	1,756	1,756	1,756	1,756	
3. 2011	X X X	1,644	1,642	1,650	1,650	1,650	1,650	1,650	1,650	1,650	
4. 2012	X X X	X X X	1,921	1,873	1,881	1,889	1,889	1,889	1,889	1,889	
5. 2013	X X X	X X X	X X X	1,443	1,478	1,475	1,475	1,475	1,475	1,475	
6. 2014	X X X	X X X	X X X	X X X	1,319	1,262	1,253	1,254	1,254	1,254	
7. 2015	X X X	X X X	X X X	X X X	X X X	941	843	837	837	837	
8. 2016	X X X	X X X	X X X	X X X	X X X	X X X	1,338	1,268	1,266	1,266	
9. 2017	X X X	X X X	X X X	X X X	X X X	X X X	X X X	1,395	1,242	1,286	44
10. 2018	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	1,279	1,139	(140)
11. 2019	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	1,169	1,169
12. TOTAL	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	1,074
13. Earned Premiums (Sch. P-Part 1)	1,522	1,644	1,589	1,405	1,319	889	1,231	1,320	1,125	1,074	X X X

## SCHEDULE P - PART 6E COMMERCIAL MULTIPLE PERIL

### SECTION 1

Years in Which Premiums Were Earned and Losses Were Incurred	CUMULATIVE PREMIUMS EARNED DIRECT AND ASSUMED AT YEAR END (\$000 OMITTED)										11 Current Year Premiums Earned
	1	2	3	4	5	6	7	8	9	10	
	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	
1. Prior											
2. 2010	16,870	16,870	16,870	16,870	16,870	16,870	16,870	16,870	16,870	16,870	
3. 2011	X X X	18,299	18,299	18,299	18,299	18,299	18,299	18,299	18,299	18,299	
4. 2012	X X X	X X X	20,333	20,333	20,333	20,333	20,333	20,333	20,333	20,333	
5. 2013	X X X	X X X	X X X	22,972	22,972	22,972	22,972	22,972	22,972	22,972	
6. 2014	X X X	X X X	X X X	X X X	25,585	25,585	25,585	25,585	25,585	25,585	
7. 2015	X X X	X X X	X X X	X X X	X X X	27,184	27,184	27,184	27,184	27,184	
8. 2016	X X X	X X X	X X X	X X X	X X X	X X X	28,997	28,997	28,997	28,997	
9. 2017	X X X	X X X	X X X	X X X	X X X	X X X	X X X	30,796	30,796	30,796	
10. 2018	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	34,959	34,959	
11. 2019	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	38,602	38,602
12. TOTAL	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	38,602
13. Earned Premiums (Sch. P-Part 1)	16,870	18,299	20,333	22,972	25,585	27,184	28,997	30,796	34,959	38,602	X X X

### SECTION 2

Years in Which Premiums Were Earned and Losses Were Incurred	CUMULATIVE PREMIUMS EARNED CEDED AT YEAR END (\$000 OMITTED)										11 Current Year Premiums Earned
	1	2	3	4	5	6	7	8	9	10	
	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	
1. Prior											
2. 2010	4,949	4,949	4,949	4,949	4,949	4,949	4,949	4,949	4,949	4,949	
3. 2011	X X X	4,358	4,358	4,358	4,358	4,358	4,358	4,358	4,358	4,358	
4. 2012	X X X	X X X	4,978	4,978	4,978	4,978	4,978	4,978	4,978	4,978	
5. 2013	X X X	X X X	X X X	5,118	5,118	5,118	5,118	5,118	5,118	5,118	
6. 2014	X X X	X X X	X X X	X X X	4,771	4,771	4,771	4,771	4,771	4,771	
7. 2015	X X X	X X X	X X X	X X X	X X X	5,210	5,210	5,210	5,210	5,210	
8. 2016	X X X	X X X	X X X	X X X	X X X	X X X	5,436	5,436	5,436	5,436	
9. 2017	X X X	X X X	X X X	X X X	X X X	X X X	X X X	5,418	5,418	5,418	
10. 2018	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	8,378	8,378	
11. 2019	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	8,033	8,033
12. TOTAL	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	8,033
13. Earned Premiums (Sch. P-Part 1)	4,949	4,358	4,979	5,118	4,762	5,210	5,436	5,425	8,379	8,033	X X X

## SCHEDULE P - PART 6H OTHER LIABILITY - OCCURRENCE

### SECTION 1A

Years in Which Premiums Were Earned and Losses Were Incurred	CUMULATIVE PREMIUMS EARNED DIRECT AND ASSUMED AT YEAR END (\$000 OMITTED)										11 Current Year Premiums Earned
	1	2	3	4	5	6	7	8	9	10	
	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	
1. Prior											
2. 2010	9,884	9,884	9,884	9,884	9,884	9,884	9,884	9,884	9,884	9,884	
3. 2011	X X X	10,150	10,150	10,150	10,150	10,150	10,150	10,150	10,150	10,150	
4. 2012	X X X	X X X	11,182	11,182	11,182	11,182	11,182	11,182	11,182	11,182	
5. 2013	X X X	X X X	X X X	11,703	11,703	11,703	11,703	11,703	11,703	11,703	
6. 2014	X X X	X X X	X X X	X X X	12,582	12,582	12,582	12,582	12,582	12,582	
7. 2015	X X X	X X X	X X X	X X X	X X X	13,224	13,224	13,224	13,224	13,224	
8. 2016	X X X	X X X	X X X	X X X	X X X	X X X	13,616	13,616	13,616	13,616	
9. 2017	X X X	X X X	X X X	X X X	X X X	X X X	X X X	14,087	14,087	14,087	
10. 2018	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	15,428	15,428	
11. 2019	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	16,524	16,524
12. TOTAL	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	16,524
13. Earned Premiums (Sch. P-Part 1)	9,884	10,150	11,182	11,703	12,582	13,224	13,694	14,087	15,428	16,524	X X X

### SECTION 2A

Years in Which Premiums Were Earned and Losses Were Incurred	CUMULATIVE PREMIUMS EARNED CEDED AT YEAR END (\$000 OMITTED)										11 Current Year Premiums Earned
	1	2	3	4	5	6	7	8	9	10	
	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	
1. Prior											
2. 2010	3,707	3,707	3,707	3,707	3,707	3,707	3,707	3,707	3,707	3,707	
3. 2011	X X X	3,345	3,345	3,345	3,345	3,345	3,345	3,345	3,345	3,345	
4. 2012	X X X	X X X	3,666	3,666	3,666	3,666	3,666	3,666	3,666	3,666	
5. 2013	X X X	X X X	X X X	3,399	3,399	3,399	3,399	3,399	3,399	3,399	
6. 2014	X X X	X X X	X X X	X X X	1,359	1,359	1,359	1,359	1,359	1,359	
7. 2015	X X X	X X X	X X X	X X X	X X X	1,624	1,624	1,624	1,624	1,624	
8. 2016	X X X	X X X	X X X	X X X	X X X	X X X	1,231	1,231	1,231	1,231	
9. 2017	X X X	X X X	X X X	X X X	X X X	X X X	X X X	1,211	1,211	1,211	
10. 2018	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	1,256	1,256	
11. 2019	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	818	818
12. TOTAL	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	818
13. Earned Premiums (Sch. P-Part 1)	3,707	3,345	3,666	3,399	1,359	1,624	1,231	1,211	1,256	818	X X X

86	Schedule P - Part 6H - Other Liability - Claims-Made - Sn 1B	NONE
86	Schedule P - Part 6H - Other Liability - Claims-Made - Sn 2B	NONE
86	Schedule P - Part 6M - International - Sn 1	NONE
86	Schedule P - Part 6M - International - Sn 2	NONE
87	Schedule P - Part 6N - Reins. Nonproportional Assumed Property - Sn 1	NONE
87	Schedule P - Part 6N - Reins. Nonproportional Assumed Property - Sn 2	NONE
87	Schedule P - Part 6O - Reins. Nonproportional Assumed Liability - Sn 1	NONE
87	Schedule P - Part 6O - Reins. Nonproportional Assumed Liability - Sn 2	NONE
88	Schedule P - Part 6R - Products Liability - Occurrence - Sn 1A	NONE
88	Schedule P - Part 6R - Products Liability - Occurrence - Sn 2A	NONE
88	Schedule P - Part 6R - Products Liability - Claims-Made - Sn 1B	NONE
88	Schedule P - Part 6R - Products Liability - Claims-Made - Sn 2B	NONE
89	Schedule P - Part 7A - Primary Loss Sensitive Contracts - Sn 1	NONE
89	Schedule P - Part 7A - Primary Loss Sensitive Contracts - Sn 2	NONE
89	Schedule P - Part 7A - Primary Loss Sensitive Contracts - Sn 3	NONE
90	Schedule P - Part 7A - Primary Loss Sensitive Contracts - Sn 4	NONE
90	Schedule P - Part 7A - Primary Loss Sensitive Contracts - Sn 5	NONE
91	Schedule P - Part 7B - Reinsurance Loss Sensitive Contracts - Sn 1	NONE
91	Schedule P - Part 7B - Reinsurance Loss Sensitive Contracts - Sn 2	NONE
91	Schedule P - Part 7B - Reinsurance Loss Sensitive Contracts - Sn 3	NONE
92	Schedule P - Part 7B - Reinsurance Loss Sensitive Contracts - Sn 4	NONE
92	Schedule P - Part 7B - Reinsurance Loss Sensitive Contracts - Sn 5	NONE
92	Schedule P - Part 7B - Reinsurance Loss Sensitive Contracts - Sn 6	NONE
92	Schedule P - Part 7B - Reinsurance Loss Sensitive Contracts - Sn 7	NONE

## SCHEDULE P INTERROGATORIES

1. The following questions relate to yet-to-be-issued Extended Reporting Endorsements (EREs) arising from Death, Disability, or Retirement (DDR) provisions in Medical Professional Liability Claims Made insurance policies, EREs provided for reasons other than DDR are not to be included.
- 1.1 Does the company issue Medical Professional Liability Claims-Made insurance policies that provide tail (also known as an extended reporting endorsement, or "ERE") benefits in the event of Death, Disability, or Retirement (DDR) at a reduced charge or at no additional cost? If the answer to question 1.1 is "no", leave the following questions blank. If the answer to question 1.1 is "yes", please answer the following questions:
- 1.2 What is the total amount of the reserve for that provision (DDR Reserve), as reported, explicitly or not, elsewhere in this statement (in dollars)? Yes[ ] No[X] 0
- 1.3 Does the company report any DDR reserve as Unearned Premium Reserve per SSAP #65? Yes[ ] No[ ] N/A[X]
- 1.4 Does the company report any DDR reserve as loss or loss adjustment expense reserve? Yes[ ] No[ ] N/A[X]
- 1.5 If the company reports DDR reserve as Unearned Premium Reserve, does that amount match the figure on the Underwriting and Investment Exhibit, Part 1A - Recapitulation of all Premiums (Page 7) Column 2, Lines 11.1 plus 11.2? Yes[ ] No[ ] N/A[X]
- 1.6 If the company reports DDR reserve as loss or loss adjustment expense reserve, please complete the following table corresponding to where these reserves are reported in Schedule P:

Years in which premiums were earned and losses were incurred	DDR Reserve Included in Schedule P, Part 1F, Medical Professional Liability Column 24: Total Net Losses and Expenses Unpaid	
	1 Section 1: Occurrence	2 Section 2: Claims-Made
1.601 Prior .....		
1.602 2010 .....		
1.603 2011 .....		
1.604 2012 .....		
1.605 2013 .....		
1.606 2014 .....		
1.607 2015 .....		
1.608 2016 .....		
1.609 2017 .....		
1.610 2018 .....		
1.611 2019 .....		
1.612 TOTALS .....		

2. The definition of allocated loss adjustment expenses (ALAE) and, therefore, unallocated loss adjustment expenses (ULAE) was changed effective January 1, 1998. This change in definition applies to both paid and unpaid expenses. Are these expenses (now reported as "Defense and Cost Containment" and "Adjusting and Other") reported in compliance with these definitions in this statement? Yes[X] No[ ]
3. The Adjusting and Other expense payments and reserves should be allocated to the years in which the losses were incurred based on the number of claims reported, closed and outstanding in those years. When allocating Adjusting and Other expense between companies in a group or a pool, the Adjusting and Other expense should be allocated in the same percentage used for the loss amounts and the claim counts. For reinsurers, Adjusting and Other expense assumed should be reported according to the reinsurance contract. For Adjusting and Other expense incurred by reinsurers, or in those situations where suitable claim count information is not available, Adjusting and Other expense should be allocated by a reasonable method determined by the company and described in Interrogatory 7, below. Are they so reported in this Statement? Yes[X] No[ ]
4. Do any lines in Schedule P include reserves that are reported gross of any discount to present value of future payments, and that are reported net of such discounts on page 10?  
 If Yes, proper disclosure must be made in the Notes to Financial Statements, as specified in the Instructions. Also, the discounts must be reported in Schedule P - Part 1, Columns 32 and 33.  
 Schedule P must be completed gross of non-tabular discounting. Work papers relating to discount calculations must be available for examination upon request.  
 Discounting is allowed only if expressly permitted by the state insurance department to which this Annual Statement is being filed. Yes[ ] No[X]

5. What were the net premiums in force at the end of the year for: (in thousands of dollars)

	5.1 Fidelity	\$ .....	0
	5.2 Surety	\$ .....	0

6. Claim count information is reported per claim or per claimant (Indicate which).

	6.1 per claim		
	6.2 per claimant	✓	

If not the same in all years, explain in Interrogatory 7.

- 7.1 The information provided in Schedule P will be used by many persons to estimate the adequacy of the current loss and expense reserves, among other things. Are there any especially significant events, coverage, retention or accounting changes that have occurred that must be considered when making such analyses? Yes[X] No[ ]
- 7.2 An extended statement may be attached.  
 Effective June 30, 2008, the Company entered into an 80/20 quota share agreement whereby the Company cedes 20 percent of net premium and loss activity. This contract is for all lines of business except umbrella. Effective in 2010, the contract was modified to reflect an 85/15 quota share agreement, in 2011, it was modified to reflect a 90/10 quota share agreement, and in 2013 it was modified to reflect a 95/5 quota share agreement. In 2014, the quota share was cutoff. This resulted in a return on ceded unearned premium. The 2014 excess of loss contracts were modified. Retention of property for loss years 2014 through 2018 is \$350,000 and increased to \$500,000 in 2019. Retention for casualty lines is \$500,000 for accident years up to 2017, \$750,000 for 2018, and \$1,000,000 for 2019. The Company experienced a large property loss in loss year 2014 for approximately \$7.0 million. The Company has received a subrogation recovery of \$3.7 million in 2019.

# SCHEDULE T - EXHIBIT OF PREMIUMS WRITTEN ALLOCATED BY STATES AND TERRITORIES

States, Etc.	1 Active Status (a)	Gross Premiums, Including Policy and Membership Fees Less Return Premiums and Premiums on Policies Not Taken		4 Dividends Paid or Credited to Policyholders on Direct Business	5 Direct Losses Paid (Deducting Salvage)	6 Direct Losses Incurred	7 Direct Losses Unpaid	8 Finance and Service Charges Not Included in Premiums	9 Direct Premium Written for Federal Purchasing Groups (Included in Column 2)
		2 Direct Premiums Written	3 Direct Premiums Earned						
1. Alabama (AL)	N								
2. Alaska (AK)	N								
3. Arizona (AZ)	L								
4. Arkansas (AR)	N								
5. California (CA)	N								
6. Colorado (CO)	L	4,076,084	3,465,051		285,870	804,485	640,753	11,625	
7. Connecticut (CT)	N								
8. Delaware (DE)	N								
9. District of Columbia (DC)	N								
10. Florida (FL)	N								
11. Georgia (GA)	N								
12. Hawaii (HI)	N								
13. Idaho (ID)	N								
14. Illinois (IL)	L	16,461,874	17,335,073		9,702,161	13,564,174	21,190,587	100,527	
15. Indiana (IN)	L	5,464,382	5,567,174		4,366,121	4,490,201	3,955,833	19,622	
16. Iowa (IA)	L	7,413,507	7,461,690		2,714,515	2,312,776	4,509,140	42,912	
17. Kansas (KS)	L	537,055	499,331		48,809	45,029	38,613	1,188	
18. Kentucky (KY)	N								
19. Louisiana (LA)	N								
20. Maine (ME)	N								
21. Maryland (MD)	N								
22. Massachusetts (MA)	N								
23. Michigan (MI)	L	1,590,982	1,091,708		120,091	232,902	133,293	6,055	
24. Minnesota (MN)	L	11,924,918	12,009,693		7,548,043	7,404,613	4,919,184	33,320	
25. Mississippi (MS)	N								
26. Missouri (MO)	L	10,666,646	10,714,087		6,939,404	7,834,580	5,762,961	41,328	
27. Montana (MT)	N								
28. Nebraska (NE)	N								
29. Nevada (NV)	N								
30. New Hampshire (NH)	N								
31. New Jersey (NJ)	N								
32. New Mexico (NM)	N								
33. New York (NY)	N								
34. North Carolina (NC)	N								
35. North Dakota (ND)	N								
36. Ohio (OH)	L	3,008,404	2,574,208		369,617	507,735	265,816	10,939	
37. Oklahoma (OK)	N								
38. Oregon (OR)	L								
39. Pennsylvania (PA)	L	250	250						
40. Rhode Island (RI)	N								
41. South Carolina (SC)	N								
42. South Dakota (SD)	N								
43. Tennessee (TN)	L								
44. Texas (TX)	N								
45. Utah (UT)	L								
46. Vermont (VT)	N								
47. Virginia (VA)	N								
48. Washington (WA)	N								
49. West Virginia (WV)	N								
50. Wisconsin (WI)	L	1,838,718	1,840,944	16,680	1,183,167	1,351,569	519,742	9,847	
51. Wyoming (WY)	N								
52. American Samoa (AS)	N								
53. Guam (GU)	N								
54. Puerto Rico (PR)	N								
55. U.S. Virgin Islands (VI)	N								
56. Northern Mariana Islands (MP)	N								
57. Canada (CAN)	N								
58. Aggregate other alien (OT)	X X X								
59. TOTALS	X X X	62,982,820	62,559,209	16,680	33,277,798	38,548,064	41,935,922	277,363	
<b>DETAILS OF WRITE-INS</b>									
58001.	X X X								
58002.	X X X								
58003.	X X X								
58998. Summary of remaining write-ins for Line 58 from overflow page	X X X								
58999. TOTALS (Lines 58001 through 58003 plus 58998) (Line 58 above)	X X X								

(a) Active Status Counts:

L Licensed or Chartered - Licensed insurance carrier or domiciled RRG

E Eligible - Reporting entities eligible or approved to write surplus lines in the state (other than their state of domicile See DSLI)

D Domestic Surplus Lines Insurer (DSLII) Reporting entities authorized to write surplus lines in the state of domicile.

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R Registered - Non-domiciled RRGs

Q Qualified - Qualified or accredited reinsurer

N None of the above Not allowed to write business in the state

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(b) Explanation of basis of allocation of premiums by states, etc.: Actual premiums written by state

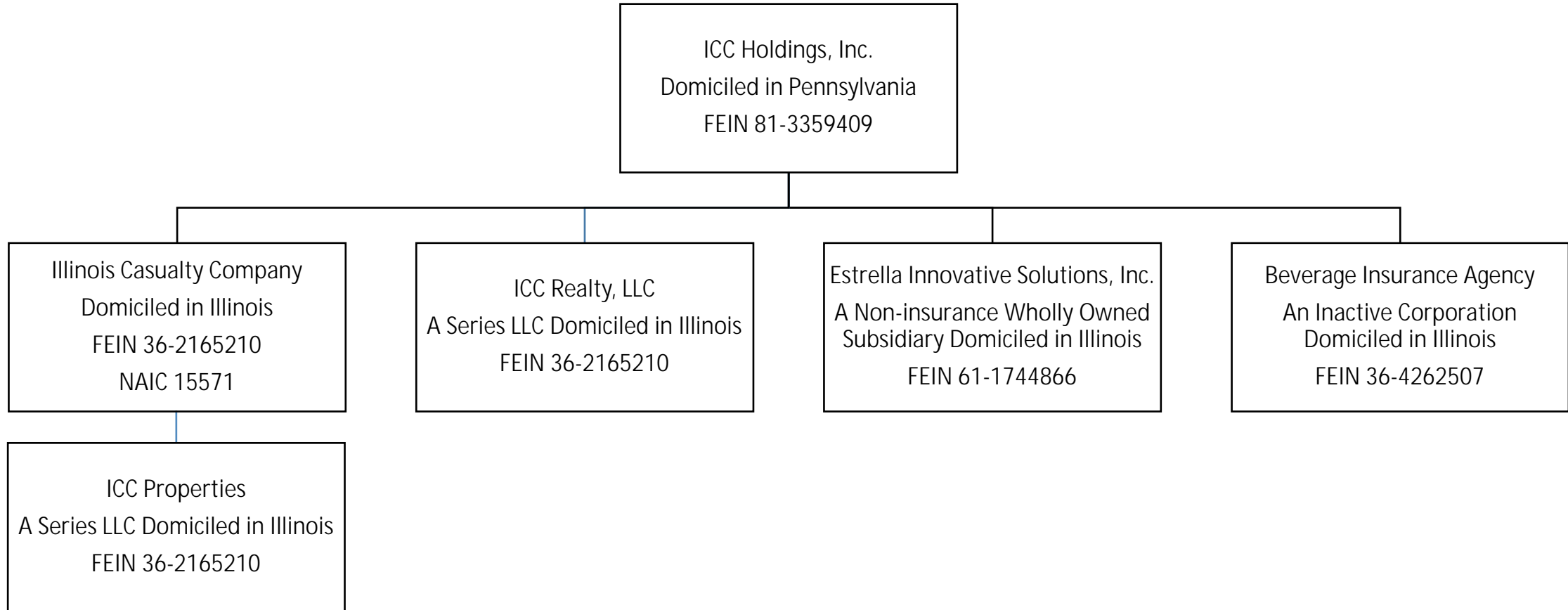
**SCHEDULE T - PART 2**  
**INTERSTATE COMPACT - EXHIBIT OF PREMIUMS WRITTEN**  
**ALLOCATED BY STATES AND TERRITORIES**

Direct Business only						
States, Etc.	1 Life (Group and Individual)	2 Annuities (Group and Individual)	3 Disability Income (Group and Individual)	4 Long-Term Care (Group and Individual)	5 Deposit-Type Contracts	6 Totals
1. Alabama (AL)						
2. Alaska (AK)						
3. Arizona (AZ)						
4. Arkansas (AR)						
5. California (CA)						
6. Colorado (CO)						
7. Connecticut (CT)						
8. Delaware (DE)						
9. District of Columbia (DC)						
10. Florida (FL)						
11. Georgia (GA)						
12. Hawaii (HI)						
13. Idaho (ID)						
14. Illinois (IL)						
15. Indiana (IN)						
16. Iowa (IA)						
17. Kansas (KS)						
18. Kentucky (KY)						
19. Louisiana (LA)						
20. Maine (ME)						
21. Maryland (MD)						
22. Massachusetts (MA)						
23. Michigan (MI)						
24. Minnesota (MN)						
25. Mississippi (MS)						
26. Missouri (MO)						
27. Montana (MT)						
28. Nebraska (NE)						
29. Nevada (NV)						
30. New Hampshire (NH)						
31. New Jersey (NJ)						
32. New Mexico (NM)						
33. New York (NY)						
34. North Carolina (NC)						
35. North Dakota (ND)						
36. Ohio (OH)						
37. Oklahoma (OK)						
38. Oregon (OR)						
39. Pennsylvania (PA)						
40. Rhode Island (RI)						
41. South Carolina (SC)						
42. South Dakota (SD)						
43. Tennessee (TN)						
44. Texas (TX)						
45. Utah (UT)						
46. Vermont (VT)						
47. Virginia (VA)						
48. Washington (WA)						
49. West Virginia (WV)						
50. Wisconsin (WI)						
51. Wyoming (WY)						
52. American Samoa (AS)						
53. Guam (GU)						
54. Puerto Rico (PR)						
55. U.S. Virgin Islands (VI)						
56. Northern Mariana Islands (MP)						
57. Canada (CAN)						
58. Aggregate other alien (OT)						
59. TOTALS						

**NONE**

**SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER**  
**MEMBERS OF A HOLDING COMPANY GROUP**  
**PART 1 - ORGANIZATIONAL CHART**

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## SCHEDULE Y

### PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	FEDERAL RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity / Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies) / Person(s)	Is an SCA Filing Required? (Y/N)	*
.....	.....	00000	81-3359409	.....	0001681903	Nasdaq .....	ICC Holdings, Inc. ....	PA	UDP	ICC Holdings, Inc. ....	Ownership .....	100.0	ICC Holdings, Inc. ....	N	.....
.....	.....	15571	36-2165210	.....	.....	.....	Illinois Casualty Co .....	IL	RE	ICC Holdings, Inc. ....	Ownership .....	100.0	ICC Holdings, Inc. ....	N	.....
.....	.....	00000	36-4262507	.....	.....	.....	Beverage Insurance Agency .....	IL	DS	ICC Holdings, Inc. ....	Ownership .....	100.0	ICC Holdings, Inc. ....	N	.....
.....	.....	00000	61-1744866	.....	.....	.....	Estrella Innovative Solutions, Inc .....	IL	NIA	ICC Holdings, Inc. ....	Ownership .....	100.0	ICC Holdings, Inc. ....	N	.....
.....	.....	00000	36-2165210	.....	.....	.....	ICC Realty, LLC .....	IL	OTH	ICC Holdings, Inc. ....	Ownership .....	100.0	ICC Holdings, Inc. ....	N	0000001
.....	.....	00000	36-2165210	.....	.....	.....	ICC Properties, LLC .....	IL	OTH	Illinois Casualty Company .....	Ownership .....	100.0	Illinois Casualty Company .....	N	0000002

Asterisk	Explanation
0000001	Series LLC with the sole purpose of holding income producing real estate for ICC Holdings, Inc. ....
0000002	Series LLC with the sole purpose of holding income producing real estate for Illinois Casualty Company .....

## SCHEDULE Y

### PART 2 - SUMMARY OF INSURER'S TRANSACTIONS WITH ANY AFFILIATES

1	2	3	4	5	6	7	8	9	10	11	12	13
NAIC Company Code	ID Number	Names of Insurers and Parent, Subsidiaries or Affiliates	Shareholder Dividends	Capital Contributions	Purchases, Sales or Exchanges of Loans, Securities, Real Estate, Mortgage Loans or Other Investments	Income/(Disburse- ments) Incurred in Connection with Guarantees or Undertakings for the Benefit of any Affiliate(s)	Management Agreements and Service Contracts	Income/ (Disbursements) Incurred Under Reinsurance Agreements	*	Any Other Material Activity not in the Ordinary Course of the Insurer's Business	Totals	Reinsurance Recoverable/ (Payable) on Losses and/or Reserve Credit Taken/ (Liability)
.. 15571 ..	.. 36-2165210 ..	ILLINOIS CAS CO .....	.....	.....	(3,000,000)	.....	73,823	.....	.....	.....	(2,926,177)	.....
.. 0000 ..	.. 61-1744866 ..	ESTRELLA INNOVATIVE SOLUTIONS, INC .....	.....	.....	.....	.....	379,236	.....	.....	.....	379,236	.....
.. 00000 ..	.. 81-3359409 ..	ICC HOLDINGS INC .....	.....	.....	3,000,000	.....	(316,323)	.....	.....	.....	2,683,677	.....
.. 00000 ..	.. 36-2165210 ..	ICC REALTY .....	.....	.....	.....	.....	(132,032)	.....	.....	.....	(132,032)	.....
.. 00000 ..	.. 36-4262507 ..	Beverage Insurance Agency .....	.....	.....	.....	.....	673	.....	.....	.....	673	.....
.. 00000 ..	.. 36-2165210 ..	ICC Properties, LLC .....	.....	.....	.....	.....	(5,377)	.....	.....	.....	(5,377)	.....
9999999 Control Totals .....			.....	.....	.....	.....	.....	.....	X X X	.....	.....	.....

Schedule Y Part 2 Explanation:

## SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

**Response**

The following supplemental reports are required to be filed as part of your statement filing unless specifically waived by the domiciliary state. However, in the event that your domiciliary state waives the filing requirement, your response of WAIVED to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

**MARCH FILING**

- |  |     |
|--|-----|
| 1. Will an actuarial opinion be filed by March 1?  | Yes |
| 2. Will the Supplemental Compensation Exhibit be filed with the state of domicile by March 1?                    | Yes |
| 3. Will the confidential Risk-based Capital Report be filed with the NAIC by March 1?                            | Yes |
| 4. Will the confidential Risk-based Capital Report be filed with the state of domicile, if required, by March 1? | Yes |

**APRIL FILING**

- |  |     |
|--|-----|
| 5. Will the Insurance Expense Exhibit be filed with the state of domicile and the NAIC by April 1? | Yes |
| 6. Will Management's Discussion and Analysis be filed by April 1?                                  | Yes |
| 7. Will the Supplemental Investment Risk Interrogatories be filed by April 1?                      | Yes |

**MAY FILING**

- |   |        |
|---|--------|
| 8. Will this company be included in a combined annual statement that is filed with the NAIC by May 1? | Waived |
|---|--------|

**JUNE FILING**

- |   |     |
|---|-----|
| 9. Will an audited financial report be filed by June 1?   | Yes |
| 10. Will Accountants Letter of Qualifications be filed with the state of domicile and electronically with the NAIC by June 1? | Yes |

**AUGUST FILING**

- |   |     |
|---|-----|
| 11. Will the regulator-only (non-public) Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile and electronically with the NAIC (as a regulator-only non-public document) by August 1? | Yes |
|---|-----|

The following supplemental reports are required to be filed as part of your statement filing if your company is engaged in the type of business covered by the supplement. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

**MARCH FILING**

- |  |     |
|--|-----|
| 12. Will Schedule SIS (Stockholder Information Supplement) be filed with the state of domicile by March 1?   | No  |
| 13. Will the Financial Guaranty Insurance Exhibit be filed by March 1?   | No  |
| 14. Will the Medicare Supplement Insurance Experience Exhibit be filed with the state of domicile and the NAIC by March 1?   | No  |
| 15. Will Supplement A to Schedule T (Medical Professional Liability Supplement) be filed by March 1?   | No  |
| 16. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC by March 1?   | No  |
| 17. Will the Premiums Attributed to Protected Cells Exhibit be filed by March 1?   | No  |
| 18. Will the Reinsurance Summary Supplemental Filing for General Interrogatory 9 be filed with the state of domicile and the NAIC by March 1?  | No  |
| 19. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC by March 1?  | No  |
| 20. Will the Confidential Actuarial Opinion Summary be filed with the state of domicile, if required, by March 15 (or the date otherwise specified)?   | Yes |
| 21. Will the Reinsurance Attestation Supplement be filed with the state of domicile and the NAIC by March 1?   | Yes |
| 22. Will the Exceptions to the Reinsurance Attestation Supplement be filed with the state of domicile by March 1?  | No  |
| 23. Will the Bail Bond Supplement be filed with the state of domicile and the NAIC by March 1?   | No  |
| 24. Will the Director and Officer Insurance Coverage Supplement be filed with the state of domicile and the NAIC by March 1?   | No  |
| 25. Will an approval from the reporting entity's state of domicile for relief related to the five-year rotation requirement for lead audit partner be filed electronically with the NAIC by March 1? | No  |
| 26. Will an approval from the reporting entity's state of domicile for relief related to the one-year cooling off period for independent CPA be filed electronically with the NAIC by March 1?       | No  |
| 27. Will an approval from the reporting entity's state of domicile for relief related to the Requirements for Audit Committees be filed electronically with the NAIC by March 1?                     | No  |
| 28. Will the Supplemental Schedule for Reinsurance Counterparty Reporting Exception - Asbestos and Pollution contracts be filed with the state of domicile and the NAIC by March 1?                  | No  |

**APRIL FILING**

- |   |     |
|---|-----|
| 29. Will the Credit Insurance Experience Exhibit be filed with the state of domicile and the NAIC by April 1?   | No  |
| 30. Will the Long-term Care Experience Reporting Forms be filed with the state of domicile and the NAIC by April 1?   | No  |
| 31. Will the Accident and Health Policy Experience Exhibit be filed by April 1?   | No  |
| 32. Will the Supplemental Health Care Exhibit (Parts 1, 2 and 3) be filed with the state of domicile and the NAIC by April 1?   | No  |
| 33. Will the regulator only (non-public) Supplemental Health Care Exhibit's Expense Allocation Report be filed with the state of domicile and the NAIC by April 1?                                      | No  |
| 34. Will the Cybersecurity and Identity Theft Insurance Coverage Supplement be filed with the state of domicile and the NAIC by April 1?  | Yes |
| 35. Will the Life, Health & Annuity Guaranty Association Model Act Assessment Base Reconciliation Exhibit be filed with the state of domicile and the NAIC by April 1?                                  | No  |
| 36. Will the Adjustments to the Life, Health & Annuity Guaranty Association Model Act Assessment Base Reconciliation Exhibit (if required) be filed with the state of domicile and the NAIC by April 1? | No  |

**AUGUST FILING**

- |  |     |
|--|-----|
| 37. Will Management's Report of Internal Control Over Financial Reporting be filed with the state of domicile by August 1? | Yes |
|--|-----|

Explanations:

Bar Codes:

Statement (Annual, quarterly and combined)



Schedule SIS



Financial Guaranty Insurance Exhibit



Medicare Supplement Insurance Experience Exhibit



# SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES (continued)

Supplement A to Schedule T



Trusted Surplus Statement



Premiums Attributed to Protected Cells Exhibit



Reinsurance Summary Supplemental Filing



Medicare Part D Coverage Supplement



Exceptions to the Reinsurance Attestation Supplement



Bail Bond Supplement



Director and Officer Supplement



Approval for Relief related to five-year rotation for lead Audit Partner



Approval for Relief related to one-year cooling off period for inde. CPA



Approval for Relief related to Require. for Audit Committees



Reinsurance Counterparty Reporting Exception



Credit Insurance Exhibit



LTC Supplemental Interrogatories



Accident and Health Policy Experience Exhibit



Supplemental Health Care Exhibit



Supplemental Health Care Exhibit's Expense Allocation Report



LHA Guaranty Association Reconciliation



LHA Guaranty Association Adjustment Exhibit





**SUMMARY INVESTMENT SCHEDULE**

Investment Categories	Gross Investment Holdings		Admitted Assets as Reported in the Annual Statement			
	1 Amount	2 Percentage of Column 1 Line 13	3 Amount	4 Securities Lending Reinvested Collateral Amount	5 Total (Col. 3 + 4) Amount	6 Percentage of Column 5 Line 13
1. Long-Term Bonds (Schedule D Part 1):						
1.01 U.S. governments	2,214,744	2.007	2,214,744		2,214,744	2.007
1.02 All other governments						
1.03 U.S. states, territories and possessions, etc. guaranteed	555,727	0.504	555,727		555,727	0.504
1.04 U.S. political subdivisions of states, territories and possessions, guaranteed	2,591,748	2.349	2,591,748		2,591,748	2.349
1.05 U.S. special revenue and special assessment obligations, etc. non-guaranteed	17,292,962	15.673	17,292,962		17,292,962	15.673
1.06 Industrial and miscellaneous	62,399,120	56.554	62,399,120		62,399,120	56.554
1.07 Hybrid securities						
1.08 Parent, subsidiaries and affiliates						
1.09 SVO identified funds						
1.10 Bank loans						
1.11 Total long-term bonds	85,054,301	77.086	85,054,301		85,054,301	77.086
2. Preferred stocks (Schedule D, Part 2, Section 1):						
2.01 Industrial and miscellaneous (Unaffiliated)						
2.02 Parent, subsidiaries and affiliates						
2.03 Total preferred stocks						
3. Common stocks (Schedule D, Part 2, Section 2):						
3.01 Industrial and miscellaneous Publicly traded (Unaffiliated)	13,278,194	12.034	13,278,194		13,278,194	12.034
3.02 Industrial and miscellaneous Other (Unaffiliated)	50,700	0.046	50,700		50,700	0.046
3.03 Parent, subsidiaries and affiliates Publicly traded						
3.04 Parent, subsidiaries and affiliates Other						
3.05 Mutual Funds	83,410	0.076	83,410		83,410	0.076
3.06 Unit investment trusts						
3.07 Closed-end funds						
3.08 Total common stocks	13,412,304	12.156	13,412,304		13,412,304	12.156
4. Mortgage loans (Schedule B):						
4.01 Farm mortgages						
4.02 Residential mortgages						
4.03 Commercial mortgages						
4.04 Mezzanine real estate loans						
4.05 Total mortgages loans						
5. Real estate (Schedule A):						
5.01 Properties occupied by company						
5.02 Properties held for production of income	540,628	0.490	540,628		540,628	0.490
5.03 Properties held for sale						
5.04 Total real estate	540,628	0.490	540,628		540,628	0.490
6. Cash, cash equivalents and short-term investments:						
6.01 Cash (Schedule E, Part 1)	2,074,493	1.880	2,074,493		2,074,493	1.880
6.02 Cash equivalents (Schedule E, Part 2)	3,445,071	3.122	3,445,071		3,445,071	3.122
6.03 Short-term investments (Schedule DA)						
6.04 Total Cash, cash equivalents and short-term investments	5,519,564	5.002	5,519,564		5,519,564	5.002
7. Contract loans						
8. Derivatives (Schedule DB)						
9. Other invested assets (Schedule BA)	5,809,552	5.265	5,809,552		5,809,552	5.265
10. Receivables for securities						
11. Securities Lending (Schedule DL, Part 1)				X X X	X X X	X X X
12. Other invested assets (Page 2, Line 11)						
13. Total invested assets	110,336,349	100.000	110,336,349		110,336,349	100.000

## SCHEDULE A - VERIFICATION BETWEEN YEARS

### Real Estate

1.	Book/adjusted carrying value, December 31 of prior year .....		
2.	Cost of acquired:		
2.1	Actual cost at time of acquisition (Part 2, Column 6) .....	542,491	
2.2	Additional investment made after acquisition (Part 2, Column 9) .....		542,491
3.	Current year change in encumbrances:		
3.1	TOTALS, Part 1, Column 13 .....		
3.2	TOTALS, Part 3, Column 11 .....		
4.	TOTAL gain (loss) on disposals, Part 3, Column 18 .....		
5.	Deduct amounts received on disposals, Part 3, Column 15 .....		
6.	TOTAL foreign exchange change in book/adjusted carrying value:		
6.1	TOTALS, Part 1, Column 15 .....		
6.2	TOTALS, Part 3, Column 13 .....		
7.	Deduct current year's other-than-temporary impairment recognized:		
7.1	TOTALS, Part 1, Column 12 .....		
7.2	TOTALS, Part 3, Column 10 .....		
8.	Deduct current year's depreciation:		
8.1	TOTALS, Part 1, Column 11 .....	1,863	
8.2	TOTALS, Part 3, Column 9 .....		1,863
9.	Book/adjusted carrying value at the end of current period (Lines 1 + 2 + 3 + 4 - 5 + 6 - 7 - 8) .....		540,628
10.	Deduct total nonadmitted amounts .....		
11.	Statement value at end of current period (Lines 9 minus 10) .....		540,628

## SCHEDULE B - VERIFICATION BETWEEN YEARS

### Mortgage Loans

1.	Book value/recorded investment excluding accrued interest, December 31 of prior year .....		
2.	Cost of acquired:		
2.1	Actual cost at time of acquisition (Part 2, Column 7) .....		
2.2	Additional investment made after acquisition (Part 2, Column 8) .....		
3.	Capitalized deferred interest and other:		
3.1	TOTALS, Part 1, Column 12 .....		
3.2	TOTALS, Part 3, Column 11 .....		
4.	Accrual of discount .....		
5.	Unrealized valuation increase (decrease):		
5.1	TOTALS, Part 1, Column 9 .....		
5.2	TOTALS, Part 3, Column 8 .....		
6.	TOTAL gain (loss) on disposals, Part 3, Column 18 .....		
7.	Deduct amounts received on disposals, Part 3, Column 15 .....		
8.	Deduct amortization of premium and mortgage interest .....		
9.	TOTAL foreign exchange change in book value/recorded interest:		
9.1	TOTALS, Part 1, Column 13 .....		
9.2	TOTALS, Part 3, Column 13 .....		
10.	Deduct current year's other-than-temporary impairment recognized:		
10.1	TOTALS, Part 1, Column 11 .....		
10.2	TOTALS, Part 3, Column 10 .....		
11.	Book value/recorded investment excluding accrued interest at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10) .....		
12.	TOTAL valuation allowance .....		
13.	Subtotal (Lines 11 plus 12) .....		
14.	Deduct total nonadmitted amounts .....		
15.	Statement value of mortgages owned at end of current period (Line 13 minus Line 14) .....		

NONE

**SCHEDULE BA - VERIFICATION BETWEEN YEARS****Other Long-Term Invested Assets**

1.	Book/adjusted carrying value, December 31 of prior year .....		2,974,686
2.	Cost of acquired:		
2.1	Actual cost at time of acquisition (Part 2, Column 8) .....	3,000,000	
2.2	Additional investment made after acquisition (Part 2, Column 9) .....		3,000,000
3.	Capitalized deferred interest and other:		
3.1	TOTALS, Part 1, Column 16 .....		
3.2	TOTALS, Part 3, Column 12 .....		
4.	Accrual of discount .....		
5.	Unrealized valuation increase (decrease):		
5.1	TOTALS, Part 1, Column 13 .....		
5.2	TOTALS, Part 3, Column 9 .....		
6.	TOTAL gain (loss) on disposals, Part 3, Column 19 .....		
7.	Deduct amounts received on disposals, Part 3, Column 16 .....		165,134
8.	Deduct amortization of premium and depreciation .....		
9.	TOTAL foreign exchange change in book/adjusted carrying value:		
9.1	TOTALS, Part 1, Column 17 .....		
9.2	TOTALS, Part 3, Column 14 .....		
10.	Deduct current year's other-than-temporary impairment recognized:		
10.1	TOTALS, Part 1, Column 15 .....		
10.2	TOTALS, Part 3, Column 11 .....		
11.	Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10) .....		5,809,552
12.	Deduct total nonadmitted amounts .....		
13.	Statement value at end of current period (Line 11 minus Line 12) .....		5,809,552

**SCHEDULE D - VERIFICATION BETWEEN YEARS****Bonds and Stocks**

1.	Book/adjusted carrying value, December 31 of prior year .....		98,283,299
2.	Cost of bonds and stocks acquired, Part 3, Column 7 .....		31,805,848
3.	Accrual of Discount .....		63,655
4.	Unrealized valuation increase (decrease):		
4.1	Part 1, Column 12 .....		
4.2	Part 2, Section 1, Column 15 .....		
4.3	Part 2, Section 2, Column 13 .....	1,883,318	
4.4	Part 4, Column 11 .....	439,916	2,323,234
5.	TOTAL gain (loss) on disposals, Part 4, Column 19 .....		1,137,626
6.	Deduction consideration for bonds and stocks disposed of, Part 4, Column 7 .....		34,661,831
7.	Deduct amortization of premium .....		321,341
8.	TOTAL foreign exchange change in book/adjusted carrying value:		
8.1	Part 1, Column 15 .....		
8.2	Part 2, Section 1, Column 19 .....		
8.3	Part 2, Section 2, Column 16 .....		
8.4	Part 4, Column 15 .....		
9.	Deduct current year's other-than-temporary impairment recognized:		
9.1	Part 1, Column 14 .....		
9.2	Part 2, Section 1, Column 17 .....		
9.3	Part 2, Section 2, Column 14 .....	90,028	
9.4	Part 4, Column 13 .....	100,069	190,097
10.	Total investment income recognized as a result of prepayment penalties and/or acceleration fees, Notes 5Q, Line 5Q(2) .....		26,212
11.	Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9 + 10) .....		98,466,605
12.	Deduct total nonadmitted amounts .....		
13.	Statement value at end of current period (Line 11 minus Line 12) .....		98,466,605



## SCHEDULE D - SUMMARY BY COUNTRY

### Long-Term Bonds and Stocks OWNED December 31 of Current Year

Description		1 Book/Adjusted Carrying Value	2 Fair Value	3 Actual Cost	4 Par Value of Bonds
<b>BONDS</b>					
Governments (Including all obligations guaranteed by governments)	1. United States .....	2,214,744	2,226,576	2,219,125	2,179,823
	2. Canada .....				
	3. Other Countries .....				
	4. TOTALS .....	2,214,744	2,226,576	2,219,125	2,179,823
U.S. States, Territories and Possessions (Direct and guaranteed)	5. TOTALS .....	555,727	573,636	557,668	500,000
U.S. Political Subdivisions of States, Territories and Possessions (Direct and guaranteed)	6. TOTALS .....	2,591,748	2,735,380	2,654,527	2,510,000
U.S. Special revenue and special assessment obligations and all non-guaranteed obligations of agencies and authorities of governments and their political subdivisions	7. TOTALS .....	17,292,962	18,018,389	17,595,338	16,544,480
Industrial and Miscellaneous, SVO Identified Funds, Unaffiliated Bank Loans and Hybrid Securities (unaffiliated)	8. United States .....	58,404,041	61,028,785	58,573,528	58,383,488
	9. Canada .....				
	10. Other Countries .....	3,995,079	4,144,356	4,057,188	4,000,000
	11. TOTALS .....	62,399,120	65,173,141	62,630,716	62,383,488
Parent, Subsidiaries and Affiliates	12. TOTALS .....				
	13. TOTAL Bonds .....	85,054,301	88,727,122	85,657,374	84,117,791
<b>PREFERRED STOCKS</b>					
Industrial and Miscellaneous (unaffiliated)	14. United States .....				
	15. Canada .....				
	16. Other Countries .....				
	17. TOTALS .....				
Parent, Subsidiaries and Affiliates	18. TOTALS .....				
	19. TOTAL Preferred Stocks .....				
<b>COMMON STOCKS</b>					
Industrial and Miscellaneous (unaffiliated)	20. United States .....	11,998,951	11,998,951	11,339,130	
	21. Canada .....	199,528	199,528	189,482	
	22. Other Countries .....	1,213,825	1,213,825	1,164,408	
	23. TOTALS .....	13,412,304	13,412,304	12,693,020	
Parent, Subsidiaries and Affiliates	24. TOTALS .....				
	25. TOTAL Common Stocks .....	13,412,304	13,412,304	12,693,020	
	26. TOTAL Stocks .....	13,412,304	13,412,304	12,693,020	
	27. TOTAL Bonds and Stocks .....	98,466,605	102,139,426	98,350,394	

## SCHEDULE D - PART 1A - SECTION 1

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Column 7 as a % of Line 11.7	9 Total From Column 7 Prior Year	10 % From Column 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed (a)
1. U.S. Governments												
1.1 NAIC 1	1,084,604	711,383	253,451	146,292	19,014	X X X	2,214,744	2.60	3,332,642	3.82	2,214,744	
1.2 NAIC 2						X X X						
1.3 NAIC 3						X X X						
1.4 NAIC 4						X X X						
1.5 NAIC 5						X X X						
1.6 NAIC 6						X X X						
1.7 TOTALS	1,084,604	711,383	253,451	146,292	19,014	X X X	2,214,744	2.60	3,332,642	3.82	2,214,744	
2. All Other Governments												
2.1 NAIC 1						X X X						
2.2 NAIC 2						X X X						
2.3 NAIC 3						X X X						
2.4 NAIC 4						X X X						
2.5 NAIC 5						X X X						
2.6 NAIC 6						X X X						
2.7 TOTALS						X X X						
3. U.S. States, Territories and Possessions, etc., Guaranteed												
3.1 NAIC 1				431,220	124,507	X X X	555,727	0.65	676,327	0.78	555,727	
3.2 NAIC 2						X X X						
3.3 NAIC 3						X X X						
3.4 NAIC 4						X X X						
3.5 NAIC 5						X X X						
3.6 NAIC 6						X X X						
3.7 TOTALS				431,220	124,507	X X X	555,727	0.65	676,327	0.78	555,727	
4. U.S. Political Subdivisions of States, Territories & Possessions, Guaranteed												
4.1 NAIC 1		274,644	1,817,106	250,000	250,000	X X X	2,591,750	3.05	3,248,610	3.72	2,591,750	
4.2 NAIC 2						X X X						
4.3 NAIC 3						X X X						
4.4 NAIC 4						X X X						
4.5 NAIC 5						X X X						
4.6 NAIC 6						X X X						
4.7 TOTALS		274,644	1,817,106	250,000	250,000	X X X	2,591,750	3.05	3,248,610	3.72	2,591,750	
5. U.S. Special Revenue & Special Assessment Obligations etc., Non-Guaranteed												
5.1 NAIC 1	1,296,817	4,958,385	4,319,098	4,008,508	2,710,158	X X X	17,292,966	20.33	22,759,845	26.10	17,292,965	
5.2 NAIC 2						X X X						
5.3 NAIC 3						X X X						
5.4 NAIC 4						X X X						
5.5 NAIC 5						X X X						
5.6 NAIC 6						X X X						
5.7 TOTALS	1,296,817	4,958,385	4,319,098	4,008,508	2,710,158	X X X	17,292,966	20.33	22,759,845	26.10	17,292,965	

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## SCHEDULE D - PART 1A - SECTION 1 (Continued)

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

	1	2	3	4	5	6	7	8	9	10	11	12
NAIC Designation	1 Year or Less	Over 1 Year Through 5 Years	Over 5 Years Through 10 Years	Over 10 Years Through 20 Years	Over 20 Years	No Maturity Date	Total Current Year	Column 7 as a % of Line 11.7	Total From Column 7 Prior Year	% From Column 8 Prior Year	Total Publicly Traded	Total Privately Placed (a)
6. Industrial and Miscellaneous (unaffiliated)												
6.1 NAIC 1	3,254,063	26,367,000	19,150,164	283,715	3,001,909	X X X	52,056,851	61.20	45,149,718	51.77	40,385,181	11,671,670
6.2 NAIC 2	249,978	4,514,642	4,628,634	456,418	492,591	X X X	10,342,263	12.16	12,046,670	13.81	9,093,915	1,248,348
6.3 NAIC 3						X X X						
6.4 NAIC 4						X X X						
6.5 NAIC 5						X X X						
6.6 NAIC 6						X X X						
6.7 TOTALS	3,504,041	30,881,642	23,778,798	740,133	3,494,500	X X X	62,399,114	73.36	57,196,388	65.58	49,479,096	12,920,018
7. Hybrid Securities												
7.1 NAIC 1						X X X						
7.2 NAIC 2						X X X						
7.3 NAIC 3						X X X						
7.4 NAIC 4						X X X						
7.5 NAIC 5						X X X						
7.6 NAIC 6						X X X						
7.7 TOTALS						X X X						
8. Parent, Subsidiaries and Affiliates												
8.1 NAIC 1						X X X						
8.2 NAIC 2						X X X						
8.3 NAIC 3						X X X						
8.4 NAIC 4						X X X						
8.5 NAIC 5						X X X						
8.6 NAIC 6						X X X						
8.7 TOTALS						X X X						
9. SVO Identified Funds												
9.1 NAIC 1	X X X	X X X	X X X	X X X	X X X							
9.2 NAIC 2	X X X	X X X	X X X	X X X	X X X							
9.3 NAIC 3	X X X	X X X	X X X	X X X	X X X							
9.4 NAIC 4	X X X	X X X	X X X	X X X	X X X							
9.5 NAIC 5	X X X	X X X	X X X	X X X	X X X							
9.6 NAIC 6	X X X	X X X	X X X	X X X	X X X							
9.7 TOTALS	X X X	X X X	X X X	X X X	X X X							
10. Unaffiliated Bank Loans												
10.1 NAIC 1						X X X						
10.2 NAIC 2						X X X						
10.3 NAIC 3						X X X						
10.4 NAIC 4						X X X						
10.5 NAIC 5						X X X						
10.6 NAIC 6						X X X						
10.7 TOTALS						X X X						

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## SCHEDULE D - PART 1A - SECTION 1 (Continued)

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values By Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Column 7 as a % of Line 11.7	9 Total From Column 7 Prior Year	10 % From Column 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed (a)
11. Total Bonds Current Year												
11.1 NAIC 1	(d) 5,635,484	32,311,412	25,539,819	5,119,735	6,105,588		74,712,038	87.84	X X X	X X X	63,040,367	11,671,670
11.2 NAIC 2	(d) 249,978	4,514,642	4,628,634	456,418	492,591		10,342,263	12.16	X X X	X X X	9,093,915	1,248,348
11.3 NAIC 3	(d)								X X X	X X X		
11.4 NAIC 4	(d)								X X X	X X X		
11.5 NAIC 5	(d)						(c)		X X X	X X X		
11.6 NAIC 6	(d)						(c)		X X X	X X X		
11.7 TOTALS	5,885,462	36,826,054	30,168,453	5,576,153	6,598,179		(b) 85,054,301	100.00	X X X	X X X	72,134,282	12,920,018
11.8 Line 11.7 as a % of Column 7	6.92	43.30	35.47	6.56	7.76		100.00		X X X	X X X	84.81	15.19
12. Total Bonds Prior Year												
12.1 NAIC 1	5,340,281	34,778,676	26,713,312	4,781,251	3,553,625		X X X	X X X	75,167,145	86.19	63,845,063	11,322,082
12.2 NAIC 2	279,748	6,738,838	3,681,601	1,346,483			X X X	X X X	12,046,670	13.81	11,548,165	498,505
12.3 NAIC 3							X X X	X X X				
12.4 NAIC 4							X X X	X X X				
12.5 NAIC 5							X X X	X X X	(c)			
12.6 NAIC 6							X X X	X X X	(c)			
12.7 TOTALS	5,620,029	41,517,514	30,394,913	6,127,734	3,553,625		X X X	X X X	(b) 87,213,815	100.00	75,393,228	11,820,587
12.8 Line 12.7 as a % of Col. 9	6.44	47.60	34.85	7.03	4.07		X X X	X X X	100.00		86.45	13.55
13. Total Publicly Traded Bonds												
13.1 NAIC 1	4,754,394	24,965,307	22,126,713	5,088,366	6,105,588		63,040,368	74.12	63,845,063	73.21	63,040,368	X X X
13.2 NAIC 2	249,978	3,516,294	4,378,634	456,418	492,591		9,093,915	10.69	11,548,165	13.24	9,093,915	X X X
13.3 NAIC 3												X X X
13.4 NAIC 4												X X X
13.5 NAIC 5												X X X
13.6 NAIC 6												X X X
13.7 TOTALS	5,004,372	28,481,601	26,505,347	5,544,784	6,598,179		72,134,283	84.81	75,393,228	86.45	72,134,283	X X X
13.8 Line 13.7 as a % of Col. 7	6.94	39.48	36.74	7.69	9.15		100.00		X X X	X X X	100.00	X X X
13.9 Line 13.7 as a % of Line 11.7, Col. 7, Section 11	5.88	33.49	31.16	6.52	7.76		84.81		X X X	X X X	84.81	X X X
14. Total Privately Placed Bonds												
14.1 NAIC 1	881,090	7,346,105	3,413,106	31,369			11,671,670	13.72	11,322,082	12.98	X X X	11,671,670
14.2 NAIC 2		998,348	250,000				1,248,348	1.47	498,505	0.57	X X X	1,248,348
14.3 NAIC 3											X X X	
14.4 NAIC 4											X X X	
14.5 NAIC 5											X X X	
14.6 NAIC 6											X X X	
14.7 TOTALS	881,090	8,344,453	3,663,106	31,369			12,920,018	15.19	11,820,587	13.55	X X X	12,920,018
14.8 Line 14.7 as a % of Col. 7	6.82	64.59	28.35	0.24			100.00		X X X	X X X	X X X	100.00
14.9 Line 14.7 as a % of Line 11.7, Col. 7, Section 11	1.04	9.81	4.31	0.04			15.19		X X X	X X X	X X X	15.19

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(a) Includes \$.....12,920,018 freely tradable under SEC Rule 144 or qualified for resale under SEC Rule 144A.  
 (b) Includes \$.....0 current year of bonds with Z designations, \$.....0 prior year of bonds with Z designations. The letter "Z" means the NAIC designation was not assigned by the Securities Valuation Office (SVO) at the date of the statement. "Z\*" means the SVO could not evaluate the obligation because valuation procedures for the security class is under regulatory review.  
 (c) Includes \$.....0 current year of bonds with 5GI designations, \$.....0 prior year of bonds with 5\* or 5GI designations and \$.....0 current year, \$.....0 prior year of bonds with 6\* designations. "5GI" means the NAIC designation was assigned by the SVO in reliance on the insurer's certification that the issuer is current in all principal and interest payments. "6\*" means the NAIC designation was assigned by the SVO due to inadequate certification of principal and interest payments.  
 (d) Includes the following amount of short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$.....0; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0.

# SCHEDULE D - PART 1A - SECTION 2

## Maturity Distribution of All Bonds Owned December 31, At Book/Adjusted Carrying Values by Major Type and Subtype of Issues

Distribution by Type		1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Column 7 as a % of Line 11.08	9 Total From Column 7 Prior Year	10 % From Column 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed
1.	U.S. Governments												
1.01	Issuer Obligations	700,318	100,145				X X X	800,463	0.94	1,348,571	1.55	800,463	
1.02	Residential Mortgage-Backed Securities	384,286	611,238	253,451	146,292	19,014	X X X	1,414,281	1.66	1,984,071	2.27	1,414,281	
1.03	Commercial Mortgage-Backed Securities						X X X						
1.04	Other Loan-Backed and Structured Securities						X X X						
1.05	TOTALS	1,084,604	711,383	253,451	146,292	19,014	X X X	2,214,744	2.60	3,332,642	3.82	2,214,744	
2.	All Other Governments												
2.01	Issuer Obligations						X X X						
2.02	Residential Mortgage-Backed Securities						X X X						
2.03	Commercial Mortgage-Backed Securities						X X X						
2.04	Other Loan-Backed and Structured Securities						X X X						
2.05	TOTALS						X X X						
3.	U.S. States, Territories and Possessions, Guaranteed												
3.01	Issuer Obligations				431,220	124,507	X X X	555,727	0.65	676,327	0.78	555,727	
3.02	Residential Mortgage-Backed Securities						X X X						
3.03	Commercial Mortgage-Backed Securities						X X X						
3.04	Other Loan-Backed and Structured Securities						X X X						
3.05	TOTALS				431,220	124,507	X X X	555,727	0.65	676,327	0.78	555,727	
4.	U.S. Political Subdivisions of States, Territories and Possessions, Guaranteed												
4.01	Issuer Obligations		274,644	1,817,106	250,000	250,000	X X X	2,591,750	3.05	3,248,610	3.72	2,591,750	
4.02	Residential Mortgage-Backed Securities						X X X						
4.03	Commercial Mortgage-Backed Securities						X X X						
4.04	Other Loan-Backed and Structured Securities						X X X						
4.05	TOTALS		274,644	1,817,106	250,000	250,000	X X X	2,591,750	3.05	3,248,610	3.72	2,591,750	
5.	U.S. Special Revenue & Special Assessment Obligations, etc., Non-Guaranteed												
5.01	Issuer Obligations	6,588	2,326,929	3,038,670	3,176,214	2,606,962	X X X	11,155,363	13.12	12,246,129	14.04	11,155,363	
5.02	Residential Mortgage-Backed Securities	1,290,229	2,631,455	1,280,428	832,294	103,196	X X X	6,137,602	7.22	10,513,716	12.06	6,137,602	
5.03	Commercial Mortgage-Backed Securities						X X X						
5.04	Other Loan-Backed and Structured Securities						X X X						
5.05	TOTALS	1,296,817	4,958,384	4,319,098	4,008,508	2,710,158	X X X	17,292,965	20.33	22,759,845	26.10	17,292,965	
6.	Industrial and Miscellaneous												
6.01	Issuer Obligations	2,528,563	16,083,845	14,796,574	708,765	3,494,500	X X X	37,612,247	44.22	37,059,118	42.49	36,113,899	1,498,348
6.02	Residential Mortgage-Backed Securities	312,357	769,046	368,969	31,369		X X X	1,481,741	1.74	675,073	0.77		1,481,741
6.03	Commercial Mortgage-Backed Securities	500,000	6,304,200	5,819,118			X X X	12,623,318	14.84	9,787,132	11.22	12,123,318	500,000
6.04	Other Loan-Backed and Structured Securities	163,122	7,724,551	2,794,136			X X X	10,681,809	12.56	9,675,068	11.09	1,241,880	9,439,929
6.05	TOTALS	3,504,042	30,881,642	23,778,797	740,134	3,494,500	X X X	62,399,115	73.36	57,196,391	65.58	49,479,097	12,920,018
7.	Hybrid Securities												
7.01	Issuer Obligations						X X X						
7.02	Residential Mortgage-Backed Securities						X X X						
7.03	Commercial Mortgage-Backed Securities						X X X						
7.04	Other Loan-Backed and Structured Securities						X X X						
7.05	TOTALS						X X X						
8.	Parent, Subsidiaries and Affiliates												
8.01	Issuer Obligations						X X X						
8.02	Residential Mortgage-Backed Securities						X X X						
8.03	Commercial Mortgage-Backed Securities						X X X						
8.04	Other Loan-Backed and Structured Securities						X X X						
8.05	Affiliated Bank Loans - Issued						X X X						
8.06	Affiliated Bank Loans - Acquired						X X X						
8.07	TOTALS						X X X						

# SCHEDULE D - PART 1A - SECTION 2 (Continued)

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

		1	2	3	4	5	6	7	8	9	10	11	12	
		1 Year or Less	Over 1 Year Through 5 Years	Over 5 Years Through 10 Years	Over 10 Years Through 20 Years	Over 20 Years	No Maturity Date	Total Current Year	Column 7 as a % of Line 11.08	Total From Column 7 Prior Year	% From Column 8 Prior Year	Total Publicly Traded	Total Privately Placed	
9.	SVO Identified Funds													
	9.01 Exchange Traded Funds - as Identified by the SVO	X X X	X X X	X X X	X X X	X X X								
	9.02 Bond Mutual Funds - as Identified by the SVO	X X X	X X X	X X X	X X X	X X X								
	9.03 TOTALS	X X X	X X X	X X X	X X X	X X X								
10.	Unaffiliated Bank Loans													
	10.01 Unaffiliated Bank Loans - Issued						X X X							
	10.02 Unaffiliated Bank Loans - Acquired						X X X							
	10.03 TOTALS						X X X							
11.	Total Bonds Current Year													
	11.01 Issuer Obligations	3,235,469	18,785,563	19,652,350	4,566,199	6,475,969	X X X	52,715,550	61.98	X X X	X X X	51,217,202	1,498,348	
	11.02 Residential Mortgage-Backed Securities	1,986,872	4,011,739	1,902,848	1,009,955	122,210	X X X	9,033,624	10.62	X X X	X X X	7,551,883	1,481,741	
	11.03 Commercial Mortgage-Backed Securities	500,000	6,304,200	5,819,118			X X X	12,623,318	14.84	X X X	X X X	12,123,318	500,000	
	11.04 Other Loan-Backed and Structured Securities	163,122	7,724,551	2,794,136			X X X	10,681,809	12.56	X X X	X X X	1,241,880	9,439,929	
	11.05 SVO Identified Funds	X X X	X X X	X X X	X X X	X X X				X X X	X X X			
	11.06 Affiliated Bank Loans						X X X			X X X	X X X			
	11.07 Unaffiliated Bank Loans						X X X			X X X	X X X			
	11.08 TOTALS	5,885,463	36,826,053	30,168,452	5,576,154	6,598,179		85,054,301	100.00	X X X	X X X		72,134,283	12,920,018
	11.09 Line 11.08 as a % of Col. 7	6.92	43.30	35.47	6.56	7.76		100.00	X X X	X X X	X X X		84.81	15.19
12.	Total Bonds Prior Year													
	12.01 Issuer Obligations	2,499,198	25,226,993	20,333,299	3,375,033	3,144,232	X X X	X X X	X X X	54,578,755	62.58	54,080,250	498,505	
	12.02 Residential Mortgage-Backed Securities	1,538,959	4,955,508	3,516,300	2,752,706	409,387	X X X	X X X	X X X	13,172,860	15.10	12,497,787	675,073	
	12.03 Commercial Mortgage-Backed Securities	1,471,941	5,388,569	2,926,622			X X X	X X X	X X X	9,787,132	11.22	8,315,191	1,471,941	
	12.04 Other Loan-Backed and Structured Securities	109,926	5,946,449	3,618,693			X X X	X X X	X X X	9,675,068	11.09	500,000	9,175,068	
	12.05 SVO Identified Funds	X X X	X X X	X X X	X X X	X X X		X X X	X X X					
	12.06 Affiliated Bank Loans						X X X	X X X	X X X					
	12.07 Unaffiliated Bank Loans						X X X	X X X	X X X					
	12.08 TOTALS	5,620,024	41,517,519	30,394,914	6,127,739	3,553,619		X X X	X X X	87,213,815	100.00		75,393,228	11,820,587
	12.09 Line 12.08 as a % of Col. 9	6.44	47.60	34.85	7.03	4.07		X X X	X X X	100.00	X X X		86.45	13.55
13.	Total Publicly Traded Bonds													
	13.01 Issuer Obligations	3,235,470	17,787,216	19,152,349	4,566,198	6,475,969	X X X	51,217,202	60.22	54,080,250	62.01	51,217,202	X X X	
	13.02 Residential Mortgage-Backed Securities	1,674,514	3,242,694	1,533,879	978,586	122,210	X X X	7,551,883	8.88	12,497,787	14.33	7,551,883	X X X	
	13.03 Commercial Mortgage-Backed Securities		6,304,200	5,819,118			X X X	12,123,318	14.25	8,315,191	9.53	12,123,318	X X X	
	13.04 Other Loan-Backed and Structured Securities	94,389	1,147,491				X X X	1,241,880	1.46	500,000	0.57	1,241,880	X X X	
	13.05 SVO Identified Funds	X X X	X X X	X X X	X X X	X X X							X X X	
	13.06 Affiliated Bank Loans						X X X						X X X	
	13.07 Unaffiliated Bank Loans						X X X						X X X	
	13.08 TOTALS	5,004,373	28,481,601	26,505,346	5,544,784	6,598,179		72,134,283	84.81	75,393,228	86.45		72,134,283	X X X
	13.09 Line 13.08 as a % of Col. 7	6.94	39.48	36.74	7.69	9.15		100.00	X X X	X X X	X X X		100.00	X X X
13.10 Line 13.08 as a % of Line 11.08, Col. 7, Section 11	5.88	33.49	31.16	6.52	7.76		84.81	X X X	X X X	X X X		84.81	X X X	
14.	Total Privately Placed Bonds													
	14.01 Issuer Obligations		998,348	500,000			X X X	1,498,348	1.76	498,505	0.57	X X X	1,498,348	
	14.02 Residential Mortgage-Backed Securities	312,357	769,046	368,969	31,369		X X X	1,481,741	1.74	675,073	0.77	X X X	1,481,741	
	14.03 Commercial Mortgage-Backed Securities	500,000					X X X	500,000	0.59	1,471,941	1.69	X X X	500,000	
	14.04 Other Loan-Backed and Structured Securities	68,733	6,577,060	2,794,136			X X X	9,439,929	11.10	9,175,068	10.52	X X X	9,439,929	
	14.05 SVO Identified Funds	X X X	X X X	X X X	X X X	X X X							X X X	
	14.06 Affiliated Bank Loans						X X X						X X X	
	14.07 Unaffiliated Bank Loans						X X X						X X X	
	14.08 TOTALS	881,090	8,344,454	3,663,105	31,369			12,920,018	15.19	11,820,587	13.55		X X X	12,920,018
	14.09 Line 14.08 as a % of Col. 7	6.82	64.59	28.35	0.24			100.00	X X X	X X X	X X X		X X X	100.00
14.10 Line 14.08 as a % of Line 11.08, Col. 7, Section 11	1.04	9.81	4.31	0.04			15.19	X X X	X X X	X X X		X X X	15.19	

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SI10 Schedule DA - Verification ..... NONE

SI11 Schedule DB Part A Verification ..... NONE

SI11 Schedule DB Part B Verification ..... NONE

SI12 Schedule DB Part C Sn 1 - Rep. (Syn Asset) Transactions ..... NONE

SI13 Schedule DB Part C Sn 2 - Rep. (Syn Asset) Transactions ..... NONE

SI14 Schedule DB Verification ..... NONE

## SCHEDULE E - PART 2 - VERIFICATION BETWEEN YEARS (Cash Equivalents)

	1	2	3	4
	Total	Bonds	Money Market Mutual Funds	Other (a)
1. Book/adjusted carrying value, December 31 of prior year .....	1,326,582		1,326,582	
2. Cost of cash equivalents acquired .....	35,100,244	3,693,285	31,406,959	
3. Accrual of discount .....	6,715	6,715		
4. Unrealized valuation increase (decrease) .....				
5. TOTAL gain (loss) on disposals .....				
6. Deduct consideration received on disposals .....	32,988,470	3,700,000	29,288,470	
7. Deduct amortization of premium .....				
8. TOTAL foreign exchange change in book/adjusted carrying value .....				
9. Deduct current year's other-than-temporary impairment recognized .....				
10. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9) .....	3,445,071		3,445,071	
11. Deduct total nonadmitted amounts .....				
12. Statement value at end of current period (Lines 10 minus 11) .....	3,445,071		3,445,071	

(a) Indicate the category of such investments, for example, joint ventures, transportation equipment:



## SCHEDULE A - PART 1

Showing all Real Estate OWNED December 31 of Current Year

1 Description of Property	2 Code	Location		5 Date Acquired	6 Date of Last Appraisal	7 Actual Cost	8 Amount of Encumbrances	9 Book/Adjusted Carrying Value Less Encumbrances	10 Fair Value Less Encumbrances	Change in Book/Adjusted Carrying Value Less Encumbrances					16 Gross Income Earned Less Interest Incurred on Encumbrances	17 Taxes, Repairs, and Expenses Incurred
		3 City	4 State							11 Current Year's Depreciation	12 Current Year's Other-Than-Temporary Impairment Recognized	13 Current Year's Change in Encumbrances	14 Total Change in B./A.C.V. (13 - 11 - 12)	15 Total Foreign Exchange Change in B./A.C.V.		
<b>Properties held for the production of income</b>																
2997 3rd Street Unit 206 .....	! !	Moline .....	IL	10/31/2019	09/24/2019	127,746		127,244		502			(502)			575
102-114 W 17th Avenue .....	! !	Milan .....	IL	10/31/2019	09/19/2019	414,745		413,384		1,361			(1,361)			745
0499999 Subtotal - Properties held for the production of income .....						542,491		540,628		1,863			(1,863)			1,320
0699999 Totals .....						542,491		540,628		1,863			(1,863)			1,320

## SCHEDULE A - PART 2

Showing all Real Estate ACQUIRED and Additions Made During the Year

1 Description of Property	Location		4 Date Acquired	5 Name of Vendor	6 Actual Cost at Time of Acquisition	7 Amount of Encumbrances	8 Book/Adjusted Carrying Value Less Encumbrances	9 Additional Investment Made After Acquisition
	2 City	3 State						
<b>Acquired by Purchase</b>								
2997 3rd Street Unit 206 .....	Moline .....	IL .....	10/31/2019 .....	Trista J. Smith .....	127,746		127,244	
102-114 W 17th Avenue .....	Milan .....	IL .....	10/31/2019 .....	David M Weiner Trust .....	414,745		413,384	
0199999 Subtotal - Acquired by Purchase .....					542,491		540,628	
0399999 Totals .....					542,491		540,628	

**E03 Schedule A - Part 3 Real Estate Disposed ..... NONE**

**E04 Schedule B Part 1 - Mortgage Loans Owned ..... NONE**

**E05 Schedule B Part 2 - Mortgage Loans Acquired ..... NONE**

**E06 Schedule B Part 3 - Mortgage Loans Disposed ..... NONE**

# SCHEDULE BA - PART 1

## Showing Other Long-Term Invested Assets OWNED December 31 of Current Year

1 CUSIP Identification	2 Name or Description	3 Code	Location		6 Name of Vendor or General Partner	7 NAIC Designation and Administrative Symbol	8 Date Originally Acquired	9 Type and Strategy	10 Actual Cost	11 Fair Value	12 Book/ Adjusted Carrying Value Less Encumbrances	Change in Book/Adjusted Carrying Value					18 Investment Income	19 Commitment for Additional Investment	20 Percentage of Ownership
			4 City	5 State								13 Unrealized Valuation Increase (Decrease)	14 Current Year's (Depreciation) or (Amortization)/ Accretion	15 Current Year's Other-Than- Temporary Impairment Recognized	16 Capitalized Deferred Interest and Other	17 Total Foreign Exchange Change in B./A. C. V.			
<b>Collateral Loans - Affiliated</b>																			
.....	ICC Holdings, Inc., Parent	LS	Rock Island	IL	Internal Transfer	.....	09/07/2018	.....	3,000,000	2,870,692	2,870,692	.....	.....	.....	.....	.....	109,446	.....	100.000
.....	ICC Holdings, Inc., Parent	LS	Rock Island	IL	Internal Transfer	.....	05/30/2019	.....	3,000,000	2,938,860	2,938,860	.....	.....	.....	.....	.....	60,544	.....	100.000
3099999 Subtotal - Collateral Loans - Affiliated									6,000,000	5,809,552	5,809,552	.....	.....	.....	.....	169,990	.....	X X X	
4999999 Total - Affiliated									6,000,000	5,809,552	5,809,552	.....	.....	.....	.....	169,990	.....	X X X	
5099999 Totals									6,000,000	5,809,552	5,809,552	.....	.....	.....	.....	169,990	.....	X X X	

## SCHEDULE BA - PART 2

**Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE December 31 of Current Year**

1 CUSIP Identification	2 Name or Description	Location		5 Name of Vendor or General Partner	6 Date Originally Acquired	7 Type and Strategy	8 Actual Cost at Time of Acquisition	9 Additional Investment Made After Acquisition	10 Amount of Encumbrances	11 Percentage of Ownership
		3 City	4 State							
<b>Collateral Loans - Affiliated</b>										
	ICC Holdings, Inc.	Rock Island	IL	Internal Transfer	05/30/2019		3,000,000			100.000
3099999 Subtotal - Collateral Loans - Affiliated							3,000,000			X X X
4999999 Total - Affiliated							3,000,000			X X X
5099999 Totals							3,000,000			X X X

### SCHEDULE BA - PART 3

#### Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Year

1 CUSIP Identification	2 Name or Description	Location		5 Name of Purchaser or Nature of Disposal	6 Date Originally Acquired	7 Disposal Date	8 Book/Adjusted Carrying Value Less Encumbrances, Prior Year	Change in Book/Adjusted Carrying Value						15 Book/Adjusted Carrying Value Less Encumbrances on Disposal	16 Consideration	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Investment Income
		3 City	4 State					9 Unrealized Valuation Increase (Decrease)	10 Current Year's (Depreciation) or (Amortization)/ Accretion	11 Current Year's Other-Than- Temporary Impairment Recognized	12 Capitalized Deferred Interest and Other	13 Total Change in B./A.C.V. (9 + 10 - 11 + 12)	14 Total Foreign Exchange Change in B./A.C.V.						
<b>Collateral Loans - Affiliated</b>																			
.....	ICC Holdings, Inc. ....	Rock Island .....	IL .....	Internal Transfer .....	09/07/2018 .....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....
.....	ICC Holdings, Inc. ....	Rock Island .....	IL .....	Internal Transfer .....	05/30/2019 .....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....	.....
3099999 Subtotal - Collateral Loans - Affiliated .....																			
4999999 Total - Affiliated .....																			
5099999 Totals .....																			

SCHEDULE D - PART 1

Showing all Long-Term BONDS Owned December 31 of Current Year

Table with columns: 1 (CUSIP), 2 (Description), 3-5 (Codes: F, O, R, E, I, G, N), 6 (NAIC Designation), 7 (Actual Cost), 8-9 (Fair Value: Rate Used to Obtain Fair Value, Fair Value), 10 (Par Value), 11 (Book/Adjusted Carrying Value), 12-15 (Change in Book Adjusted Carrying Value: Unrealized Valuation Increase/Decrease, Current Year's Amortization/Accretion, Current Year's Other-Than-Temporary Impairment Recognized, Total Foreign Exchange Change in B./A.C.V.), 16-20 (Interest: Rate of Interest, Effective Rate of Interest, When Paid, Admitted Amount Due and Accrued, Amount Received During Year), 21-22 (Dates: Acquired, Stated Contractual Maturity Date).

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## SCHEDULE D - PART 1

**Showing all Long-Term BONDS Owned December 31 of Current Year**

1 CUSIP Identification	2 Description	Codes			6 NAIC Designation and Admin- istrative Symbol	7 Actual Cost	Fair Value		10 Par Value	11 Book/ Adjusted Carrying Value	Change in Book Adjusted Carrying Value				Interest					Dates	
		3 Code	4 F O R E I G N	5 Bond CHAR			8 Rate Used to Obtain Fair Value	9 Fair Value			12 Unrealized Valuation Increase/ (Decrease)	13 Current Year's (Amortization)/ Accretion	14 Current Year's Other- Than- Temporary Impairment Recognized	15 Total Foreign Exchange Change in B./A.C.V.	16 Rate of	17 Effective Rate of Interest	18 When Paid	19 Admitted Amount Due and Accrued	20 Amount Received During Year	21 Acquired	22 Stated Contractual Maturity Date
7999999	Subtotals - Other Loan-Backed and Structured Securities					10,686,711	XXX	10,712,467	10,679,395	10,681,817		(5,048)		XXX	XXX	XXX	40,548	340,228	XXX	XXX	
8399999	Grand Total - Bonds					85,657,389	XXX	88,727,138	84,117,792	85,054,301		(197,501)		XXX	XXX	XXX	607,836	2,676,605	XXX	XXX	

## SCHEDULE D - PART 2 - SECTION 1

Showing all PREFERRED STOCKS Owned December 31 of Current Year

1 CUSIP Identification	2 Description	Codes		5 Number of Shares	6 Par Value Per Share	7 Rate Per Share	8 Book/Adjusted Carrying Value	Fair Value		11 Actual Cost	Dividends			Change in Book/Adjusted Carrying Value					20 NAIC Designation and Administrative Symbol	21 Date Acquired		
		3 Code	4 For- eign					9 Rate Per Share Used to Obtain Fair Value	10 Fair Value		12 Declared but Unpaid	13 Amount Received During Year	14 Nonadmitted Declared But Unpaid	15 Unrealized Valuation Increase/ (Decrease)	16 Current Year's (Amortization) Accretion	17 Current Year's Other-Than- Temporary Impairment Recognized	18 Total Change in B./A.C.V. (15+16-17)	19 Total Foreign Exchange Change in B./A.C.V.				
<b>NONE</b>																						
8999999 Total Preferred Stocks .....								... XXX ...												... XXX ...	. XXX .	





**SCHEDULE D - PART 2 - SECTION 2**  
**Showing All COMMON STOCKS Owned December 31 of Current Year**

1 CUSIP Identification	2 Description	Codes		5 Number of Shares	6 Book/Adjusted Carrying Value	Fair Value		9 Actual Cost	Dividends			Change in Book/Adjusted Carrying Value				17 Date Acquired	18 NAIC Designation
		3 Code	4 For- eign			7 Rate per Share Used to Obtain Fair Value	8 Fair Value		10 Declared but Unpaid	11 Amount Received During Year	12 Nonadmitted Declared But Unpaid	13 Unrealized Valuation Increase/ (Decrease)	14 Current Year's Other-Than- Temporary Impairment Recognized	15 Total Change in B./A.C.V. (Col. 13-14)	16 Total Foreign Exchange Change in B./A.C.V.		
629209305	NMI HOLDINGS INC-CLASS A			853.000	28.303	33.180	28,303	19,302				9,080		9,080		04/02/2019	
64049M209	NEOGENOMICS INC			1,125.000	32.906	29.250	32,906	22,550				10,357		10,357		04/02/2019	
651718504	NEWPARK RESOURCES INC			2,484.000	15.575	6.270	15,575	22,140				(2,987)		(2,987)		04/02/2019	
65339F101	NEXTERA ENERGY INC			940.000	227.630	242.160	227,630	205,291			1,088			22,339		12/05/2019	
67066G104	NVIDIA CORP			655.000	154.122	235.300	154,122	161,895			419			66,679		01/31/2018	
683344105	ONTO INNOVATION INC			509.000	18.599	36.540	18,599	17,254						1,345		10/28/2019	
69478X105	PACIFIC PREMIER BANCORP INC			559.000	18.226	32.605	18,226	20,448			444			3,466		04/02/2019	
701877102	PARSLEY ENERGY INC-CLASS A			2,264.000	42.812	18.910	42,812	48,191						4,326		03/22/2019	
70338P100	PATTERN ENERGY GROUP INC								325							04/02/2019	
707569109	PENN NATIONAL GAMING INC			613.000	15.668	25.560	15,668	16,801						3,574		04/02/2019	
70788V102	PENN VIRGINIA CORP			563.000	17.087	30.350	17,087	18,618					(1,531)	(1,531)		07/25/2019	
71375U101	PERFICIENT INC			684.000	31.512	46.070	31,512	24,303						7,209		07/25/2019	
718172109	PHILIP MORRIS INTERNATIONAL INC			1,870.000	159.118	85.090	159,118	156,349		2,188				18,537		12/04/2019	
723787107	PIONEER NATURAL RESOURCES CO			263.000	39.810	151.370	39,810	37,926		116				1,884		05/30/2019	
74340W103	PROLOGIS INC			2,579.000	229.892	89.140	229,892	235,188						1,367		12/05/2019	
744320102	PRUDENTIAL FINANCIAL INC			627.000	58.775	93.740	58,775	60,531						1,254		05/30/2019	
74736A103	QTS REALTY TRUST INC-CL A			427.000	23.173	54.270	23,173	22,733		188				440		12/05/2019	
74762E102	QUANTA SERVICES INC			1,277.000	51.987	40.710	51,987	45,428		64				6,558		05/30/2019	
74874Q100	QUINSTREET INC			1,449.000	22.184	15.310	22,184	22,993						(809)		12/05/2019	
755111507	RAYTHEON COMPANY			363.000	79.766	219.740	79,766	70,720						1,047		07/26/2019	
75886F107	REGENERON PHARMACEUTICALS			261.000	98.000	375.480	98,000	96,499						517		01/31/2018	
784117103	SEI INVESTMENTS COMPANY			811.000	53.104	65.480	53,104	60,923		284				15,636		01/31/2018	
78463M107	SPS COMMERCE INC			478.000	26.491	55.420	26,491	26,771						(281)		12/05/2019	
78486Q101	SVB FINANCIAL GROUP			142.000	35.648	251.040	35,648	30,292						5,356		05/30/2019	
79466L302	SALESFORCE.COM INC			567.000	92.217	162.640	92,217	88,470						3,747		12/04/2019	
816850101	SEMTECH CORP			343.000	18.145	52.900	18,145	15,186						1,746		04/02/2019	
816851109	SEMPRA ENERGY			388.000	58.774	151.480	58,774	51,181		375				7,593		05/30/2019	
82900L102	SIMPLY GOOD FOODS CO/THE			1,124.000	32.079	28.540	32,079	24,699						7,380		04/04/2019	
83001A102	SIX FLAGS ENTERTAINMENT CORP			1,299.000	58.598	45.110	58,598	60,037						(1,439)		11/20/2019	
830879102	SKYWEST INC			525.000	33.931	64.630	33,931	28,243		63				5,688		04/02/2019	
838518108	SOUTH JERSEY INDUSTRIES			1,042.000	34.365	32.980	34,365	34,300						65		07/25/2019	
858119100	STEEL DYNAMICS INC			1,291.000	43.946	34.040	43,946	58,893		310				5,164		01/31/2018	
87165B103	SYNCHRONY FINANCIAL			3,413.000	122.902	36.010	122,902	110,714						1,518		09/04/2019	
872590104	T- MOBILE US INC			735.000	57.639	78.420	57,639	56,969						669		09/04/2019	
87266J104	TPI COMPOSITES INC			1,288.000	23.841	18.510	23,841	22,501						1,340		12/05/2019	
873379101	TABULA RASA HEALTHCARE INC			532.000	25.898	48.680	25,898	22,519						3,378		12/05/2019	
874054109	TAKE-TWO INTERACTIVE SOFTWARE			533.000	65.255	122.430	65,255	68,711						10,388		11/01/2018	
87612G101	TARGA RESOURCES CORP			1,119.000	45.689	40.830	45,689	57,000						5,382		01/18/2018	
883556102	THERMO FISHER SCIENTIFIC INC			548.000	178.029	324.870	178,029	151,110		104				24,711		12/04/2019	
887389104	TIMKEN CO			1,374.000	77.370	56.310	77,370	64,791						1,539		08/02/2018	
88870R102	TIVITY HEALTH INC			1,005.000	20.447	20.345	20,447	18,164						2,283		04/02/2019	
89679E300	TRIUMPH BANCORP INC			477.000	18.136	38.020	18,136	19,683						3,969		10/11/2018	
90187B408	TWO HARBORS INVESTMENT CORP			4,673.000	68.319	14.620	68,319	70,963			1,869			5,443		11/20/2019	
902494103	TYSON FOODS INC-CL A			824.000	75.017	91.040	75,017	74,845						172		11/20/2019	
90333L201	U S CONCRETE INC			445.000	18.539	41.660	18,539	23,534						566		05/24/2019	
90385V107	ULTRA CLEAN HOLDINGS INC			1,478.000	34.689	23.470	34,689	22,716						11,972		07/25/2019	
91324P102	UNITEDHEALTH GROUP INC			501.000	147.284	293.980	147,284	119,120						2,074		01/31/2018	
913543104	UNIVERSAL FOREST PRODUCTS			618.000	29.479	47.700	29,479	20,163						247		04/02/2019	
917047102	URBAN OUTFITTERS INC			1,420.000	39.433	27.770	39,433	48,235						(7,711)		01/31/2018	
91913Y100	VALERO ENERGY CORP			896.000	83.910	93.650	83,910	75,310						8,600		07/26/2019	
92343V104	VERIZON COMMUNICATIONS			841.000	51.637	61.400	51,637	43,834						4,356		01/18/2018	
923451108	VERITEX HOLDINGS INC			1,089.000	31.723	29.130	31,723	25,601						6,122		12/05/2019	
925652109	VICI PROPERTIES INC			2,800.000	71.540	25.550	71,540	60,863		833				10,677		04/01/2019	
92826C839	VISA INC-CLASS A SHARES			645.000	121.196	187.900	121,196	105,100						16,095		05/30/2019	
92827P102	VIRTUSA CORP			401.000	18.177	45.330	18,177	20,856						(1,456)		04/02/2019	
92840M102	VISTRA ENERGY CORP			2,111.000	48.532	22.990	48,532	54,803						792		04/01/2019	
93148P102	WALKER & DUNLOP INC			387.000	25.031	64.680	25,031	20,361						431		04/02/2019	
969457100	WILLIAMS COMPANIES INC (THE)			3,166.000	23.720	75.098	75,098	85,193						5,287		10/17/2018	
98421B100	XPERI CORP			1,234.000	22.829	18.500	22,829	26,569						873		04/02/2019	

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## SCHEDULE D - PART 2 - SECTION 2

### Showing All COMMON STOCKS Owned December 31 of Current Year

1 CUSIP Identification	2 Description	3 Codes		5 Number of Shares	6 Book/Adjusted Carrying Value	7 Fair Value		9 Actual Cost	10 Dividends			13 Change in Book/Adjusted Carrying Value				17 Date Acquired	18 NAIC Desig- nation
		3 Code	4 For- eign			7 Rate per Share Used to Obtain Fair Value	8 Fair Value		10 Declared but Unpaid	11 Amount Received During Year	12 Nonadmitted Declared But Unpaid	13 Unrealized Valuation Increase/ (Decrease)	14 Current Year's Other-Than- Temporary Impairment Recognized	15 Total Change in B./A.C.V. (Col. 13-14)	16 Total Foreign Exchange Change in B./A.C.V.		
<b>Industrial and Miscellaneous (Unaffiliated) Other</b>																	
31338*124	FEDERAL HOME LOAN BANK - CHICAGO CL B	RF		485.000	48,500	100.000	48,500	48,500									04/02/2019
73740G106	POSITIVE PHYSICIANS HOLDINGS			200.000	2,200	11.000	2,200	2,000				200			200		03/27/2019
9199999 Subtotal - Industrial and Miscellaneous (Unaffiliated) Other					50,700	X X X	50,700	50,500				200			200		X X X
<b>Mutual Funds</b>																	
78464A870	SPDR S&P BIOTECH ETF			877.000	83,410	95.110	83,410	80,348		4		13,949			13,949		04/02/2019
9499999 Subtotal - Mutual Funds					83,410	X X X	83,410	80,348		4		13,949			13,949		X X X
9799999 Total Common Stocks					13,412,304	X X X	13,412,304	12,693,015	16,875	197,396		1,883,318	90,028		1,793,290		X X X
9899999 Total Preferred and Common Stocks					13,412,304	X X X	13,412,304	12,693,015	16,875	197,396		1,883,318	90,028		1,793,290		X X X

## SCHEDULE D - PART 3

### Showing All Long-Term Bonds and Stocks ACQUIRED During Current Year

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends
<b>Bonds - U.S. States, Territories and Possessions (Direct and Guaranteed)</b>								
57582PUE8	MASSACHUSETTS ST		03/25/2019	BANK OF AMERICA	X X X	307,668	250,000	3,258
882724QP5	TEXAS ST		11/14/2019	RBC CAPITAL MARKETS	X X X	250,000	250,000	
1799999 Subtotal - Bonds - U.S. States, Territories and Possessions (Direct and Guaranteed)						557,668	500,000	3,258
<b>Bonds - U.S. Political Subdivisions of States (Direct and Guaranteed)</b>								
239864BT7	DAYTON OH MET LIBR		11/14/2019	STIFEL-HANIFEN DIVIS	X X X	250,000	250,000	
246199LF8	DELAWARE CITY OH SCH DIST		11/15/2019	FIFTH THIRD SECURITIES INC	X X X	250,000	250,000	
2499999 Subtotal - Bonds - U.S. Political Subdivisions of States (Direct and Guaranteed)						500,000	500,000	
<b>Bonds - U.S. Special Revenue, Special Assessment</b>								
15504RET2	CENTRL PUGET SOUND WA REGL TRA		03/21/2019	BARCLAYS CAPITAL	X X X	307,723	250,000	5,491
3140GYGZ6	UMBS - POOL BH9215		06/05/2019	SUNTRUST CAPITAL MARKETS	X X X	467,739	455,706	532
31418CXM1	UMBS - POOL MA3383		06/11/2019	CITIGROUP GLOBAL MARKETS	X X X	952,121	930,743	1,086
575831FH0	MASSACHUSETTS ST CLG BLDG AUTH		11/01/2019	JEFFERIES & COMPANY INC	X X X	1,250,000	1,250,000	
59164GFA5	METRO WSTWTR RECLAMATION DISTC		11/15/2019	WELLS FARGO FINANCIAL	X X X	250,000	250,000	
59261AB55	MET TRANSPRTN AUTH NY REVENUE		10/31/2019	BANK OF AMERICA	X X X	249,130	250,000	
759136US1	REGL TRANSPRTN DIST CO SALES T		11/18/2019	RBC CAPITAL MARKETS	X X X	250,000	250,000	
79765RQ51	SAN FRANCISCO CITY & CNTY CA P		11/13/2019	MESIROW FINANCIAL INC	X X X	529,805	500,000	814
80168ACG0	SANTA CLARA VLY CA WTR DIST WT		04/10/2019	STIFEL-HANIFEN DIVIS	X X X	250,000	250,000	
837123LD8	SOUTH CAROLINA ST PORTS AUTH P		11/14/2019	BANK OF AMERICA	X X X	500,000	500,000	
915183M53	UNIV OF UTAH UT REVENUES		11/14/2019	GOLDMAN SACHS	X X X	250,000	250,000	
3199999 Subtotal - Bonds - U.S. Special Revenue, Special Assessment						5,256,518	5,136,449	7,923
<b>Bonds - Industrial and Miscellaneous (Unaffiliated)</b>								
00206RHM7	AT&T INC		06/05/2019	EXCHANGE	X X X	251,898	250,000	3,919
00287YBQ1	ABBVIE INC		11/12/2019	MORGAN STANLEY & CO	X X X	499,555	500,000	
05492JAV4	BARCLAYS COMMERCIAL MORTGAGE S 19-C5 A3		11/05/2019	BARCLAYS CAPITAL	X X X	1,009,920	1,000,000	1,948
100743AL7	BOSTON GAS COMPANY		07/24/2019	MORGAN STANLEY & CO	X X X	250,000	250,000	
12189LAJ0	BURLINGTN NORTH SANTA FE		06/11/2019	CREDIT SUISSE FIRST BOSTON	X X X	547,455	500,000	5,378
17325FAS7	CITIBANK NA		01/15/2019	CITIGROUP GLOBAL MARKETS	X X X	249,660	250,000	
20268JAD5	COMMONSPIRIT HEALTH		08/07/2019	CITIGROUP GLOBAL MARKETS	X X X	250,000	250,000	
21872GAA3	COLONY AMERICAN FINANCE LTD 19-2 A		07/10/2019	MORGAN STANLEY & CO	X X X	999,994	1,000,000	1,260
24422EUY3	JOHN DEERE CAPITAL CORP		07/15/2019	MITSUBISHI UFJ SECURITIES USA INC	X X X	499,610	500,000	
254687CM6	WALT DISNEY COMPANY/THE		03/20/2019	EXCHANGE	X X X	244,595	250,000	
35137LAC9	FOX CORP		01/15/2019	GOLDMAN SACHS	X X X	250,000	250,000	
36167CAA4	GCAT 19-RPL1 A1		08/15/2019	CITIGROUP GLOBAL MARKETS	X X X	501,407	500,000	1,031
38141GWZ3	GOLDMAN SACHS GROUP INC		07/10/2019	MORGAN STANLEY & CO	X X X	534,160	500,000	4,164
46648KAU0	JPMDB COMMERCIAL MORTGAGE SECU 17-C7 A5		06/18/2019	WELLS FARGO FINANCIAL	X X X	1,566,094	1,500,000	2,699
57636QAL8	MASTERCARD INC		05/28/2019	CITIGROUP GLOBAL MARKETS	X X X	1,248,188	1,250,000	
6174468G7	MORGAN STANLEY		07/02/2019	MORGAN STANLEY & CO	X X X	556,520	500,000	9,970
61746BDQ6	MORGAN STANLEY		02/07/2019	MORGAN STANLEY & CO	X X X	510,405	500,000	5,490
674599CS2	OCCIDENTAL PETROLEUM COR		08/06/2019	BANK OF AMERICA	X X X	248,765	250,000	
701094AP9	PARKER-HANNIFIN CORP		06/05/2019	BARCLAYS CAPITAL	X X X	492,520	500,000	
82653EAB3	SIERRA RECEIVABLES FUNDING CO 19-1A B		03/12/2019	CREDIT SUISSE FIRST BOSTON	X X X	499,890	500,000	
828807CV7	SIMON PROPERTY GROUP LP		01/15/2019	BANK OF AMERICA	X X X	740,070	750,000	9,917
857477BD4	STATE STREET CORP		07/10/2019	US BANCORP	X X X	276,903	250,000	1,122
89177BAA3	TOWD POINT MORTGAGE TRUST 19-1 A1		01/24/2019	BANK OF AMERICA	X X X	496,955	500,000	1,563
91159HHV5	US BANCORP		01/28/2019	US BANCORP	X X X	249,933	250,000	
91324PDS8	UNITEDHEALTH GROUP INC		07/23/2019	BANK OF AMERICA	X X X	499,865	500,000	
92343VEU4	VERIZON COMMUNICATIONS		02/28/2019	MERGER	X X X	296,466	296,466	
92347YAC8	VERIZON OWNER TRUST 19-A B		03/05/2019	CITIGROUP GLOBAL MARKETS	X X X	499,847	500,000	
92348AAD7	VERIZON OWNER TRUST 19-C C		10/01/2019	BARCLAYS CAPITAL	X X X	249,960	250,000	
95000U2C6	WELLS FARGO & COMPANY		01/16/2019	WELLS FARGO FINANCIAL	X X X	249,548	250,000	

## SCHEDULE D - PART 3

### Showing All Long-Term Bonds and Stocks ACQUIRED During Current Year

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends
95001XBA3	WELLS FARGO COMMERCIAL MORTGAG 19-C50 A4		04/29/2019	WELLS FARGO FINANCIAL	X X X	1,262,420	1,250,000	1,565
976826BM8	WISCONSIN POWER & LIGHT		06/17/2019	BARCLAYS CAPITAL	X X X	498,540	500,000	
3899999 Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						16,531,143	16,296,466	50,026
8399997 Subtotal - Bonds - Part 3						22,845,329	22,432,915	61,207
8399998 Summary item from Part 5 for Bonds						1,753,451	1,750,000	3,873
8399999 Subtotal - Bonds						24,598,780	24,182,915	65,080
<b>Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded</b>								
000361105	AAR CORP		12/05/2019	MERRILL LYNCH	425.000	16,739	X X X	
00191U102	ASGN INC		04/02/2019	MERRILL LYNCH	147.000	9,398	X X X	
002824100	ABBOTT LABORATORIES		12/05/2019	MERRILL LYNCH	1,354.000	115,576	X X X	
006739106	ADDUS HOMECARE CORP		04/02/2019	MERRILL LYNCH	345.000	22,866	X X X	
00773T101	ADVANSIX INC		04/02/2019	MERRILL LYNCH	247.000	7,325	X X X	
008492100	AGREE REALTY CORP		04/02/2019	MERRILL LYNCH	132.000	8,857	X X X	
009158106	AIR PRODUCTS & CHEMICALS INC		12/05/2019	MERRILL LYNCH	656.000	144,195	X X X	
011642105	ALARMCOM HOLDINGS INC		04/02/2019	MERRILL LYNCH	403.000	25,189	X X X	
023135106	AMAZON.COM INC		05/30/2019	MERRILL LYNCH	106.000	192,713	X X X	
03076C106	AMERIPRISE FINANCIAL INC		07/26/2019	MERRILL LYNCH	423.000	62,863	X X X	
03076K108	AMERIS BANCORP		04/02/2019	MERRILL LYNCH	738.000	27,466	X X X	
031100100	AMETEK INC		05/30/2019	MERRILL LYNCH	659.000	55,169	X X X	
037598109	APOGEE ENTERPRISES INC		12/05/2019	VARIOUS	395.000	15,124	X X X	
037833100	APPLE INC		12/05/2019	MERRILL LYNCH	751.000	199,524	X X X	
039483102	ARCHER-DANIELS-MIDLAND CO		07/26/2019	MERRILL LYNCH	1,747.000	71,432	X X X	
03957W106	ARCHROCK INC		04/02/2019	MERRILL LYNCH	551.000	5,560	X X X	
039653100	ARCOSA INC		07/25/2019	MERRILL LYNCH	823.000	30,466	X X X	
04208T108	ARMADA HOFFLER PROPERTIES IN		04/02/2019	MERRILL LYNCH	848.000	13,314	X X X	
05465C100	AXOS FINANCIAL INC		04/02/2019	MERRILL LYNCH	236.000	6,979	X X X	
075887109	BECTON DICKINSON & CO		04/01/2019	MERRILL LYNCH	265.000	66,543	X X X	
090672106	BIOTELEMETRY INC		12/05/2019	MERRILL LYNCH	231.000	12,281	X X X	
095229100	BLUCORA INC		04/02/2019	MERRILL LYNCH	213.000	7,339	X X X	
099406100	BOOT BARN HOLDINGS INC		05/24/2019	MERRILL LYNCH	783.000	23,379	X X X	
103304101	BOYD GAMING CORP		12/05/2019	MERRILL LYNCH	425.000	12,165	X X X	
131193104	CALLAWAY GOLF COMPANY		04/02/2019	MERRILL LYNCH	463.000	7,303	X X X	
14040H105	CAPITAL ONE FINANCIAL CORP		05/30/2019	MERRILL LYNCH	866.000	76,475	X X X	
14174T107	CARETRUST REIT INC		04/02/2019	MERRILL LYNCH	1,293.000	30,075	X X X	
141788109	CARGURUS INC		10/10/2019	WEEDEN & CO	843.000	24,625	X X X	
15189T107	CENTERPOINT ENERGY INC		04/01/2019	MERRILL LYNCH	2,043.000	62,550	X X X	
17275R102	CISCO SYSTEMS INC		11/20/2019	MERRILL LYNCH	673.000	30,538	X X X	
191216100	COCA-COLA CO/THE		09/11/2019	MERRILL LYNCH	1,630.000	81,535	X X X	
208242107	CONNIS INC		04/02/2019	MERRILL LYNCH	1,096.000	25,570	X X X	
21036P108	CONSTELLATION BRANDS INC		03/22/2019	MERRILL LYNCH	106.000	17,866	X X X	
22160K105	COSTCO WHOLESALE CORPORATION		09/04/2019	MERRILL LYNCH	287.000	84,817	X X X	
228903100	CRYOLIFE INC		04/02/2019	MERRILL LYNCH	788.000	23,194	X X X	
229669106	CUBIC CORP		04/02/2019	MERRILL LYNCH	335.000	18,969	X X X	
23291C103	DMC GLOBAL INC		12/05/2019	WEEDEN & CO	540.000	25,388	X X X	
237266101	DARLING INTERNATIONAL INC		04/02/2019	MERRILL LYNCH	409.000	8,695	X X X	
247361702	DELTA AIR LINES INC		05/30/2019	MERRILL LYNCH	1,094.000	57,955	X X X	
254423106	DINEEQUITY INC		07/25/2019	MERRILL LYNCH	404.000	35,383	X X X	
254687106	WALT DISNEY CO/THE		07/26/2019	MERRILL LYNCH	1,121.000	162,025	X X X	
260557103	DOW INC		07/26/2019	VARIOUS	1,358.000	79,438	X X X	
27616P103	EASTERLY GOVERNMENT PROPERTI		05/24/2019	MERRILL LYNCH	1,159.000	21,656	X X X	
277432100	EASTMAN CHEMICAL COMPANY		07/26/2019	MERRILL LYNCH	738.000	57,139	X X X	
281020107	EDISON INTERNATIONAL		07/26/2019	MERRILL LYNCH	789.000	56,426	X X X	

## SCHEDULE D - PART 3

### Showing All Long-Term Bonds and Stocks ACQUIRED During Current Year

1	2	3	4	5	6	7	8	9
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends
28238P109	EHEALTH INC		07/25/2019	MERRILL LYNCH	325.000	28,076	X X X	
29355X107	ENPRO INDUSTRIES INC		04/02/2019	MERRILL LYNCH	131.000	8,653	X X X	
29357K103	ENOVA INTERNATIONAL INC		04/02/2019	MERRILL LYNCH	263.000	6,131	X X X	
29358P101	ENSIGN GROUP INC/THE		04/02/2019	MERRILL LYNCH	166.000	8,368	X X X	
29444U700	EQUINIX INC		05/30/2019	MERRILL LYNCH	135.000	65,515	X X X	
302520101	FNB CORP		11/20/2019	WEEDEN & CO	1,768.000	22,347	X X X	
31620R303	FNF GROUP		05/31/2019	MERRILL LYNCH	310.000	12,009	X X X	
31847R102	FIRST AMERICAN FINANCIAL		02/07/2019	MERRILL LYNCH	1,148.000	57,807	X X X	
337930705	FLAGSTAR BANCORP INC		04/02/2019	MERRILL LYNCH	752.000	24,741	X X X	
36237H101	G-III APPAREL GROUP LTD		04/02/2019	MERRILL LYNCH	627.000	19,463	X X X	
36251C103	GMS INC		12/05/2019	VARIOUS	997.000	29,553	X X X	
36467J108	GAMING AND LEISURE PROPRTIE		11/20/2019	WEEDEN & CO	1,547.000	65,994	X X X	
37045V100	GENERAL MOTORS CO		11/20/2019	MERRILL LYNCH	552.000	19,742	X X X	
374689107	GIBRALTAR INDUSTRIES INC		04/02/2019	MERRILL LYNCH	428.000	17,255	X X X	
391416104	GREAT WESTERN BANCORP INC		04/02/2019	MERRILL LYNCH	198.000	6,448	X X X	
40425J101	HMS HOLDINGS CORP		07/25/2019	MERRILL LYNCH	791.000	27,233	X X X	
406216101	HALLIBURTON COMPANY		05/30/2019	MERRILL LYNCH	1,622.000	36,672	X X X	
416515104	HARTFORD FINANCIAL SVCS GRP		11/20/2019	MERRILL LYNCH	1,196.000	73,835	X X X	
438516106	HONEYWELL INTERNATIONAL INC		07/26/2019	MERRILL LYNCH	749.000	125,516	X X X	
45688C107	INGEVITY CORP		09/04/2019	MERRILL LYNCH	151.000	13,632	X X X	
46187W107	INVITATION HOMES INC		04/01/2019	MERRILL LYNCH	2,518.000	61,047	X X X	
465741106	ITRON INC		04/02/2019	MERRILL LYNCH	117.000	5,512	X X X	
469814107	JACOBS ENGINEERING GROUP INC		05/30/2019	MERRILL LYNCH	539.000	40,498	X X X	
49338L103	KEYSIGHT TECHNOLOGIES INC		12/04/2019	MERRILL LYNCH	660.000	68,398	X X X	
50060P106	KOPPERS HOLDINGS INC		12/05/2019	WEEDEN & CO	703.000	25,842	X X X	
50187A107	LHC GROUP INC		04/02/2019	MERRILL LYNCH	131.000	14,146	X X X	
501889208	LKQ CORP		05/30/2019	MERRILL LYNCH	1,626.000	42,286	X X X	
50189K103	LCI INDUSTRIES		04/02/2019	MERRILL LYNCH	142.000	10,829	X X X	
502431109	L3 HARRIS TECHNOLOGIES INC		11/20/2019	MERRILL LYNCH	690.000	144,125	X X X	
512807108	LAM RESEARCH CORP		12/05/2019	MERRILL LYNCH	303.000	80,218	X X X	
536797103	LITHIA MOTORS INC-CL A		04/02/2019	MERRILL LYNCH	96.000	9,004	X X X	
538146101	LIVEPERSON INC		04/02/2019	MERRILL LYNCH	440.000	13,028	X X X	
539830109	LOCKHEED MARTIN CORPORATION		09/11/2019	MERRILL LYNCH	34.000	13,092	X X X	
56585A102	MARATHON PETROLEUM CORP		05/30/2019	MERRILL LYNCH	1,124.000	57,197	X X X	
57636Q104	MASTERCARD INC-CLASS A		05/30/2019	MERRILL LYNCH	382.000	97,449	X X X	
58933Y105	MERCK & CO INC		09/04/2019	FIS US ALGO	1,192.000	102,625	X X X	
594918104	MICROSOFT CORP		12/05/2019	MERRILL LYNCH	3,525.000	483,987	X X X	
60740F105	MOBILE MINI INC		04/02/2019	MERRILL LYNCH	644.000	22,864	X X X	
609207105	MONDELEZ INTERNATIONAL INC		05/30/2019	MERRILL LYNCH	1,759.000	89,988	X X X	
617446448	MORGAN STANLEY		05/30/2019	MERRILL LYNCH	2,276.000	97,307	X X X	
629209305	NMI HOLDINGS INC-CLASS A		04/02/2019	MERRILL LYNCH	447.000	11,975	X X X	
64049M209	NEOGENOMICS INC		04/02/2019	MERRILL LYNCH	1,454.000	29,053	X X X	
651718504	NEWPARK RESOURCES INC		04/02/2019	MERRILL LYNCH	593.000	5,570	X X X	
65339F101	NEXTERA ENERGY INC		12/05/2019	MERRILL LYNCH	940.000	205,291	X X X	
683344105	ONTO INNOVATION INC		10/28/2019	MERGER	509.058	17,256	X X X	
69478X105	PACIFIC PREMIER BANCORP INC		04/02/2019	MERRILL LYNCH	216.000	6,007	X X X	
701877102	PARSLEY ENERGY INC-CLASS A		03/22/2019	MERRILL LYNCH	983.000	18,016	X X X	
707569109	PENN NATIONAL GAMING INC		04/02/2019	MERRILL LYNCH	269.000	5,617	X X X	
70788V102	PENN VIRGINIA CORP		07/25/2019	MERRILL LYNCH	563.000	18,618	X X X	
71375U101	PERFICIENT INC		07/25/2019	MERRILL LYNCH	684.000	24,303	X X X	
718172109	PHILIP MORRIS INTERNATIONAL INC		12/04/2019	MERRILL LYNCH	995.000	82,166	X X X	
723787107	PIONEER NATURAL RESOURCES CO		05/30/2019	MERRILL LYNCH	263.000	37,926	X X X	
74340W103	PROLOGIS INC		12/05/2019	MERRILL LYNCH	2,579.000	235,188	X X X	
744320102	PRUDENTIAL FINANCIAL INC		05/30/2019	MERRILL LYNCH	627.000	60,531	X X X	

## SCHEDULE D - PART 3

### Showing All Long-Term Bonds and Stocks ACQUIRED During Current Year

1	2	3	4	5	6	7	8	9
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends
74736A103	QTS REALTY TRUST INC-CL A		12/05/2019	MERRILL LYNCH	427.000	22,733	X X X	
74762E102	QUANTA SERVICES INC		05/30/2019	MERRILL LYNCH	1,277.000	45,428	X X X	
74874Q100	QUINSTREET INC		12/05/2019	WEEDEN & CO	1,449.000	22,993	X X X	
755111507	RAYTHEON COMPANY		07/26/2019	MERRILL LYNCH	107.000	20,499	X X X	
78463M107	SPS COMMERCE INC		12/05/2019	WEEDEN & CO	478.000	26,771	X X X	
78486Q101	SVB FINANCIAL GROUP		05/30/2019	MERRILL LYNCH	142.000	30,292	X X X	
79466L302	SALESFORCECOM INC		12/04/2019	MERRILL LYNCH	567.000	88,470	X X X	
816850101	SEMTECH CORP		04/02/2019	MERRILL LYNCH	105.000	5,482	X X X	
816851109	SEMPRA ENERGY		05/30/2019	MERRILL LYNCH	388.000	51,181	X X X	
82900L102	SIMPLY GOOD FOODS CO/THE		04/04/2019	MERRILL LYNCH	1,124.000	24,699	X X X	
83001A102	SIX FLAGS ENTERTAINMENT CORP		11/20/2019	WEEDEN & CO	1,299.000	60,037	X X X	
830879102	SKYWEST INC		04/02/2019	MERRILL LYNCH	708.000	37,865	X X X	
838518108	SOUTH JERSEY INDUSTRIES		07/25/2019	MERRILL LYNCH	1,042.000	34,300	X X X	
87165B103	SYNCHRONY FINANCIAL		09/04/2019	MERRILL LYNCH	3,413.000	110,714	X X X	
872590104	T- MOBILE US INC		09/04/2019	MERRILL LYNCH	735.000	56,969	X X X	
87266J104	TPI COMPOSITES INC		12/05/2019	WEEDEN & CO	1,288.000	22,501	X X X	
873379101	TABULA RASA HEALTHCARE INC		12/05/2019	WEEDEN & CO	532.000	22,519	X X X	
883556102	THERMO FISHER SCIENTIFIC INC		12/04/2019	MERRILL LYNCH	353.000	109,679	X X X	
88870R102	TIVITY HEALTH INC		04/02/2019	MERRILL LYNCH	1,233.000	22,267	X X X	
90187B408	TWO HARBORS INVESTMENT CORP		11/20/2019	WEEDEN & CO	1,802.000	26,013	X X X	
902494103	TYSON FOODS INC-CL A		11/20/2019	MERRILL LYNCH	824.000	74,845	X X X	
90333L201	U S CONCRETE INC		05/24/2019	MERRILL LYNCH	192.000	9,047	X X X	
90385V107	ULTRA CLEAN HOLDINGS INC		07/25/2019	MERRILL LYNCH	1,478.000	22,716	X X X	
913543104	UNIVERSAL FOREST PRODUCTS		04/02/2019	MERRILL LYNCH	209.000	6,237	X X X	
91913Y100	VALERO ENERGY CORP		07/26/2019	MERRILL LYNCH	896.000	75,310	X X X	
923451108	VERITEX HOLDINGS INC		12/05/2019	VARIOUS	1,089.680	25,615	X X X	
925652109	VICI PROPERTIES INC		04/01/2019	MERRILL LYNCH	2,800.000	60,863	X X X	
92826C839	VISA INC-CLASS A SHARES		05/30/2019	MERRILL LYNCH	645.000	105,100	X X X	
92827P102	VIRTUSA CORP		04/02/2019	MERRILL LYNCH	229.000	12,308	X X X	
92840M102	VISTRA ENERGY CORP		04/01/2019	MERRILL LYNCH	2,111.000	54,803	X X X	
93148P102	WALKER & DUNLOP INC		04/02/2019	MERRILL LYNCH	113.000	5,824	X X X	
98421B100	XPERI CORP		04/02/2019	MERRILL LYNCH	571.000	13,730	X X X	
112585104	BROOKFIELD ASSET MANAGE-CL A		10/03/2019	VARIOUS	363.000	18,992	X X X	
12626K203	CRH PLC-SPONSORED ADR	C	01/03/2019	MERRILL LYNCH	878.000	23,124	X X X	
66987V109	NOVARTIS AG-ADR	C	12/23/2019	MERRILL LYNCH	28.000	2,662	X X X	
67103X102	OFG BANCORP	C	04/02/2019	MERRILL LYNCH	643.000	12,955	X X X	
80105N105	SANOFI - ADR	C	12/23/2019	MERRILL LYNCH	408.000	18,164	X X X	
803054204	SAP AG-SPONSORED ADR	C	12/10/2019	MERRILL LYNCH	32.000	4,323	X X X	
835699307	SONY CORP-SPONSORED ADR	C	12/23/2019	MERRILL LYNCH	38.000	2,592	X X X	
G3198U102	ESSENT GROUP LTD	C	04/02/2019	MERRILL LYNCH	262.000	11,805	X X X	
G3323L100	FABRINET	C	04/02/2019	MERRILL LYNCH	233.000	12,736	X X X	
G66721104	NORWEGIAN CRUISE LINE HOLDIN	C	12/04/2019	MERRILL LYNCH	1,120.000	59,770	X X X	
G8232Y101	SMART GLOBAL HOLDINGS INC	C	07/25/2019	MERRILL LYNCH	653.000	21,149	X X X	
H01301128	ALCON INC	C	04/09/2019	SPINOFF	122.200	6,150	X X X	
9099999 Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded						6,548,265	X X X	
<b>Common Stocks - Industrial and Miscellaneous (Unaffiliated) Other</b>								
31338*124	FEDERAL HOME LOAN BANK - CHICAGO CL B		04/02/2019	FEDERAL HOME LOAN BANK	93.000	9,300	X X X	
73740G106	POSITIVE PHYSICIANS HOLDINGS		03/27/2019	DIRECT	200.000	2,000	X X X	
9199999 Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Other						11,300	X X X	
<b>Common Stocks - Mutual Funds</b>								
78464A870	SPDR S&P BIOTECH ETF		04/02/2019	MERRILL LYNCH	329.000	30,143	X X X	

**SCHEDULE D - PART 3**  
**Showing All Long-Term Bonds and Stocks ACQUIRED During Current Year**

1	2	3	4	5	6	7	8	9
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends
9499999	Subtotal - Common Stocks - Mutual Funds .....					30,143	X X X	
9799997	Subtotal - Common Stocks - Part 3 .....					6,589,708	X X X	
9799998	Summary Item from Part 5 for Common Stocks .....					617,360	X X X	
9799999	Subtotal - Common Stocks .....					7,207,068	X X X	
9899999	Subtotal - Preferred and Common Stocks .....					7,207,068	X X X	
9999999	Totals .....					31,805,848	X X X	65,080







# SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED, or Otherwise DISPOSED OF During Current Year

1 CUSIP Identification	2 Description	3 F o r e i g n	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Contractual Maturity Date
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year (Amortization/ Accretion)	13 Current Year's Other-Than- Temporary Impairment Recognized	14 Total Change in B./A.C.V. (Cols. 11+12-13)	15 Total Foreign Exchange Change in B./A.C.V.						
82653EAB3 828807CG0	SIERRA RECEIVABLES FUNDING CO 19-1A B SIMON PROPERTY GROUP LP		12/20/2019 10/07/2019	PAYDOWN CALLED BY ISSUER at 104.760	X X X X X X	172,967 523,801	172,967 500,000	172,929 499,185	499,725 499,725		260 69		260 69		172,967 499,794		206 206	206 206	2,298 41,333	01/20/2036 12/01/2021
87266XAA1	TPG REAL ESTATE FINANCE 18-FL 1 A		08/16/2019	VARIOUS	X X X	971,942	971,942	971,942	971,942						499,794					02/15/2035
887317AQ8	TIME WARNER INC		06/05/2019	VARIOUS	X X X	251,898	250,000	246,748	248,533		172		172		248,705		3,192	3,192	4,169	06/15/2022
89175MAA1	TOWD POINT MORTGAGE TRUST 18-3 A1		12/01/2019	PAYDOWN	X X X	67,034	67,034	67,058	67,038		(4)		(4)		67,034				1,417	05/25/2058
89176EAA8	TOWD POINT MORTGAGE TRUST 18-1 A1		12/01/2019	PAYDOWN	X X X	40,420	40,420	40,394	40,418				2		40,420				616	01/25/2058
89177BAA3	TOWD POINT MORTGAGE TRUST 19-1 A1		12/01/2019	PAYDOWN	X X X	62,794	62,794	62,411	62,411		27		27		62,794				1,241	03/25/2058
90131HAR6	21ST CENTURY FOX AMERICA		03/20/2019	EXCHANGE	X X X	244,595	250,000	237,745	244,358		312		312		244,670		(75)	(75)	4,104	09/15/2022
907818DN5	UNION PACIFIC CORP		12/03/2019	MARKETAXESS	X X X	510,865	500,000	502,185	501,571		(344)		(344)		501,227		9,638	9,638	15,660	04/15/2023
911312BK1	UNITED PARCEL SERVICE		12/03/2019	CITIGROUP GLOBAL MARKETS	X X X X X X	508,920 296,466	500,000 300,000	496,855 299,685	497,441 299,870		535 5		535 5		497,975 299,875		10,945 (3,409)	10,945 (3,409)	14,722 2,389	04/01/2023 11/01/2022
92343VBJ2	VERIZON COMMUNICATIONS		02/28/2019	EXCHANGE	X X X	466	466	466	466						466					12/03/2029
92343VEU4	VERIZON COMMUNICATIONS		02/28/2019	EXCHANGE	X X X	500,234	500,000	500,000	500,000						500,000		234	234	2,413	04/20/2022
92348RAB4	VERIZON OWNER TRUST 17-3A A1B		02/19/2019	BARCLAYS CAPITAL	X X X	501,953	500,000	499,944	499,964		8		8		499,973		1,981	1,981	6,267	09/20/2022
92349FAA1	VERIZON OWNER TRUST 18-1A A1A		05/28/2019	BARCLAYS CAPITAL	X X X	61,750	61,750	61,427	61,748		2		2		61,750				1,621	01/15/2019
931422AE9	WALGREEN CO		01/15/2019	MATURITY	X X X	249,780	250,000	248,693	249,944		23		23		249,966		(186)	(186)	2,007	03/15/2019
983919AG6	XILINX INC		01/30/2019	MORGAN STANLEY & CO	X X X	250,223	250,000	249,988	249,997		1		1		249,998		225	225	5,250	03/12/2020
00507UAP6	ALLERGAN FUNDING SCS		05/22/2019	MORGAN STANLEY & CO	X X X															
22546QAC1	CREDIT SUISSE NEW YORK		02/07/2019	JP MORGAN SECURITIES INC.	X X X X X X	506,230 500,000	500,000 500,000	512,130 500,000	501,154 501,154		(204) (204)		(204) (204)		500,950 500,950		5,280 5,280	5,280 5,280	13,103	08/13/2019
3899999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					11,394,131	11,287,329	11,353,745	11,030,710		(3,383)		(3,383)		11,295,096		72,822	72,822	250,582	X X X
8399997	Subtotal - Bonds - Part 4					25,007,424	24,010,461	25,047,152	24,367,535		(59,826)		(59,826)		24,747,524		233,682	233,682	568,523	X X X
8399998	Summary Item from Part 5 for Bonds					1,756,715	1,750,000	1,753,451			(364)		(364)		1,753,087		3,628	3,628	7,621	X X X
8399999	Subtotal - Bonds					26,764,139	25,760,461	26,800,603	24,367,535		(60,190)		(60,190)		26,500,611		237,310	237,310	576,144	X X X
<b>Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded</b>																				
000361105	AAR CORP		07/25/2019	MERRILL LYNCH		145,000	6,125	X X X	6,741	5,414	1,327		1,327		6,741		(616)	(616)	33	X X X
00182C103	ANI PHARMACEUTICALS INC		07/25/2019	MERRILL LYNCH		325,000	25,350	X X X	20,376	14,632	5,745		5,745		20,376		4,973	4,973		X X X
00191U102	ASGN INC		07/25/2019	MERRILL LYNCH		92,000	5,704	X X X	6,852	5,014	1,838		1,838		6,852		(1,148)	(1,148)		X X X
002824100	ABBOTT LABORATORIES		12/04/2019	MERRILL LYNCH		1,521,000	127,928	X X X	89,661	110,014	(20,353)		(20,353)		89,661		38,267	38,267	1,783	X X X
006739106	ADDUS HOMECARE CORP		05/29/2019	MERRILL LYNCH		84,000	5,787	X X X	5,631						5,631		157	157		X X X
008492100	AGREE REALTY CORP		05/29/2019	MERRILL LYNCH		116,000	7,745	X X X	5,194	6,858	(1,664)		(1,664)		5,194		2,551	2,551	129	X X X
009158106	AIR PRODUCTS & CHEMICALS INC		12/04/2019	VARIOUS		665,000	151,745	X X X	106,285	106,433	(148)		(148)		106,285		45,460	45,460	2,855	X X X
00971T101	AKAMAI TECHNOLOGIES		12/04/2019	MERRILL LYNCH		921,000	79,144	X X X	61,776	56,255	5,521		5,521		61,776		17,368	17,368		X X X
011642105	ALARMCOM HOLDINGS INC		05/29/2019	MERRILL LYNCH		98,000	5,818	X X X	6,054						6,054		(236)	(236)		X X X
015271109	ALEXANDRIA REAL ESTATE EQUIT		12/04/2019	MERRILL LYNCH		452,000	72,800	X X X	58,103	52,088	6,014		6,014		58,103		14,697	14,697	1,781	X X X
02005N100	ALLY FINANCIAL INC		04/01/2019	MERRILL LYNCH		442,000	12,457	X X X	13,495	10,016	3,479		3,479		13,495		(1,038)	(1,038)	75	X X X
023135106	AMAZON.COM INC		05/29/2019	MERRILL LYNCH		145,000	264,471	X X X	212,111	217,786	(5,674)		(5,674)		212,111		52,360	52,360		X X X
03071H100	AMERISAFE INC		03/19/2019	MERRILL LYNCH		193,000	11,566	X X X	11,544	10,941	602		602		11,544		23	23	48	X X X
03076K108	AMERIS BANCORP		05/29/2019	MERRILL LYNCH		174,000	6,159	X X X	6,579						6,579		(420)	(420)	17	X X X
037833100	APPLE INC		12/04/2019	MERRILL LYNCH		865,000	221,281	X X X	144,570	136,445	8,125		8,125		144,570		76,711	76,711	2,547	X X X
03957W106	ARCHROCK INC		05/29/2019	MERRILL LYNCH		489,000	4,396	X X X	6,331	3,663	2,668		2,668		6,331		(1,935)	(1,935)	129	X X X
04208T108	ARMADA HOFFLER PROPERTIES IN		05/29/2019	MERRILL LYNCH		588,000	9,588	X X X	7,786	8,267	(481)		(481)		7,786		1,802	1,802	241	X X X
04685W103	ATHENAHEALTH INC		02/11/2019	CASH MERGER		371,000	50,085	X X X	46,438	48,946	(2,508)		(2,508)		46,438		3,647	3,647		X X X
049164205	ATLAS AIR WORLDWIDE HOLDINGS		09/04/2019	WEEDEN & CO		285,000	7,072	X X X	17,623	12,024	5,598		5,598		17,623		(10,551)	(10,551)		X X X
05464C101	AXON ENTERPRISE INC		03/19/2019	MERRILL LYNCH		406,000	21,082	X X X	15,028	17,763	(2,734)		(2,734)		15,028		6,054	6,054		X X X
060505104	BANK OF AMERICA CORP		05/31/2019	MERRILL LYNCH		447,000	11,949	X X X	14,105	11,014	3,091		3,091		14,105		(2,156)	(2,156)	67	X X X
090672106	BIOTELEMETRY INC		03/19/2019	MERRILL LYNCH		134,000	9,251	X X X	4,469	8,002	(3,533)		(3,533)		4,469		4,782	4,782		X X X
09247X101	BLACKROCK INC		04/01/2019	MERRILL LYNCH		35,000	15,338	X X X	20,322	13,749	6,573		6,573		20,322		(4,984)	(4,984)	116	X X X
095229100	BLUCORA INC		05/29/2019	MERRILL LYNCH		193,000	6,189	X X X	4,492	5,142	(650)		(650)		4,492		1,697	1,697		X X X
09739C102	BOINGO WIRELESS INC		10/10/2019	VARIOUS		677,000	6,321	X X X	16,530	13,926	2,604		2,604		7,515		(1,193)	(1,193)		X X X
11135F101	BROADCOM INC		11/20/2019	MERRILL LYNCH		178,000	55,444	X X X	45,262	42,353	(2,909)		(2,909)		42,353		13,091	13,091	1,177	X X X
115637209	BROWN-FORMAN CORP - CLASS B		09/04/2019	MERRILL LYNCH		746,000	45,146	X X X	41,408	35,495	5,914		5,914		41,408		3,738	3,738	370	X X X
126408103	CSX CORP		04/01/2019	MERRILL LYNCH		539,000	41,073	X X X	31,297	33,488	(2,191)		(2,191)		31,297		9,776	9,776	129	X X X
126650100	CVS HEALTH CORP		04/01/2019	VARIOUS		1,784,000	96,435	X X X	133,011	116,888	16,123		16,123		96,211		224	224	892	X X X
12674R100	C&J ENERGY SERVICES INC		03/19/2019	MERRILL LYNCH		479,000	8,061	X X X	14,901	6,467	8,435		8,435		14,901		(6,840)	(6,840)		X X X

E14.2

# SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED, or Otherwise DISPOSED OF During Current Year

E14.3

1 CUSIP Identification	2 Description	3 F o r e i g n	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/Adjusted Carrying Value	11-15 Change in Book/Adjusted Carrying Value					16 Book/Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Bond Interest/ Stock Dividends Received During Year Date
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year (Amortization/ Accretion)	13 Current Year's Other-Than- Temporary Impairment Recognized	14 Total Change in B./A.C.V. (Cols. 11+12-13)	15 Total Foreign Exchange Change in B./A.C.V.						
127190304	CACI INTERNATIONAL INC - CL A		07/25/2019	MERRILL LYNCH	116.000	24,307	X X X	18,127	16,707	1,419			1,419		18,127		6,180	6,180		
131193104	CALLAWAY GOLF COMPANY		07/25/2019	MERRILL LYNCH	256.000	4,704	X X X	6,122	3,917	2,205			2,205		6,122		(1,418)	(1,418)	5	X X X
141747107	CARETRUST REIT INC		05/29/2019	MERRILL LYNCH	287.000	7,097	X X X	6,685							6,685		412	412	65	X X X
149568107	CAVCO INDUSTRIES		01/02/2019	MERRILL LYNCH	109.000	14,293	X X X	14,211	14,211					14,211		82	82		X X X	
153527205	CENTRAL GARDEN AND PET CO-A		04/04/2019	VARIOUS	356.000	8,328	X X X	13,449	11,125	2,324					8,277		51	51		X X X
166764100	CHEVRON CORP		04/01/2019	MERRILL LYNCH	115.000	14,370	X X X	15,147	12,511	2,636			(2,848)		15,147		(777)	(777)	137	X X X
168905107	CHILDRENS PLACE		03/19/2019	MERRILL LYNCH	151.000	13,358	X X X	19,752	13,604	6,148					19,752		(6,394)	(6,394)		X X X
169656105	CHIPOTLE MEXICAN GRILL-CL A		05/29/2019	MERRILL LYNCH	185.000	123,151	X X X	60,018	79,881	(19,863)			(19,863)		60,018		63,133	63,133		X X X
17273K109	CIRCOR INTERNATIONAL INC		01/02/2019	MERRILL LYNCH	269.000	6,017	X X X	5,730							5,730		287	287		X X X
17275R102	CISCO SYSTEMS INC		07/26/2019	MERRILL LYNCH	2,119.000	118,907	X X X	87,602	91,816	(4,214)			(4,214)		87,602		31,305	31,305	1,924	X X X
172908105	CINTAS CORP		05/29/2019	MERRILL LYNCH	296.000	65,328	X X X	49,963	49,725	238			238		49,963		15,364	15,364		X X X
191216100	COCA-COLA CO/THE		04/01/2019	MERRILL LYNCH	342.000	15,934	X X X	16,846							16,846		(911)	(911)	137	X X X
20030N101	COMCAST CORP - CL A		07/26/2019	MERRILL LYNCH	1,776.000	77,256	X X X	64,816	60,473	4,343			4,343		64,816		12,439	12,439	869	X X X
20369C106	COMMUNITY HEALTHCARE TRUST I		05/24/2019	MERRILL LYNCH	576.000	21,757	X X X	15,308	16,606	(1,298)			(1,298)		15,308		6,449	6,449	471	X X X
205887102	CONAGRA INC		02/07/2019	VARIOUS	2,725.000	59,747	X X X	97,010	58,206	38,804			38,804		97,010		(37,263)	(37,263)	579	X X X
208242107	CONNS INC		05/29/2019	MERRILL LYNCH	263.000	5,717	X X X	6,144							6,144		(428)	(428)		X X X
20825C104	CONOCOPHILLIPS		04/01/2019	MERRILL LYNCH	783.000	53,028	X X X	46,820	48,820	(2,000)			(2,000)		46,820		6,208	6,208	239	X X X
22160N109	COSTAR GROUP INC		05/29/2019	MERRILL LYNCH	180.000	91,489	X X X	62,381	60,721	1,659			1,659		62,381		29,108	29,108		X X X
225223304	CRAY INC		07/25/2019	MERRILL LYNCH	580.000	20,178	X X X	13,275	12,522	752			752		13,275		6,903	6,903		X X X
22822V101	CROWN CASTLE INTL CORP		04/01/2019	MERRILL LYNCH	579.000	73,183	X X X	64,568	62,897	1,671			1,671		64,568		8,616	8,616	651	X X X
229669106	CUBIC CORP		05/29/2019	MERRILL LYNCH	129.000	7,297	X X X	7,609	6,932	676			676		7,609		(311)	(311)	17	X X X
23283R100	CYRUSONE INC		11/20/2019	VARIOUS	1,149.000	71,804	X X X	73,166	60,759	12,407			12,407		73,166		(1,362)	(1,362)	1,929	X X X
235851102	DANAHER CORP		05/29/2019	MERRILL LYNCH	547.000	71,294	X X X	54,499	56,407	(1,907)			(1,907)		54,499		16,795	16,795	181	X X X
237266101	DARLING INTERNATIONAL INC		05/29/2019	MERRILL LYNCH	386.000	7,349	X X X	6,916	7,427	(511)			(511)		6,916		433	433		X X X
238337109	DAVE & BUSTERS ENTERTAINMEN		12/05/2019	VARIOUS	402.000	17,308	X X X	20,647	17,913	2,734			2,734		20,647		(3,339)	(3,339)	206	X X X
254423106	DINEEQUITY INC		05/29/2019	MERRILL LYNCH	80.000	7,055	X X X	6,831							6,831		224	224		X X X
26614N102	DUPONT DE NEMOURS INC		07/26/2019	VARIOUS	495.000	95,737	X X X	113,092	79,418	33,674			33,674		113,092		(17,354)	(17,354)	772	X X X
278715206	EBIX INC		03/19/2019	MERRILL LYNCH	222.000	11,589	X X X	9,448	8,594	854			854		18,042		(6,453)	(6,453)	17	X X X
27875T101	ECHO GLOBAL LOGISTICS INC		12/05/2019	WEEDEN & CO	472.000	8,881	X X X	13,621	9,596	4,026			4,026		13,621		(4,740)	(4,740)		X X X
28238P109	EHEALTH INC		12/05/2019	MERRILL LYNCH	66.000	6,001	X X X	5,702							5,702		299	299		X X X
29357K103	ENOVA INTERNATIONAL INC		05/29/2019	MERRILL LYNCH	199.000	4,370	X X X	4,333	3,873	460			460		4,333		37	37		X X X
29358P101	ENSIGN GROUP INC/THE		10/01/2019	VARIOUS	144.000	8,949	X X X	4,970	6,297	(1,874)			(1,874)		4,970		3,979	3,979	14	X X X
30161N101	EXELON CORPORATION		04/01/2019	MERRILL LYNCH	1,871.000	92,953	X X X	72,090	84,382	(12,292)			(12,292)		72,090		20,863	20,863	678	X X X
30224P200	EXTENDED STAY AMERICA INC		04/01/2019	MERRILL LYNCH	677.000	12,247	X X X	13,981	10,494	3,487			3,487		13,981		(1,734)	(1,734)	149	X X X
313855108	FEDERAL SIGNAL CORPORATION		03/19/2019	MERRILL LYNCH	1,037.000	26,507	X X X	21,436	20,636	800			800		21,436		5,071	5,071	83	X X X
31847R102	FIRST AMERICAN FINANCIAL		04/01/2019	MERRILL LYNCH	270.000	14,470	X X X	13,596							13,596		874	874	113	X X X
33616C100	FIRST REPUBLIC BANK/SAN FRAN		09/04/2019	MERRILL LYNCH	655.000	57,639	X X X	58,803	56,920	1,884			1,884		58,803		(1,164)	(1,164)	367	X X X
337930705	FLAGSTAR BANCORP INC		05/29/2019	MERRILL LYNCH	186.000	5,857	X X X	6,067							6,067		(210)	(210)		X X X
337932107	FIRSTENERGY CORP		11/20/2019	VARIOUS	1,015.000	45,216	X X X	30,073	38,113	(8,040)			(8,040)		30,073		15,143	15,143	1,115	X X X
339041105	FLEETCOR TECHNOLOGIES INC		05/29/2019	MERRILL LYNCH	353.000	93,216	X X X	74,735	65,559	9,176			9,176		74,735		18,481	18,481		X X X
34599E109	FORTINET INC		05/29/2019	MERRILL LYNCH	858.000	65,733	X X X	39,556	60,429	(20,872)			(20,872)		39,556		26,176	26,176		X X X
374689107	GIBALTAR INDUSTRIES INC		05/29/2019	MERRILL LYNCH	161.000	5,793	X X X	5,306	5,730	(424)			(424)		5,306		486	486		X X X
375558103	GILEAD SCIENCES INC		04/01/2019	MERRILL LYNCH	204.000	13,416	X X X	15,463	12,760	2,702			2,702		15,463		(2,046)	(2,046)	129	X X X
387328107	GRANITE CONSTRUCTION INC		09/04/2019	WEEDEN & CO	321.000	9,121	X X X	16,730	3,800						16,730		(7,609)	(7,609)	125	X X X
391416104	GREAT WESTERN BANCORP INC		05/29/2019	MERRILL LYNCH	193.000	6,164	X X X	8,046	6,031	2,015			2,015		8,046		(1,882)	(1,882)	106	X X X
39260X100	GREEN BANCORP INC		01/02/2019	MERGER	692.000	11,861	X X X	11,861	11,861						11,861					X X X
39304D102	GREEN DOT CORP-CLASS A		03/19/2019	MERRILL LYNCH	340.000	20,452	X X X	21,887	27,037	(5,150)			(5,150)		21,887		(1,435)	(1,435)		X X X
418056107	HASBRO INC		07/26/2019	MERRILL LYNCH	661.000	81,966	X X X	66,943	53,706	13,236			13,236		66,943		15,024	15,024	866	X X X
421906108	HEALTHCARE SERVICES GROUP		03/19/2019	MERRILL LYNCH	408.000	13,118	X X X	19,372	16,393	2,978			2,978		19,372		(6,254)	(6,254)	80	X X X
42226A107	HEALTHCARE SERVICES GROUP		07/25/2019	MERRILL LYNCH	255.000	19,398	X X X	15,748	15,211	537			537		15,748		3,650	3,650		X X X
438516106	HONEYWELL INTERNATIONAL INC		04/01/2019	MERRILL LYNCH	76.000	12,234	X X X	11,581	10,041	1,539			1,539		11,581		653	653	62	X X X
443510607	HUBBELL INC		04/01/2019	MERRILL LYNCH	103.000	12,375	X X X	12,831	10,232	2,599			2,599		12,831		(456)	(456)	87	X X X
446413106	HUNTINGTON INGALLS INDUSTRIES		09/04/2019	MERRILL LYNCH	145.000	30,281	X X X	34,506	27,595	6,911			6,911		34,506		(4,225)	(4,225)	374	X X X
44980X109	IPG PHOTONICS CORP		12/04/2019	VARIOUS	249.000	35,663	X X X	62,626	28,209	34,416			28,861		33,764		1,899	1,899		X X X
45378A106	INDEPENDENCE REALTY TRUST IN		03/19/2019	MERRILL LYNCH	2,089.000	21,713	X X X	21,428	19,177	2,251			2,251		21,428		286	286	376	X X X
45688C107	INGEVITY CORP		12/05/2019	MERRILL LYNCH	132.000	11,794	X X X	9,586	11,047	(1,461)			(1,461)		9,586		2,208	2,208		X X X
45826H109	INTEGRER HOLDINGS CORP		03/19/2019	MERRILL LYNCH	309.000	26,528	X X X	16,122	23,564	(7,443)			(7,443)		16,122		10,406	10,406		X X X
46120E602	INTUITIVE SURGICAL INC		12/04/2019	MERRILL LYNCH	124.000	71,965	X X X	53,442	59,386	(5,944)			(5,944)		53,442		18,522	18,522		X X X

## SCHEDULE D - PART 4

**Showing All Long-Term Bonds and Stocks SOLD, REDEEMED, or Otherwise DISPOSED OF During Current Year**

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	
										11	12	13	14	15							
CUSIP Identification	Description	For eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year (Amortization/ Accretion)	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (Cols. 11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	
462222100	IONIS PHARMACEUTICALS INC		09/04/2019	MERRILL LYNCH	916.000	56,758	X X X	48,352	49,519	(1,167)			(1,167)		48,352	8,406	8,406	8,406		X X X	
465741106	ITRON INC		05/29/2019	MERRILL LYNCH	126.000	7,266	X X X	8,316	5,959	2,357			2,357		8,316	(1,049)	(1,049)	(1,049)		X X X	
46625H100	JP MORGAN CHASE & COMPANY		04/01/2019	MERRILL LYNCH	1,061.000	110,628	X X X	120,294	103,575	16,719			16,719		120,294	(9,665)	(9,665)	(9,665)	849	X X X	
482480100	KLA-TENCOR CORP		07/26/2019	MERRILL LYNCH	755.000	103,143	X X X	71,000	75,565	3,435			3,435		71,000	32,143	32,143	32,143	1,037	X X X	
493267108	KEYCORP		11/20/2019	MERRILL LYNCH	4,255.000	81,074	X X X	77,866	62,889	14,977			14,977		77,866	3,207	3,207	3,207	2,234	X X X	
49456B101	KINDER MORGAN INC		12/04/2019	MERRILL LYNCH	6,505.000	130,974	X X X	117,506	100,047	17,459			17,459		117,506	13,468	13,468	13,468	3,931	X X X	
50187A107	LHC GROUP INC		05/29/2019	MERRILL LYNCH	89.000	10,056	X X X	8,928	8,355	573			573		8,928	1,128	1,128	1,128		X X X	
50189K103	LCI INDUSTRIES		05/29/2019	MERRILL LYNCH	105.000	9,234	X X X	12,103	7,014	5,089			5,089		12,103	(2,869)	(2,869)	(2,869)	63	X X X	
512807108	LAM RESEARCH CORP		12/04/2019	MERRILL LYNCH	518.000	131,446	X X X	76,641	70,536	6,105			6,105		76,641	54,805	54,805	54,805	1,553	X X X	
536797103	LITHIA MOTORS INC-CL A		05/29/2019	MERRILL LYNCH	56.000	6,297	X X X	6,247	4,274	1,973			1,973		6,247	49	49	49	33	X X X	
538146101	LIVEPERSON INC		10/10/2019	VARIOUS	732.000	24,172	X X X	8,933	13,806	(4,873)			(4,873)		8,933	15,239	15,239	15,239		X X X	
539830109	LOCKHEED MARTIN CORPORATION		04/01/2019	MERRILL LYNCH	48.000	14,548	X X X	14,387	1,818	1,818			1,818		14,387	161	161	161	106	X X X	
556269108	STEVEN MADDEN LTD		05/24/2019	MERRILL LYNCH	343.000	10,468	X X X	9,943	10,379	(436)			(436)		9,943	525	525	525	48	X X X	
567908108	MARINEMAX INC		12/05/2019	VARIOUS	1,253.000	19,670	X X X	26,395	22,942	3,453			3,453		26,395	(6,725)	(6,725)	(6,725)		X X X	
580135101	MCDONALDS CORP		04/01/2019	MERRILL LYNCH	85.000	16,010	X X X	14,213	15,093	(880)			(880)		14,213	1,797	1,797	1,797	99	X X X	
58933Y105	MERCK & CO INC		07/26/2019	VARIOUS	1,127.000	91,902	X X X	68,853	86,114	(17,261)			(17,261)		68,853	23,049	23,049	23,049	1,733	X X X	
589378108	MERCURY COMPUTER SYSTEMS INC		12/05/2019	VARIOUS	348.000	24,113	X X X	16,183	16,457	(274)			(274)		16,183	7,930	7,930	7,930		X X X	
589889104	MERIT MEDICAL SYSTEMS INC		03/19/2019	MERRILL LYNCH	368.000	22,063	X X X	17,299	20,538	(3,239)			(3,239)		17,299	4,764	4,764	4,764		X X X	
59100U108	META FINANCIAL GROUP INC		03/19/2019	VARIOUS	513.000	10,434	X X X	18,755	9,947	8,808			8,808		18,755	(8,320)	(8,320)	(8,320)	51	X X X	
594918104	MICROSOFT CORP		12/04/2019	MERRILL LYNCH	1,053.000	157,545	X X X	131,925							131,925	25,619	25,619	25,619	1,021	X X X	
60740F105	MOBILE MINI INC		07/25/2019	MERRILL LYNCH	159.000	5,459	X X X	5,701							5,701	(242)	(242)	(242)	44	X X X	
609207105	MONDELEZ INTERNATIONAL INC		04/01/2019	MERRILL LYNCH	430.000	21,343	X X X	18,749	17,213	1,536			1,536		18,749	2,595	2,595	2,595	224	X X X	
629209305	NMI HOLDINGS INC-CLASS A		07/25/2019	MERRILL LYNCH	622.000	17,104	X X X	11,662	11,103	559			559		11,662	5,442	5,442	5,442		X X X	
64049M209	NEOGENOMICS INC		05/29/2019	MERRILL LYNCH	329.000	7,036	X X X	6,504							6,504	533	533	533		X X X	
64110L106	NETFLIX INC		05/29/2019	MERRILL LYNCH	561.000	196,853	X X X	153,403	150,157	3,245			3,245		153,403	43,451	43,451	43,451		X X X	
65336K103	NEXSTAR MEDIA GROUP INC-CL A		07/25/2019	MERRILL LYNCH	216.000	22,466	X X X	17,343	16,986	356			356		17,343	5,123	5,123	5,123	194	X X X	
65339F101	NEXTERA ENERGY INC		12/04/2019	MERRILL LYNCH	559.000	127,478	X X X	84,370	97,165	(12,796)			(12,796)		84,370	43,108	43,108	43,108	3,073	X X X	
670346105	NUCOR CORP		07/26/2019	VARIOUS	407.000	22,747	X X X	28,140	21,087	7,053			7,053		28,140	(5,393)	(5,393)	(5,393)	488	X X X	
67069D108	NUTRISYSTEM INC		03/12/2019	MERGER	411.000	17,635	X X X	16,336	18,035	(1,699)			(1,699)		16,336	1,299	1,299	1,299		X X X	
68213N109	OMNICELL INC		03/19/2019	MERRILL LYNCH	384.000	32,582	X X X	17,917	23,516	(5,599)			(5,599)		17,917	14,665	14,665	14,665		X X X	
68287N100	ONESPAN INC		05/24/2019	MERRILL LYNCH	679.000	9,283	X X X	10,243	8,793	1,450			1,450		10,243	(960)	(960)	(960)		X X X	
683344105	ONTO INNOVATION INC		10/31/2019	CASH IN LIEU																	
				FRACTIONAL SHARES	0.058	2	X X X	2							2						X X X
69327R101	PDC ENERGY INC		03/19/2019	MERRILL LYNCH	419.000	18,020	X X X	20,803	12,469	8,333			8,333		20,803	(2,783)	(2,783)	(2,783)		X X X	
70338P100	PATTERN ENERGY GROUP INC		12/05/2019	VARIOUS	1,075.000	26,323	X X X	20,316	20,017	299			299		20,316	6,007	6,007	6,007	1,420	X X X	
707569109	PENN NATIONAL GAMING INC		05/29/2019	MERRILL LYNCH	283.000	5,693	X X X	10,061	5,329	4,732			4,732		10,061	(4,369)	(4,369)	(4,369)		X X X	
718172109	PHILIP MORRIS INTERNATIONAL INC		04/01/2019	MERRILL LYNCH	940.000	82,813	X X X	96,321	62,754	33,566			33,566		96,321	(13,508)	(13,508)	(13,508)	2,143	X X X	
74340W103	PROLOGIS INC		12/04/2019	MERRILL LYNCH	2,767.000	223,002	X X X	174,795	162,478	12,317			12,317		174,795	48,207	48,207	48,207	2,720	X X X	
744320102	PRUDENTIAL FINANCIAL INC		11/20/2019	MERRILL LYNCH	890.000	83,343	X X X	84,470	72,580	11,891			11,891		84,470	(1,128)	(1,128)	(1,128)	2,394	X X X	
747525103	QUALCOMM INC		04/01/2019	MERRILL LYNCH	1,756.000	101,794	X X X	117,531	99,934	17,598			17,598		117,531	(15,737)	(15,737)	(15,737)	1,089	X X X	
75508B104	RAYONIER ADVANCED MATERIALS		05/24/2019	MERRILL LYNCH	851.000	6,006	X X X	16,146	9,063	7,082			7,082		16,146	(10,139)	(10,139)	(10,139)	60	X X X	
755115107	RAYTHEON COMPANY		04/01/2019	MERRILL LYNCH	69.000	12,670	X X X	13,536	10,581	2,955			2,955		13,536	(866)	(866)	(866)	60	X X X	
76118Y104	RESIDEO TECHNOLOGIES INC		02/07/2019	MERRILL LYNCH	64.000	1,532	X X X	1,759	1,315	444			444		1,759	(227)	(227)	(227)		X X X	
76680R206	RINGCENTRAL INC - CLASS A		05/29/2019	MERRILL LYNCH	1,044.000	125,604	X X X	69,742	86,067	(16,325)			(16,325)		69,742	55,862	55,862	55,862		X X X	
781270103	RUDOLPH TECHNOLOGIES INC		10/28/2019	VARIOUS	486.000	15,032	X X X	15,497	9,948	5,548			5,548		15,497	(465)	(465)	(465)		X X X	
78467J100	SS&C TECHNOLOGIES HOLDINGS		12/04/2019	MERRILL LYNCH	817.000	48,405	X X X	41,134	36,855	4,279			4,279		41,134	7,271	7,271	7,271	347	X X X	
79466L302	SALESFORCECOM INC		05/29/2019	MERRILL LYNCH	1,089.000	166,854	X X X	124,133	149,160	(25,028)			(25,028)		124,133	42,721	42,721	42,721		X X X	
808541106	SCHWEITZER-MAUDUIT INTL INC		03/19/2019	MERRILL LYNCH	266.000	10,213	X X X	10,110	6,663	3,447			3,447		10,110	103	103	103	117	X X X	
816850101	SEMTECH CORP		07/25/2019	MERRILL LYNCH	114.000	6,109	X X X	3,730	5,229	(1,499)			(1,499)		3,730	2,379	2,379	2,379		X X X	
83001A102	SIX FLAGS ENTERTAINMENT CORP		04/01/2019	VARIOUS	905.000	44,695	X X X	61,106	50,345	10,761			10,761		44,662	34	34	34	742	X X X	
830879102	SKYWEST INC		05/29/2019	MERRILL LYNCH	183.000	10,871	X X X	9,622							9,622	1,249	1,249	1,249	22	X X X	
852234103	SQUARE INC - A		05/29/2019	MERRILL LYNCH	1,420.000	90,788	X X X	66,559	79,648	(13,089)			(13,089)		66,559	24,228	24,228	24,228		X X X	
866082100	SUMMIT HOTEL PROPERTIES INC		12/05/2019	WEEDEN & CO	1,214.000	14,525	X X X	17,137	11,812	5,324			5,324		17,137	(2,611)	(2,611)	(2,611)	874	X X X	
871607107	SYNOPSIS INC		05/29/2019	MERRILL LYNCH	829.000	96,841	X X X	76,593	69,835	6,758			6,758		76,593	20,248	20,248	20,248		X X X	
87305R109</																					

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED, or Otherwise DISPOSED OF During Current Year

Table with 21 columns: 1-10 (CUSIP, Description, Disposal Date, Name of Purchaser, Number of Shares of Stock, Consideration, Par Value, Actual Cost, Prior Year Book/Adjusted Carrying Value), 11-15 (Change in Book/Adjusted Carrying Value: Unrealized Valuation, Current Year (Amortization/Accretion), Current Year's Other-Than-Temporary Impairment, Total Change in B./A.C.V., Total Foreign Exchange Change in B./A.C.V.), 16-21 (Book/Adjusted Carrying Value at Disposal, Foreign Exchange Gain (Loss) on Disposal, Realized Gain (Loss) on Disposal, Total Gain (Loss) on Disposal, Bond Interest/Stock Dividends Received During Year, Bond Stated Contractual Maturity Date).

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## SCHEDULE D - PART 4

**Showing All Long-Term Bonds and Stocks SOLD, REDEEMED, or Otherwise DISPOSED OF During Current Year**

1 CUSIP Identification	2 Description	3 F o r e i g n	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Contractual Maturity Date		
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year (Amortization/ Accretion)	13 Current Year's Other-Than- Temporary Impairment Recognized	14 Total Change in B./A.C.V. (Cols. 11+12-13)	15 Total Foreign Exchange Change in B./A.C.V.								
82481R106	SHIRE PLC-ADR	C	01/03/2019	MERRILL LYNCH	203.000	35,073	X X X	27,723	35,330	(7,607)			(7,607)		27,723		7,350	7,350			X X X	
835699307	SONY CORP-SPONSORED ADR	C	05/29/2019	VARIOUS	317.000	15,285	X X X	16,105	15,305	800			800		16,105		(820)	(820)	58		X X X	
86562M209	SUMITOMO MITSUI-SPONS ADR	C	05/29/2019	MERRILL LYNCH	1,449.000	10,020	X X X	12,802	9,433	3,369			3,369		12,802		(2,782)	(2,782)			X X X	
874039100	TAIWAN SEMICONDUCTOR-SP ADR	C	12/10/2019	MERRILL LYNCH	751.000	30,140	X X X	32,885	27,719	5,166			5,166		32,885		(2,745)	(2,745)	277		X X X	
89151E109	TOTAL FINA ELF SA - ADR	C	05/29/2019	MERRILL LYNCH	415.000	21,608	X X X	23,835	21,655	2,182			2,182		23,835		(2,227)	(2,227)	603		X X X	
892331307	TOYOTA MOTOR CORP -SPON ADR	C	05/29/2019	VARIOUS	74.000	8,845	X X X	10,039	8,590	1,449			1,449		10,039		(1,192)	(1,192)	162		X X X	
92857W308	VODAFONE GROUP PLC - SP ADR	C	05/29/2019	MERRILL LYNCH	487.000	7,921	X X X	13,907	9,389	4,518			4,518		13,907		(5,986)	(5,986)	268		X X X	
G2519Y108	CREDICORP LTD	C	05/29/2019	MERRILL LYNCH	36.000	7,874	X X X	7,807	7,980	(173)			(173)		7,807		69	69	217		X X X	
G3198U102	ESSENT GROUP LTD	C	05/29/2019	MERRILL LYNCH	197.000	9,367	X X X	7,922	6,733	1,189			1,189		7,922		1,445	1,445			X X X	
G3323L100	FABRINET	C	05/29/2019	MERRILL LYNCH	206.000	8,896	X X X	6,022	10,570	(4,548)			(4,548)		6,022		2,874	2,874			X X X	
G491BT108	INVESCO LTD	C	02/07/2019	MERRILL LYNCH	1,678.000	30,691	X X X	63,734	28,090	35,645			35,645		63,734		(33,043)	(33,043)			X X X	
G5960L103	MEDTRONIC PLC	C	04/01/2019	MERRILL LYNCH	150.000	13,621	X X X	13,031	13,644	(613)			(613)		13,031		590	590	150		X X X	
G8827U100	THIRD POINT REINSURANCE LTD	C	05/24/2019	MERRILL LYNCH	1,561.000	16,809	X X X	20,022	15,048	4,974			4,974		20,022		(3,215)	(3,215)			X X X	
H01301128	ALCON INC	C	05/29/2019	MERRILL LYNCH	22.200	1,290	X X X	1,107							1,107		185	185			X X X	
N07059210	ASML HOLDING NV-NY REG SHS	C	05/29/2019	MERRILL LYNCH	51.000	9,658	X X X	10,101	7,937	2,164			2,164		10,101		(442)	(442)	120		X X X	
9099999 Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded						7,277,569	X X X	6,459,016	5,775,612	435,467			96,292		339,175		6,362,723		914,871	914,871	69,467	X X X
<b>Common Stocks - Mutual Funds</b>																						
78464A870	SPDR S&P BIOTECH ETF		05/29/2019	MERRILL LYNCH	232.000	19,499	X X X	21,095	16,646	4,449			4,449		21,095		(1,596)	(1,596)			X X X	
9499999 Subtotal - Common Stocks - Mutual Funds						19,499	X X X	21,095	16,646	4,449			4,449		21,095		(1,596)	(1,596)			X X X	
9799997 Subtotal - Common Stocks - Part 4						7,297,068	X X X	6,480,111	5,792,258	439,916			96,292		6,383,818		913,275	913,275	69,467		X X X	
9799998 Summary Item from Part 5 for Common Stocks						600,624	X X X	617,360					3,777		613,583		(12,959)	(12,959)	4,302		X X X	
9799999 Subtotal - Common Stocks						7,897,692	X X X	7,097,471	5,792,258	439,916			100,069		339,847		6,997,401		900,316	900,316	73,769	X X X
9899999 Subtotal - Preferred and Common Stocks						7,897,692	X X X	7,097,471	5,792,258	439,916			100,069		339,847		6,997,401		900,316	900,316	73,769	X X X
9999999 Totals						34,661,831	X X X	33,898,074	30,159,793	439,916			(60,190)		100,069		33,498,012		1,137,626	1,137,626	649,913	X X X

E14.6

# SCHEDULE D - PART 5

Showing All Long-Term Bonds and Stocks ACQUIRED During Year and Fully DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	11	Change in Book/Adjusted Carrying Value					17	18	19	20	21
											12	13	14	15	16					
CUSIP Identification	Description	FOR EIGN	Date Acquired	Name of Vendor	Disposal Date	Name of Purchaser	Par Value (Bonds) or Number of Shares (Stock)	Actual Cost	Consideration	Book/ Adjusted Carrying Value at Disposal	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in B./A.C.V. (Col. 12+ 13-14)	Total Foreign Exchange Change in B./A.C.V.	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Interest and Dividends Received During Year	Paid for Accrued Interest and Dividends
<b>Bonds - U.S. Special Revenue, Special Assessment</b>																				
80168ACC9	SANTA CLARA VLY CA WTR DIST WT		04/10/2019	STIFEL-HANIFEN DIVIS	05/29/2019	WELLS FARGO FINANCIAL	250,000	250,000	255,665	250,000							5,665	5,665	779	
3199999 Subtotal - Bonds - U.S. Special Revenue, Special Assessment							250,000	250,000	255,665	250,000							5,665	5,665	779	
<b>Bonds - Industrial and Miscellaneous (Unaffiliated)</b>																				
110122BC1	BRISTOL-MYERS SQUIBB CO		11/22/2019	EXCHANGE	12/18/2019	WELLS FARGO FINANCIAL	500,000	503,451	502,680	503,087		(364)		(364)			(407)	(407)	4,991	3,873
30231GBC5	EXXON MOBIL CORPORATION		08/13/2019	MORGAN STANLEY & CO	09/17/2019	WELLS FARGO FINANCIAL	1,000,000	1,000,000	998,370	1,000,000							(1,630)	(1,630)	1,851	
3899999 Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)							1,500,000	1,503,451	1,501,050	1,503,087		(364)		(364)			(2,037)	(2,037)	6,842	3,873
8399998 Subtotal - Bonds							1,750,000	1,753,451	1,756,715	1,753,087		(364)		(364)			3,628	3,628	7,621	3,873
<b>Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded</b>																				
00182C103	ANI PHARMACEUTICALS INC		04/02/2019	MERRILL LYNCH	07/25/2019	MERRILL LYNCH	91.000	6,284	7,495	6,284							1,212	1,212		
049164205	ATLAS AIR WORLDWIDE HOLDINGS		04/02/2019	MERRILL LYNCH	09/04/2019	WEEDEN & CO	154.000	7,936	3,821	7,936							(4,115)	(4,115)		
09739C102	BOINGO WIRELESS INC		04/02/2019	MERRILL LYNCH	10/10/2019	WEEDEN & CO	321.000	7,340	2,997	3,563			3,777	(3,777)			(566)	(566)		
117043109	BRUNSWICK CORP		05/30/2019	MERRILL LYNCH	12/04/2019	MERRILL LYNCH	929.000	39,656	54,669	39,656							15,013	15,013	418	
127190304	CACI INTERNATIONAL INC - CL A		04/02/2019	MERRILL LYNCH	07/25/2019	MERRILL LYNCH	41.000	7,440	8,728	7,440							1,288	1,288		
153527205	CENTRAL GARDEN AND PET CO-A		04/02/2019	MERRILL LYNCH	04/04/2019	MERRILL LYNCH	244.000	5,795	5,708	5,795							(87)	(87)		
16115Q308	CHART INDUSTRIES INC		04/02/2019	MERRILL LYNCH	12/05/2019	MERRILL LYNCH	273.000	19,288	15,966	19,288							(3,322)	(3,322)		
20369C106	COMMUNITY HEALTHCARE TRUST I		04/02/2019	MERRILL LYNCH	05/24/2019	MERRILL LYNCH	198.000	6,990	7,479	6,990							488	488	81	
20854L108	CONSOL ENERGY INC		04/02/2019	MERRILL LYNCH	12/05/2019	WEEDEN & CO	734.000	26,941	9,249	26,941							(17,691)	(17,691)		
22052L104	CORTEVA INC		06/03/2019	SPINOFF	07/26/2019	MERRILL LYNCH	495.000	22,396	13,984	22,396							(8,412)	(8,412)		
225223304	CRAY INC		04/02/2019	MERRILL LYNCH	07/25/2019	MERRILL LYNCH	479.000	12,578	16,547	12,578							3,968	3,968		
23283R100	CYRUSONE INC		05/31/2019	MERRILL LYNCH	11/20/2019	WEEDEN & CO	199.000	11,836	12,967	11,836							1,131	1,131	191	
238337109	DAVE & BUSTERS ENTERTAINMEN		04/02/2019	MERRILL LYNCH	12/05/2019	WEEDEN & CO	144.000	7,140	5,692	7,140							(1,448)	(1,448)	45	
268948106	EAGLE BANCORP INC		04/02/2019	MERRILL LYNCH	07/25/2019	MERRILL LYNCH	497.000	26,994	21,821	26,994							(5,173)	(5,173)	84	
387328107	GRANITE CONSTRUCTION INC		04/02/2019	MERRILL LYNCH	09/04/2019	WEEDEN & CO	153.000	6,801	4,347	6,801							(2,454)	(2,454)	20	
42226A107	HEALTH EQUITY INC		04/02/2019	MERRILL LYNCH	07/25/2019	MERRILL LYNCH	90.000	6,654	7,221	6,654							567	567		
446413106	HUNTINGTON INGALLS INDUSTRIES		03/22/2019	MERRILL LYNCH	09/04/2019	MERRILL LYNCH	86.000	17,452	17,960	17,452							508	508	148	
556269108	STEVEN MADDEN LTD		04/02/2019	MERRILL LYNCH	05/24/2019	MERRILL LYNCH	234.000	7,963	7,141	7,963							(822)	(822)		
567908108	MARINEMAX INC		04/02/2019	MERRILL LYNCH	12/05/2019	WEEDEN & CO	398.000	7,582	6,261	7,582							(1,321)	(1,321)		
589378108	MERCURY COMPUTER SYSTEMS INC		04/02/2019	MERRILL LYNCH	12/05/2019	WEEDEN & CO	100.000	6,403	6,893	6,403							490	490		
594918104	MICROSOFT CORP		04/01/2019	MERRILL LYNCH	12/04/2019	MERRILL LYNCH	763.000	90,765	114,156	90,765							23,392	23,392	1,091	
65336K103	NEXSTAR MEDIA GROUP INC-CL A		04/02/2019	MERRILL LYNCH	07/25/2019	MERRILL LYNCH	122.000	13,231	12,530	13,231							(701)	(701)	55	
70338P100	PATTERN ENERGY GROUP INC		04/02/2019	MERRILL LYNCH	12/05/2019	WEEDEN & CO	312.000	6,870	8,548	6,870							1,678	1,678	263	
70805E109	THE PENNANT GROUP INC		10/01/2019	SPINOFF	12/05/2019	VARIOUS	261.500	1,258	6,512	1,258							5,254	5,254		
740367404	PREFERRED BANK/LOS ANGELES		04/02/2019	MERRILL LYNCH	12/05/2019	VARIOUS	689.000	32,954	36,534	32,954							3,580	3,580	528	
75508B104	RAYONIER ADVANCED MATERIALS		04/02/2019	MERRILL LYNCH	05/24/2019	MERRILL LYNCH	465.000	6,558	3,282	6,558							(3,277)	(3,277)		
781270103	RUDOLPH TECHNOLOGIES INC		04/02/2019	MERRILL LYNCH	10/28/2019	MERGER	329.000	7,563	7,563	7,563										
866082100	SUMMIT HOTEL PROPERTIES INC		04/02/2019	MERRILL LYNCH	12/05/2019	WEEDEN & CO	657.000	7,616	7,861	7,616							245	245	355	
876030107	TAPESTRY INC		07/26/2019	MERRILL LYNCH	11/20/2019	WEEDEN & CO	2,083.000	63,695	54,579	63,695							(9,116)	(9,116)	703	
88870R102	TIVITY HEALTH INC		03/12/2019	MERGER	05/29/2019	VARIOUS	87.995	1,709	1,613	1,709							(96)	(96)		
902104108	II-VI INC		04/02/2019	MERRILL LYNCH	12/05/2019	MERRILL LYNCH	216.000	8,375	6,199	8,375							(2,176)	(2,176)		
91307C102	UNITED THERAPEUTICS CORP		03/22/2019	MERRILL LYNCH	09/04/2019	MERRILL LYNCH	149.000	18,157	11,917	18,157							(6,240)	(6,240)		

### SCHEDULE D - PART 5

Showing All Long-Term Bonds and Stocks ACQUIRED During Year and Fully DISPOSED OF During Current Year

1 CUSIP Identifi- cation	2 Description	3 F O R E I G N	4 Date Acquired	5 Name of Vendor	6 Disposal Date	7 Name of Purchaser	8 Par Value (Bonds) or Number of Shares (Stock)	9 Actual Cost	10 Consider- ation	11 Book/ Adjusted Carrying Value at Disposal	Change in Book/Adjusted Carrying Value					17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Interest and Dividends Received During Year	21 Paid for Accrued Interest and Dividends												
											12 Unrealized Valuation Increase/ (Decrease)	13 Current Year's (Amortization)/ Accretion	14 Current Year's Other-Than- Temporary Impairment Recognized	15 Total Change in B./A.C.V. (Col. 12+ 13-14)	16 Total Foreign Exchange Change in B./A.C.V.																	
92886T201	VONAGE HOLDINGS CORP	C	07/25/2019	MERRILL LYNCH	12/05/2019	WEEDEN & CO	2,154.000	26,720	15,546	26,720																						
G0177J108	ALLERGAN PLC	C	04/01/2019	MERRILL LYNCH	07/26/2019	MERRILL LYNCH	432.000	63,520	69,665	63,520																						
G8827U100	THIRD POINT REINSURANCE LTD	C	04/02/2019	MERRILL LYNCH	05/24/2019	MERRILL LYNCH	516.000	5,547	5,556	5,547																						
H01301128	ALCON INC	C	04/09/2019	SPINOFF	05/29/2019	MERRILL LYNCH	27.800	1,353	1,617	1,353																						
9099999 Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Publicly Traded									617,360	600,624	613,583			3,777	(3,777)			(12,959)	(12,959)		4,302											
<b>Common Stocks - Industrial and Miscellaneous (Unaffiliated) Other</b>																																
29274F138	ENEL AMERICAS SA - RIGHTS	C	07/05/2019	DIRECT	08/01/2019	EXPIRE	385.661																									
9199999 Subtotal - Common Stocks - Industrial and Miscellaneous (Unaffiliated) Other																																
9799998 Subtotal - Common Stocks									617,360	600,624	613,583			3,777	(3,777)			(12,959)	(12,959)		4,302											
9899999 Subtotal - Preferred and Common Stocks									617,360	600,624	613,583			3,777	(3,777)			(12,959)	(12,959)		4,302											
9999999 Totals									2,370,811	2,357,339	2,366,670			(364)	3,777	(4,141)		(9,331)	(9,331)		11,923		3,873									

E16	Schedule D - Part 6 Sn 1 .....	NONE
E16	Schedule D - Part 6 Sn 2 .....	NONE
E17	Schedule DA - Part 1 Short-Term Investments Owned .....	NONE
E18	Schedule DB - Part A Sn 1 Opt/Cap/Floor/Collars/Swaps/Forwards Open .....	NONE
E19	Schedule DB - Part A Sn 2 Opt/Cap/Floor/Collars/Swaps/Forwards Term. ....	NONE
E20	Schedule DB - Part B Sn 1 Future Contracts Open .....	NONE
E21	Schedule DB - Part B Sn 2 Future Contracts Terminated .....	NONE
E22	Schedule DB - Part D Sn 1 Counterparty Exposure for Derivative Instruments .	NONE
E23	Schedule DB - Part D Sn 2 - Collateral Pledged By Reporting Entity .....	NONE
E23	Schedule DB - Part D Sn 2 - Collateral Pledged To Reporting Entity .....	NONE
E24	Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees .....	NONE
E25	Schedule DL - Part 1 - Securities Lending Collateral Assets .....	NONE
E26	Schedule DL - Part 2 - Securities Lending Collateral Assets .....	NONE



## SCHEDULE E - PART 1 - CASH

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Year	5 Amount of Interest Accrued December 31 of Current Year	6 Balance	7 *
<b>open depositories</b>						
American Bank & Trust .....	Rock Island, IL .....		9,221		2,074,032	X X X
0199998 Deposits in .....0 depositories that do not exceed the allowable limit in any one depository (See Instructions) - open depositories .....						
		X X X			0	X X X
0199999 Totals - Open Depositories .....						
		X X X	9,221		2,074,032	X X X
0299998 Deposits in .....0 depositories that do not exceed the allowable limit in any one depository (See Instructions) - suspended depositories .....						
		X X X				X X X
0299999 Totals - Suspended Depositories .....						
		X X X				X X X
0399999 Total Cash On Deposit .....						
		X X X	9,221		2,074,032	X X X
0499999 Cash in Company's Office .....						
		X X X	X X X	X X X	461	X X X
0599999 Total Cash .....						
		X X X	9,221		2,074,493	X X X

### TOTALS OF DEPOSITORY BALANCES ON THE LAST DAY OF EACH MONTH DURING THE CURRENT YEAR

1. January .....	(85,864)	4. April .....	(196,922)	7. July .....	(2,092,201)	10. October .....	(2,830,697)
2. February .....	347,750	5. May .....	1,855,005	8. August .....	(3,333,688)	11. November .....	(1,618,562)
3. March .....	1,398,950	6. June .....	(1,818,372)	9. September .....	(3,654,996)	12. December .....	2,074,493

## SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned December 31 of Current Year

1	2	3	4	5	6	7	8	9
CUSIP	Description	Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Due & Accrued	Amount Received During Year
<b>All Other Money Market Mutual Funds</b>								
608919718	FEDERATED GOVT OBLI FD-PRM .....		12/16/2019 .....	0.000 .....	X X X .....	3,445,071 .....		
8699999	Subtotal - All Other Money Market Mutual Funds .....					3,445,071 .....		
8899999	Total Cash Equivalents .....					3,445,071 .....		

## SCHEDULE E - PART 3 - SPECIAL DEPOSITS

	1 States, Etc.	2 Type of Deposit	3 Purpose of Deposit	Deposits For the Benefit of All Policyholders		All Other Special Deposits	
				4 Book/Adjusted Carrying Value	5 Fair Value	6 Book/Adjusted Carrying Value	7 Fair Value
1.	Alabama (AL)						
2.	Alaska (AK)						
3.	Arizona (AZ)						
4.	Arkansas (AR)						
5.	California (CA)						
6.	Colorado (CO)	B	COLLATERAL SECURITIES FOR THE CO DOI	400,262	400,000		
7.	Connecticut (CT)						
8.	Delaware (DE)						
9.	District of Columbia (DC)						
10.	Florida (FL)						
11.	Georgia (GA)						
12.	Hawaii (HI)						
13.	Idaho (ID)						
14.	Illinois (IL)	B	COLLATERAL SECURITIES FOR THE IL DOI	1,650,507	1,694,830		
15.	Indiana (IN)						
16.	Iowa (IA)						
17.	Kansas (KS)						
18.	Kentucky (KY)						
19.	Louisiana (LA)						
20.	Maine (ME)						
21.	Maryland (MD)						
22.	Massachusetts (MA)						
23.	Michigan (MI)	B	COLLATERAL SECURITIES FOR THE MI DOI	400,201	400,219		
24.	Minnesota (MN)						
25.	Mississippi (MS)						
26.	Missouri (MO)	B	COLLATERAL SECURITIES FOR THE MO DOI	1,142,709	1,175,339		
27.	Montana (MT)						
28.	Nebraska (NE)						
29.	Nevada (NV)						
30.	New Hampshire (NH)						
31.	New Jersey (NJ)						
32.	New Mexico (NM)						
33.	New York (NY)						
34.	North Carolina (NC)						
35.	North Dakota (ND)						
36.	Ohio (OH)						
37.	Oklahoma (OK)						
38.	Oregon (OR)	B	COLLATERAL SECURITIES FOR THE OR DOI	146,282	157,239		
39.	Pennsylvania (PA)						
40.	Rhode Island (RI)						
41.	South Carolina (SC)						
42.	South Dakota (SD)						
43.	Tennessee (TN)						
44.	Texas (TX)						
45.	Utah (UT)						
46.	Vermont (VT)						
47.	Virginia (VA)						
48.	Washington (WA)						
49.	West Virginia (WV)						
50.	Wisconsin (WI)						
51.	Wyoming (WY)						
52.	American Samoa (AS)						
53.	Guam (GU)						
54.	Puerto Rico (PR)						
55.	U.S. Virgin Islands (VI)						
56.	Northern Mariana Islands (MP)						
57.	Canada (CAN)						
58.	Aggregate Alien and Other (OT)	X X X	X X X				
59.	TOTAL	X X X	X X X	3,739,960	3,827,627		
<b>DETAILS OF WRITE-INS</b>							
5801.							
5802.							
5803.							
5898.	Summary of remaining write-ins for Line 58 from overflow page	X X X	X X X				
5899.	TOTALS (Lines 5801 through 5803 plus 5898) (Line 58 above)	X X X	X X X				